

Carbalkoxycarbenes

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Contents

I. Introduction	431
II. Spin States of Carbalkoxycarbenes	432
A. Methods of Generating Carbalkoxycarbenes: Carbenes vs. Carbenoids	432
B. Methods Used to Determine the Spin State of the Reacting Carbene Species	433
1. Stereochemical Studies: Addition	433
2. Stereochemical Studies: Insertion	436
3. Chemically Induced Dynamic Nuclear Polarization (CIDNP) Studies	436
III. The Addition Reaction	437
A. Additions to Carbon-Carbon Double and Triple Bonds	437
1. Additions to Alkenes and Alkynes: Stereochemistry, Stereoselectivity, and Mechanism	437
2. Additions to Benzenoid Aromatic Systems	438
3. Additions to Other π Systems	439
IV. The Insertion Reaction	440
A. General Mechanistic Considerations	440
B. Insertion into Carbon-Hydrogen Bonds: Stereochemistry and Selectivity	440
C. "Insertion" into Carbon-Halogen Bonds	442
1. Reactions with Polyhalomethanes	442
2. Reactions with Monohaloalkanes	443
3. Reactions with Benzylic and Allylic Systems	443
D. Miscellaneous "Insertion" Reactions	446
1. Reactions with C-O, C-S, and C-N Bonds	446
2. Reactions with O-H, S-H, and N-H Bonds	448
3. Reactions with O-Cl, S-Cl, and S-S Bonds	449
4. Reactions with Organometallic and Organometalloid Compounds	449
V. Carbalkoxycarbene-Promoted HX Eliminations	450
VI. Miscellaneous Reactions of Carbalkoxycarbenes	457
A. 1,3-Dipolar Cycloadditions	457
B. Wolff Rearrangement	458
VII. Addendum	461
VIII. References and Notes	466

I. Introduction

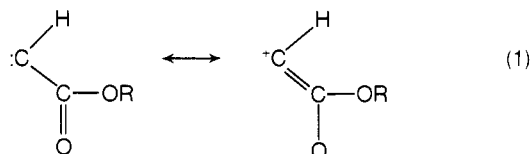
Interest in carbenes as highly reactive intermediates in organic chemistry dates back to the last century. In the late 1940's and early 1950's, interest surged in the study of the chemical and physical properties of divalent carbon species as convenient laboratory techniques were developed for preparing and studying these highly reactive species. In the mid-1950's, it was recognized that methylene, $:\text{CH}_2$, produced *via* direct photolytic decomposition of diazomethane was extremely reactive, leading

Doering to label this species as "the most indiscriminate reagent in organic chemistry."¹ It was also demonstrated experimentally about this time that methylene could be produced in different spin states, each of which had associated with it a particular pattern of chemical behavior and individual physical properties.^{2,224}

Although of considerable mechanistic interest, reactions of "hot" methylene were of limited synthetic value owing to the high reactivity and consequent low selectivity of the photolytically produced carbene. However, it was found empirically by a large number of investigators that substitution of one or both of the methylene hydrogen atoms either by a heteroatom (or substituent group containing a heteroatom adjacent to the carbene carbon atom) which contained an unshared pair of electrons or, alternatively, by conjugatively electron-withdrawing substituents could have a stabilizing effect on the carbene. This, in turn, could increase the selectivity of the carbene relative to methylene in its reactions with various substrates, and it could thereby render the carbene as a viable synthetic intermediate.

Carbalkoxycarbenes, $:\text{CHCO}_2\text{R}$, particularly the species having $\text{R} = \text{CH}_3$ or CH_2CH_3 , have been extensively studied from both mechanistic and synthetic standpoints. The reactions of carbalkoxycarbenes have been known for quite some time,^{3,4} but it is only within the last 25 years that chemists have begun to focus attention upon them with an eye toward gaining an understanding of the detailed mechanisms of these reactions.

In carbalkoxycarbenes, stabilization results from the electron-withdrawing resonance effect of the carbalkoxy group (eq 1). This same factor should also cause carbal-



koxycarbenes to be more electrophilic than methylene itself, a prediction which has been amply demonstrated experimentally.

Although a number of review articles have appeared (particularly within the last 10 years) which deal with various aspects of carbene chemistry,⁵⁻²³ they generally have been concerned in only a superficial way (with one notable exception¹⁷) with the chemistry of carbalkoxycarbenes. Indeed, a number of mechanistically very interesting and potentially synthetically useful reactions of carbalkoxycarbenes (such as carbene-promoted HX eliminations and C-X "insertion" reactions) have gone generally unnoticed in most of the broader reviews which have dealt with the chemistry of a wide variety of carbenes.

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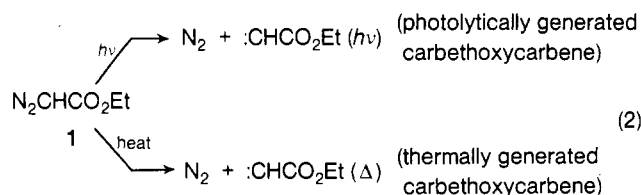
It is our purpose in this review to focus attention upon the chemistry of monocarbalkoxycarbenes, particularly carbethoxycarbene and carbomethoxycarbene, without undue duplication of material which has appeared elsewhere in more generalized carbene chemistry reviews. To accomplish this purpose, we have undertaken a survey of the literature through February 1973, covering principally carbethoxycarbene, carbomethoxycarbene, and reactions of their diazo precursors (ethyl diazoacetate and methyl diazoacetate, respectively). Reactions which might reasonably be expected to proceed *via* carbene (or carbenoid) intermediates have been emphasized, but occasionally noncarbene (or carbenoid) reactions of the diazo precursor have been mentioned where their mechanisms have proved to be especially interesting or in cases where these reactions appear to be of unusual synthetic utility.

Discussions of the chemistry of biscarbalkoxycarbenes, particularly involving biscarbethoxy- and biscarbomethoxycarbene, occur frequently throughout the review. When this has been done, it has been for purposes of comparison with the corresponding monocarbalkoxycarbene, and we have not attempted to be thorough in our presentation of the chemistry of biscarbalkoxycarbene species.

II. Spin States of Carbalkoxycarbenes

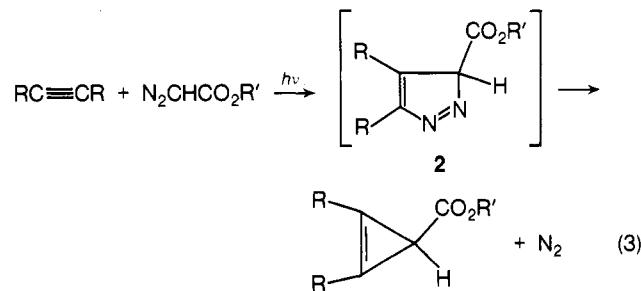
A. Methods of Generating Carbalkoxycarbenes: Carbenes vs. Carbenoids

Both carbomethoxycarbene and carbethoxycarbene are conventionally prepared from the corresponding diazo ester. This may be accomplished thermally (ca. 150°) or photolytically (eq 2). Photolytic decomposition of 1 can

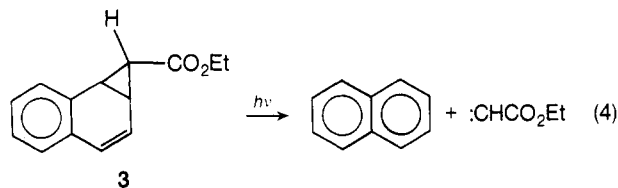


be carried out either *via* direct photolysis or *via* photolysis in the presence of known photosensitizers, such as benzophenone.^{24,25} The thermal decomposition of 1 can be carried out at temperatures well below 150° when performed in the presence of a metal catalyst.^{26,27} Copper bronze or Cu(II) have been widely used for this purpose; however, the reactive intermediate produced in this way is termed a "carbenoid," and its chemistry has been shown to involve both the elements of $\text{:CHCO}_2\text{R}$ and the metal in the catalyst (to which it is complexed; *vide infra*).²⁶⁻²⁸

Let us first consider the "free" carbene produced either photolytically or thermally from 1. In addition to the question of the spin state of the reacting species, $\text{:CHCO}_2\text{R}$ ($h\nu$) (*i.e.*, whether singlet or triplet), the whole question of the nature of photochemical reactions involving diazo esters is greatly complicated by the possibility that the excited diazo ester itself (and not a divalent carbon species derived from it) may in fact be the reacting species.^{25,30,31} This phenomenon might manifest itself in, *e.g.*, addition reactions of carbalkoxycarbenes to carbon-carbon triple bonds, through intermediate pyrazolene formation, followed by photodecomposition of the pyrazolene intermediate (2) to afford cyclopropenes³²⁻³⁶ (eq 3). Similarly, the addition of excited diazo compounds to carbon-carbon double bonds can occur to afford pyrazolines which photodecompose to cyclopropanes.³⁷⁻³⁹

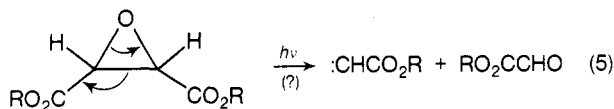


One obvious way to alleviate the difficulties attendant with the generation of "free" carbenes from diazo precursors is to find alternative pathways which do not involve diazo compounds. In the case of carbalkoxycarbenes this has only been successfully accomplished in one instance, involving photocycloelimination of carbethoxycarbene from 7-carbethoxybenzonorcaradiene (3)⁴⁰⁻⁴² (eq 4). Yields in this reaction are low (ca. 8%, as determined



via trapping experiments), and the product is accompanied by considerable tar. Consequently, no quantitative comparison of the reactions of the carbene produced from 3 with those of the species produced *via* photodecomposition of ethyl diazoacetate has been performed.

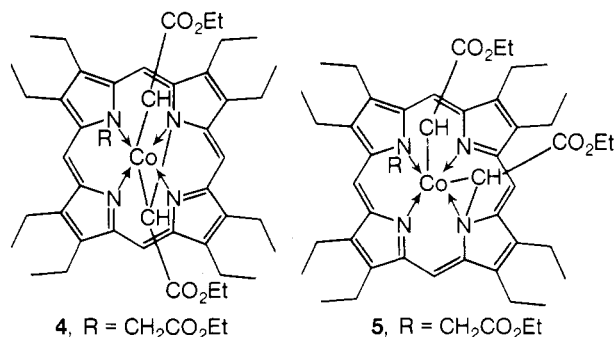
Other methods for generating carbalkoxycarbenes can be envisioned; for example, one might consider attempting a photocycloelimination from a suitably constructed oxirane⁴² (eq 5). However, thus far only *vic*-diaryloxi-



ranes have been used successfully as (phenyl)carbene precursors in this way.⁴² Alternatively, *thermal* cycloelimination processes can be envisioned, but, again, there has been no report forthcoming in the carbene literature to suggest that processes of this type can be successfully employed for the preparation of carbalkoxycarbenes.⁴³ Similarly, photolysis or thermolysis of diazirines, although a useful method for preparing a number of substituted methylenes, has not been successfully utilized for the generation of carbalkoxycarbenes.⁴²⁻⁵⁰

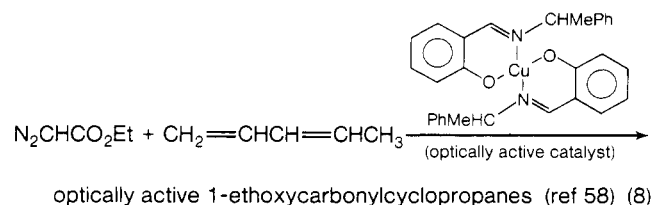
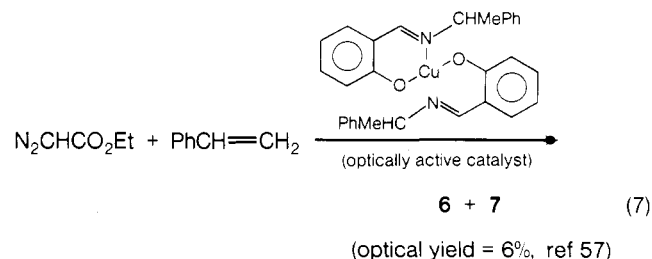
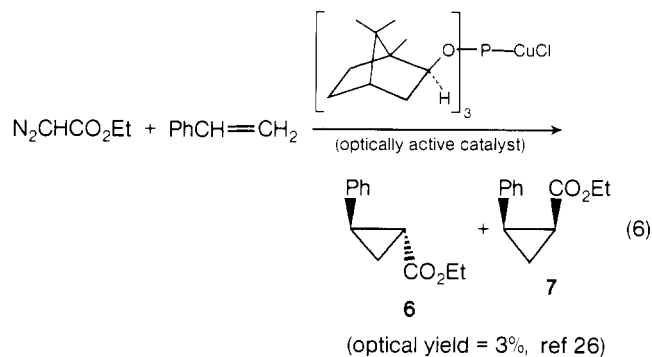
A final potential precursor for carbalkoxycarbenes merits consideration. There are a large number of examples of stable transition metal-carbene complexes.⁵¹ The possibility exists that reactions which displace the carbene from these complexes might be capable of affording the "free" (noncomplexed) carbene whose chemical reactions could then be compared with those of the corresponding carbene generated photolytically from a diazo precursor. However, with very few exceptions, such transition metal-carbene complexes are stable only when the carbene moiety is *nucleophilic* (*i.e.*, :CXY , where X and Y are lone-pair substituents).⁵¹ Two notable exceptions to this statement are the complexes 4 and 5 which are formed upon reaction of ethyl diazoacetate with cobalt(III) octaethylporphyrin.⁵²⁻⁵⁴

In lieu of satisfactory alternative precursors for carbalkoxycarbenes, only indirect evidence has been brought to bear on the question of carbene intermediates in diazo ester photolyses vs. direct reaction of the photoexcited



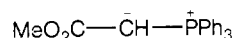
diazo ester. Particularly important in this regard has been the demonstration that the chemical reactivity of phenylcarbene⁵⁵ and of 4,4-dimethylcyclohexadienylidene⁵⁶ when generated in the same spin state from different precursors is precursor independent. Evidence mitigating against the intermediacy of pyrazolines in stereospecific reactions of diazoacetic esters with olefins under photolytic or thermal (80–100°) conditions has recently been cataloged in an excellent review by Dave and Warnhoff.¹⁷ Nevertheless, the important question of the exact nature of the reacting species in diazoacetic ester decompositions remains yet to be convincingly answered.

Thermal decompositions of diazo esters in the presence of metal catalysts, especially Cu(0), Cu(I), or Cu(II), leads to the formation of carbalkoxycarbenoids. Important evidence suggesting that the reacting species in metal-catalyzed diazo ester-olefin reactions involves a carbene-metal complex is provided by a number of independent observations that ethyl diazoacetate in the presence of optically active homogeneous Cu(I) or Cu(II) catalysts reacts with olefins to afford optically active ethyl cyclopropanecarboxylates in low optical yield^{26,57,58} (eq 6–8). Furthermore, in the Cu(I) catalyzed reaction of ethyl diazoacetate with cyclohexene, increasing the steric bulk of the ligand on copper in homogeneous catalysts of the type (RO)₃PCuCl was found to



produce systematic changes in the exo/endo ratio among the product 7-carbethoxynorcaranes.²⁶ It was concluded that this result offered further proof of the presence of a carbene-metal complex as the reacting species in these Cu(I) catalyzed additions of ethyl diazoacetate to olefins.²⁶ The observation that homogeneous catalysis of the reaction between ethyl diazoacetate and cyclohexene by (ArO)₃PCuCl (where Ar = meta- and para-substituted benzenes) produced systematic changes in the syn/anti ratio in the product 7-carbethoxynorcaranes was interpreted as suggesting that the :CHCO₂Et moiety, the metal atom in the catalyst, and the olefinic substrate are all involved in the transition state leading to product²⁷ (for an opposing view, see discussion in section III.A.1).

In addition to the commonly employed copper catalysts, a potential new route to carbalkoxycarbene-metal complexes is suggested by the recent study of Alper and Partis.⁵⁹ These investigators have suggested that an unstable alkylideneiron tetracarbonyl complex may be formed when carbomethoxymethylenetriphenylphosphorane



is treated with various iron carbonyls. The future systematic exploration of the chemistry of this unique iron carbonyl complex of carbomethoxycarbene should prove to be of great interest.

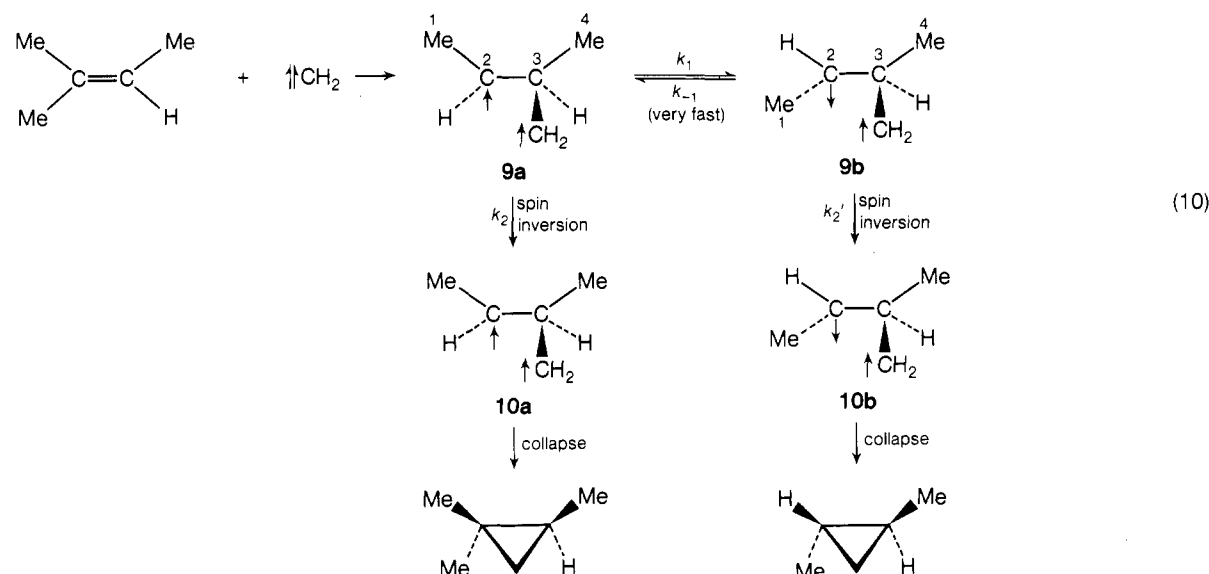
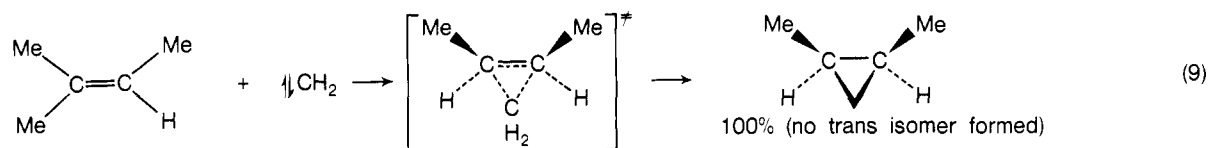
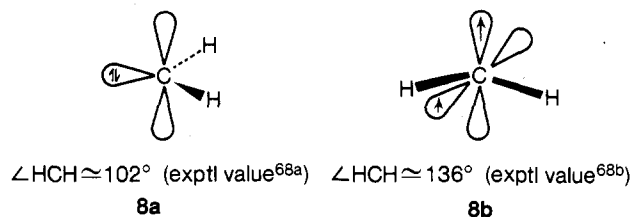
Comparison of the chemical properties among :CHCO₂R (*hν*), :CHCO₂R (Δ), and carbalkoxycarbenoids is deferred to sections III–VI. Before continuing with a discussion of the chemistry of these species, it is worthwhile to briefly examine the environmental conditions under which reactions of these highly energetic intermediates are carried out. With liquid substrates, the reaction of ethyl diazoacetate (prepared essentially free from contaminating ethyl chloroacetate by Searle's method⁶⁰) is simply performed using an excess of the substrate as solvent. For the corresponding reaction with solid substrates, a suitable solvent must be found which is both inert toward ethyl diazoacetate and capable of dissolving reasonably high concentrations of the diazo ester and the solid substrate. Hexafluorobenzene has been extensively utilized as solvent in reactions involving carbenes (such as fluorenylidene^{61,62}) which are less reactive than carbethoxycarbene. However, cyclopentadienylidene⁶³ and :CHCO₂Et (*hν*)⁶⁴ have been found to add to a fluorinated carbon-carbon double bond in hexafluorobenzene. It is also important to note that hexafluorobenzene has been utilized as an "inert" solvent to promote singlet-triplet intersystem crossing in :C(CO₂Me)₂²³; however, :CHCO₂Et (*hν*) apparently does not suffer decay from a singlet to a triplet electronic configuration when generated in the presence of hexafluorobenzene.^{65,65a}

Freon E-4, a perfluorinated polyether, has been successfully used as solvent in reactions involving :CHCO₂Et (Δ).⁶⁶ The major drawback may be the low solubility of substrates in this solvent, rendering it useful in mechanistic studies but not for larger scale, preparative reactions. Perfluorinated aliphatics do not appear to be suitable solvents for such reactions owing to their immiscibility with ethyl diazoacetate.^{67,67a}

B. Methods Used to Determine the Spin State of the Reacting Carbene Species

1. Stereochemical Studies: Addition

Methylene has long been known to exist in two different configurations^{68c}: the bent, singlet (¹A₁) methylene (**8a**)^{68a} and the more nearly linear, triplet (³B₁) methy-

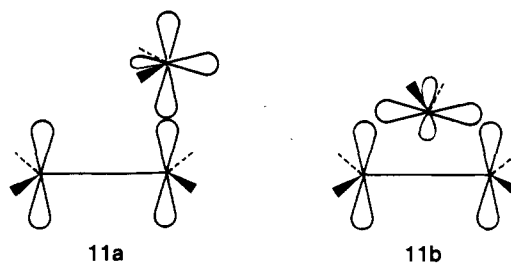


lene (**8b**).^{68b} The classical chemical approach to demonstrating the spin state of the reacting methylene species in solution (or in the gas phase) is based upon the stereochemistry of its addition to olefins.⁶⁹⁻⁷⁴ In essence, the argument presented by Skell and his coworkers is that singlet carbenes add stereospecifically (in concerted fashion), while triplet carbenes may add nonstereospecifically. The argument is illustrated in eq 9 and 10 for the addition of methylene to *cis*-2-butene. The extent of nonstereospecific addition of the triplet carbene will depend upon the relative rates of rotation about the C₂-C₃ bond in the diradicals **9a** and **9b** relative to spin inversion (to afford **10a** and **10b**, respectively, which subsequently undergo ring closure to *cis*- and *trans*-1,2-dimethylcyclopropane, respectively). A number of factors can influence this balance of rates, notably solvent cage effects⁷⁵ (which might tend to hinder free rotation about the C₂-C₃ bond in **9a** and **9b**, thus hindering k_1, k_{-1} relative to k_2, k_2') or unusually rapid (*i.e.*, heavy atom catalyzed) intersystem crossing (which again would increase k_2, k_2' relative to k_1, k_{-1}). The situation is further confounded in photolytic reactions of diazo compounds, where rapid singlet-triplet intersystem crossing may occur in the diazo precursor.⁷⁶⁻⁷⁹ Any of these factors can alter the stereoselectivity of addition by triplet carbenes from 50% *cis*, 50% *trans* addition, the limiting values which might be attained in a completely nonstereospecific process.

In spite of these difficulties, Skell's criterion appears to work well in practice in distinguishing between singlet and triplet reacting carbene species, and over the years it has found extensive practical application as well as having withstood a barrage of criticism.^{68, 69, 80, 81} Theoretical justification has been forthcoming from Hoffmann,^{82, 83} whose extended Hückel theory (EHT) calcu-

lations account for the stereospecificity of singlet methylene additions and for the nonstereospecificity of triplet methylene additions to olefins through detailed examination of the energy profile for the addition of methylene to ethylene. Singlet methylene addition is found to be concerted, proceeding *via* an unsymmetrical reaction pathway (*e.g.*, **11a**), the most symmetrical approach (**11b**) being symmetry forbidden.⁸⁴ The resulting complex with

ethylene correlates with the ground state of a cyclopropane. In contrast, triplet methylene addition proceeds through a complex of an excited configuration of methylene with ground-state ethylene; this complex correlates with a stereochemically mobile (excited triplet) trimethylene configuration. Interestingly, this argument is novel in that it is *not* based upon differences in spin state *per se*. Similar considerations have recently been applied to carbeneoid addition processes.⁸⁵



No corresponding calculations have been performed for carbalkoxycarbene additions from which predictions of their electronic structure might be made. However, formylmethylene (:CHCHO) has been subjected to EHT scrutiny.⁸⁶ The results may be of interest in the present context since both the CHO and CO₂R functional groups behave qualitatively as conjugative, electron-withdrawing substituents. Thus, formylmethylene is a "p²" carbene, whose σ orbital is formally empty, rendering it a highly electrophilic species⁸³ for which EHT calculations predict a *linear singlet* ground state.⁸⁶ Selective stabilization of the singlet ground state of :CHCHO is provided by interaction of one of the methylene p orbitals (and not the

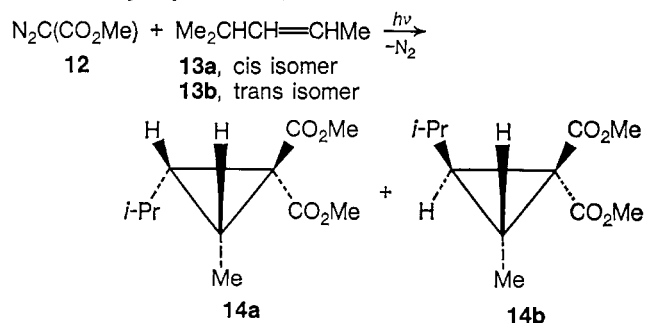
other) with low-lying unoccupied (π^*) levels of the CHO group.^{87,88}

Let us now consider experimental evidence bearing on the question of the spin state of carbalkoxycarbenes produced by decomposition of diazoacetic esters. Here, it is emphasized that evidence is brought to bear on the spin state of the reacting species (which does not necessarily correspond to the ground state of the carbene). The first study of the stereochemistry of addition of carbomethoxycarbene to olefins was that of Doering and Mole,⁸⁹ the carbene produced photolytically from methyl diazoacetate was reported to add in a stereospecific *cis* fashion to *cis*- and *trans*-2-butene.⁹⁰ A recent study of the addition of $:\text{CHCO}_2\text{Me}$ ($h\nu$) to *cis*- and *trans*-2-butenes has revealed that the carbene produced *via* direct photolysis of methyl diazoacetate adds in a highly stereoselective *cis* manner to the olefin substrates, whereas the corresponding benzophenone sensitized photolysis affords addition products in which substantial (but not complete) loss of stereospecificity has occurred.²³ *Cis* addition has also been demonstrated for the reactions of $:\text{CHCO}_2\text{Et}$ (Δ) with *cis*- and *trans*-stilbenes.³⁷ D'yakonov and coworkers have likewise found essentially stereospecific *cis* addition in the reactions of carbomethoxycarbenoid (generated *via* copper sulfate catalyzed decomposition of ethyl diazoacetate) with *cis*- and *trans*-stilbenes,⁹¹ 4-ocetenes,^{91,92} 5-decenes,⁹³ and 1,2-diphenylethylenes.⁹⁴ The mechanistic implications of the results obtained for carbomethoxycarbenoid additions to olefins will be discussed later (section III.A).

It has been pointed out that *both* the singlet and triplet states of a given carbene must be observed in order to support a claim of determination of spin state of that carbene in a particular reaction.^{68c} An attempt to provide the necessary comparison between singlet and triplet states of photolytically generated carbalkoxycarbenes has been made by Jones and his collaborators.^{23,24,30} Direct photolysis of dimethyl diazomalonate has been shown by these investigators to afford a reactive intermediate whose chemical properties differ markedly from those of the corresponding species produced *via* benzophenone sensitized photodecomposition of the same diazo ester. It is thought that the reacting species produced in the direct and sensitized photodecompositions of dimethyl diazomalonate are singlet and triplet biscarbomethoxycarbene, respectively.^{23,24,30} An alternative possibility remains, *i.e.*, that the excited diazo compound (singlet and triplet, respectively) is itself the reacting species in solution (see section II.A). Although arguments have been presented which favor the former interpretation,²⁴ the actual identity of the reacting species in diazo ester photodecompositions must still be regarded as an open question. The ambiguity inherent in this situation must necessarily restrict attempts at mechanistic rationalization.

The results of the photolytic reactions of dimethyl diazomalonate with *cis*- and *trans*-4-methyl-2-pentene²⁴ are shown in Table I. Control experiments have established that the products **14a** and **14b** formed in the reactions shown in Table I were stable to the reaction conditions. Although Jones suggests that the reacting carbene produced *via* direct photolysis of dimethyl diazomalonate has a singlet electronic configuration, he does not explain why the addition is only 90–92% stereoselective *cis* (rather than 100% stereospecific). It is altogether possible that the direct photolytic decomposition of dimethyl diazomalonate in solution is not a "multiplicity specific"^{95–103} reaction (*i.e.*, the carbene is not formed exclusively in one spin state or the other, but instead it is formed predominantly in one state and to a lesser extent

TABLE I. Product Distributions in the Reactions of Dimethyl Diazomalonate with *cis*- (13a**) and *trans*-4-Methyl-2-pentene²⁴ (**13b**)**

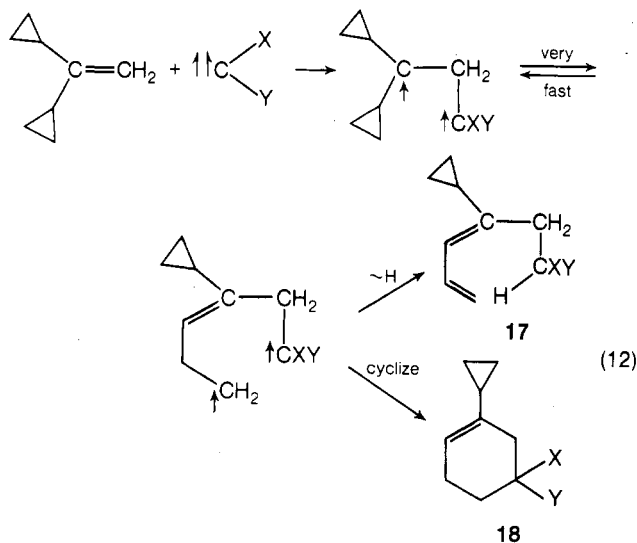
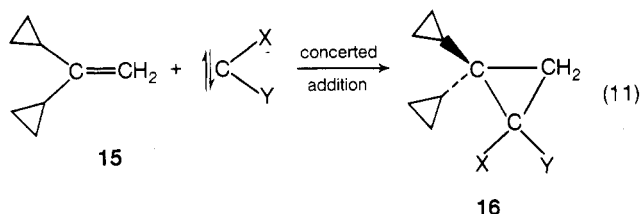


Conditions	Product ratios		Total yield of products, %
	14a	14b	
12 + 13a	92	8	39.8
12 + 13b	10	90	24.3
12 + 13a + $\text{Ph}_2\text{C}=\text{O}$	10	90	43.0
12 + 13b + $\text{Ph}_2\text{C}=\text{O}$	14	86	...

in the other). In this vein, it is interesting to note that in a recent study of the benzophenone sensitized photolysis of dimethyl diazomalonate in the presence of *cis*-4-methyl-2-pentene, the ratio of products (*cis*/*trans* dimethyl 2-isopropyl-3-methylcyclopropane-1-dicarboxylate) was influenced by the presence of dimethyl sulfide, the ratio being greater when the sulfide was present than was observed in the absence of added dimethyl sulfide.¹⁰⁴ (No such effect was found in this reaction when Freon 113 was used as an added diluent instead of dimethyl sulfide.¹⁰⁴) Additional experiments led Jones to conclude that the singlet $:\text{C}(\text{CO}_2\text{Me})_2$ ($h\nu$) is an electrophilic species which is highly sensitive to steric factors in the olefin substrate,^{24,30} whereas the behavior of the triplet carbene conformed with expectations intuitively predicted on the basis of "radical-like" character.²⁴

A corresponding study of direct vs. benzophenone sensitized photolysis of ethyl diazoacetate has been performed.¹⁰⁵ Direct photolysis afforded ethoxyketene *via* Wolff rearrangement (section VI.B), whereas benzophenone sensitized photolysis of ethyl diazoacetate afforded a (presumably triplet) carbene which added in a non-stereospecific manner to olefins and abstracted hydrogen to form ethyl acetate. Thermolysis of ethyl diazoacetate at 140° produced what is probably the lowest lying singlet $:\text{CHCO}_2\text{Et}$ which added stereospecifically to olefins but did not afford ethoxyketene.¹⁰⁵

Before leaving the subject of the use of stereochemical criteria in carbene addition reactions to determine the spin state of the reacting carbene, it is worthwhile to examine an alternative criterion proposed recently by Shimizu and Nishida.¹⁰⁶ These investigators have suggested utilizing 1,1-dicyclopropylethylene (**15**) as substrate for this purpose. Here, addition of a reacting singlet carbene ($:\text{CXY}$) can proceed in the usual concerted manner to afford **16**, whereas the existence of a reacting triplet carbene is revealed by the observation of rearranged products (*i.e.*, **17** or **18**) as shown in eq 11 and eq 12. The usefulness of this method has been demonstrated for the reactions of singlet and triplet fluorenylidene with **15**.¹⁰⁶ More recently, the reaction of **15** with ethyl diazoacetate in the presence of copper sulfate has been reported.¹⁰⁷ In this reaction, only the addition product (**16**, X = H, Y = CO_2Et) was observed; no trace of rearranged products corresponding to **17** or **18** was reported.¹⁰⁷ In this reaction, only the addition product (**16**, X = H, Y = CO_2Et)



was observed; no trace of rearranged products corresponding to **17** or **18** was reported.¹⁰⁷

The corresponding reactions with $:\text{CHCO}_2\text{R}$ ($h\nu$) and $:\text{CHCO}_2\text{R}$ (Δ) have not yet been investigated at the time of writing of this review. However, it should be mentioned that Hendrick²⁵ has observed furan formation in the reactions of triplet biscarbomethoxycarbene (generated *via* benzophenone sensitized photolysis of methyl diazomalonate) with alkynes. Furan formation most likely arises *via* cyclization of an intermediate diradical, a situation analogous to Shimizu's observations¹⁰⁶ for reactions of triplet carbenes with 1,1-dicyclopropylethylene.

2. Stereochemical Studies: Insertion

An additional criterion which has occasionally been utilized to assign spin state in a reacting carbene is carbon-hydrogen bond insertion, alleged to be observable for singlet carbenes but not for the corresponding triplet species.¹⁰⁸⁻¹¹⁰ This "insertion" (in reality, most likely a two-step process involving abstraction of hydrogen by the triplet carbene to form a radical pair which rapidly recombines) has, however, been observed for reactions of triplet $:\text{CHCO}_2\text{R}$ and $:(\text{CO}_2\text{R})_2$ ²³ and for reactions of other triplet carbenes with hydrocarbons.¹¹¹ Therefore, the observation of carbon-hydrogen "insertion" products in carbene reactions does *not* constitute good evidence that the carbene in question is reacting in a singlet electronic configuration.

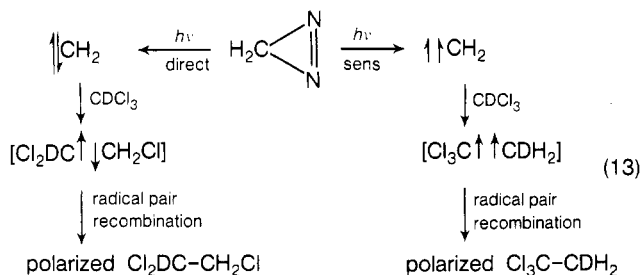
On the positive side, there is growing evidence that singlet and triplet carbalkoxycarbenes afford qualitatively different results in carbon-hydrogen insertion reactions with the same alkane as substrate. Some discussion of this subject appears in section IV.B (see also the discussion of reactions of singlet vs. triplet carbalkoxycarbenes with carbon-halogen bonds in section IV.C).

3. Chemically Induced Dynamic Nuclear Polarization (CIDNP) Studies

The CIDNP phenomenon is relatively new,^{112,113} having independently been discovered by Bargon, Fischer, and Johnson,¹¹⁴⁻¹¹⁶ and by Ward and Lawler.¹¹⁷⁻¹¹⁹ In the CIDNP method, the existence of a radical intermedi-

ate in a reaction is implicated through nuclear magnetic resonance (nmr) investigation of the product of that reaction as it is being formed. Polarization of the product by radical precursors may be observed experimentally as enhanced absorption or as emission (negative peaks) in the nmr spectrum of the product.¹¹³ The observed signal directions are determined by the initial electron spin multiplicity of the radical pair; evidence bearing on the electron spin multiplicity of the radical pair and, consequently, of its precursor, is thereby obtained.⁹⁶ Theoretical explanation for this phenomenon has been forwarded by Closs and coworkers^{95,97,120} and by Kaptein and Oosterhoff^{99,100} (*i.e.*, the "radical-pair" model). A set of simple selection rules based on the radical-pair model has been developed to permit qualitative prediction of the effects of CIDNP on the nmr spectrum of products of radical reactions.¹²¹

In view of the diradical nature of triplet carbenes, the potential (radical) abstracting ability of singlet carbenes, and the experimental difficulties attendant with direct observation of these highly reactive intermediates, the application of CIDNP techniques to the determination of the spin states of reacting carbenes would seem to be both inevitable and desirable. Indeed, CIDNP phenomena have recently been observed for a number of carbene reactions, although the application of this technique to the study of carbene processes is still in its nascent state.¹¹² Methylene has been the subject of extensive CIDNP investigation in its reactions with alkyl halides and polyhalomethanes¹²²⁻¹²⁶ and with alkylated aromatic compounds.¹²⁷ Singlet methylene reacts with carbon tetrachloride to afford products derived from carbon-chlorine bond "insertion" and chlorine atom abstraction.¹²² Singlet methylene produced *via* direct photolysis of diazirine abstracts chlorine from CDCl_3 to form a (singlet) radical pair which combines in-cage to give polarized $\text{Cl}_2\text{DC}-\text{CH}_2\text{Cl}$.¹²³ Similar reaction of triplet methylene produced *via* sensitized photodecomposition of diazirine forms a (triplet) radical pair by *deuterium* atom abstraction from CDCl_3 ; recombination of the resulting radical pair then affords polarized $\text{Cl}_3\text{C}-\text{CDH}_2$ ^{123,128} (eq 13).



Photolytic decomposition of methyl diazoacetate in the presence of carbon tetrachloride and deuteriochloroform affords methyl 2,3,3,3-tetrachloropropionate and methyl 3-deuterio-2,3,3-trichloropropionate, respectively.¹²⁹ The slow decay of the observed emission signals due to these (polarized) products (relaxation time = *ca.* 70 sec) suggested that the products of the reaction were still being formed after irradiation ceased. Primarily on the basis of this observation, a free radical *chain* mechanism was forwarded for the reactions of $:\text{CHCO}_2\text{Me}$ ($h\nu$) with carbon tetrachloride and deuteriochloroform.¹²⁹ However, this conclusion has recently been reconsidered in the light of the radical-pair theory of CIDNP which does *not* allow spin polarization in a radical induced decomposition step (*i.e.*, $\cdot\text{CCl}_3 + \text{N}_2\text{CHCO}_2\text{Me} \rightarrow \text{Cl}_3\text{C}-\dot{\text{C}}\text{H}-\text{CO}_2\text{Me}$) or in a chain transfer step (*i.e.*, $\text{Cl}_3\text{C}-\dot{\text{C}}\text{H}-\text{CO}_2\text{Me} + \text{CCl}_4 \rightarrow \text{Cl}_3\text{C}-\text{CHCl}-\text{CO}_2\text{Me} + \cdot\text{CCl}_3$).¹³⁰ Thus, the original con-

clusion¹²⁹ that free radical chain processes are operative in these reactions is probably not warranted. The observed CIDNP effects are instead consistent with expectations based on the alternative abstraction-recombination process.¹³⁰ This conclusion may have important bearing on other carbon-halogen "insertion" reactions of carbalkoxycarbenes which have previously been postulated to proceed *via* radical chain processes; discussion of some of these reactions appears in section IV.C.

CIDNP studies of reactions of $:\text{CHCO}_2\text{Me}$ ($h\nu$) with polyhalomethanes have provided evidence to support the following important conclusions: (1) the carbene generated *via* direct photolysis of methyl diazoacetate reacts in a *singlet* electronic configuration with polyhalomethanes,^{125,131} (2) an abstraction-recombination mechanism for "insertion" of this carbene into carbon-halogen bonds in polyhalomethanes is important and (3) in addition to in-cage radical recombination, an alternative mechanism exists whereby the radicals "escape" from the solvent cage prior to recombination. Under "escape" circumstances, encounter between (free) $\cdot\text{CHClCO}_2\text{Me}$ and (free) $\cdot\text{CCl}_3$ (produced from the reaction of $:\text{CHCO}_2\text{Me}$ ($h\nu$) with carbon tetrachloride) induces the same type of polarization as would have been obtained had those radicals been generated by reaction of *triplet* $:\text{CHCO}_2\text{Me}$ with CCl_4 .¹³⁰

In this way, the usefulness of the CIDNP technique in exploring carbene reactions and in establishing the spin state of the reacting carbene species has been demonstrated. It is anticipated that this powerful technique will continue to be exploited in future years.

III. The Addition Reaction

An excellent review of addition reactions of carbalkoxycarbenes with alkenes, alkynes, heterocyclics, and benzenoid hydrocarbons covering the literature to mid-1970 has appeared.¹⁷ Also, a review of the stereoselectivity of carbene additions to olefins has been recently published (1969).¹⁶ In section III, some of the conclusions reached in these reviews will be critically summarized, and more recent work which has appeared since the time of publication of these reviews will be discussed.

A. Additions to Carbon-Carbon Double and Triple Bonds

1. Additions to Alkenes and Alkynes: Stereochemistry, Stereoselectivity, and Mechanism

This subject has been recently reviewed;^{16,17} the following points serve to summarize what appear to be the general points of agreement among investigators who have studied addition reactions of carbalkoxycarbenes to unsaturated systems.

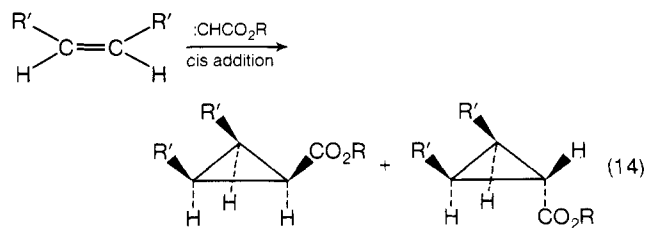
(1) Reactions of diazo esters with olefins (or alkynes) to form cyclopropane (or cyclopropene) carboxylates can occur in any of three ways: (a) with loss of nitrogen from the diazo ester to form the free carbene which reacts directly with the unsaturated substrate; (b) *via* 1,3-dipolar cycloaddition of the diazo ester to the alkene (or alkyne) to form pyrazolines (or pyrazolenines) which subsequently lose nitrogen and close to the product cyclopropane (or cyclopropene); (c) *via* nucleophilic (or radical) attack of the diazo ester on the substrate followed by loss of nitrogen and ring closure.¹⁷ Diazo esters react with alkynes to produce cycloprop-1-ene-3-carboxylates which, in the presence of copper sulfate, can react with a second mole of diazo ester to afford bicyclobutane derivatives.¹³²

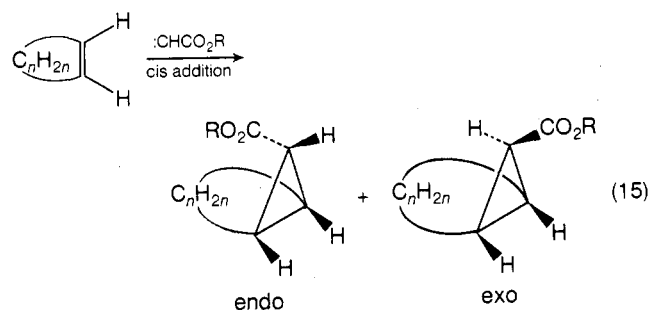
(2) Carbalkoxycarbenes and carbenoids behave as electrophilic species. However, the carbenoid is a less reactive species than either $:\text{CHCO}_2\text{R}$ ($h\nu$) or $:\text{CHCO}_2\text{R}$ (Δ). There is evidence to suggest that the transition state for addition of carbalkoxycarbenoids to olefins involves the carbene, the metal catalyst, and the substrate,^{26,27} although this interpretation has recently been questioned (*vide infra*).

(3) The reaction of carbalkoxycarbenes produced *via* direct photolysis of the corresponding diazo ester^{23,89,90} or of carbalkoxycarbenoids^{26,27} with olefins to form cyclopropanes is a stereospecific (or, at the very least, a highly stereoselective) process. This has led a number of investigators to postulate that this results from concerted reaction of a singlet carbene with the olefinic substrate, a conclusion which, although generally accepted, has not survived without criticism.⁶⁶

(4) Regardless of the conditions under which they are produced, carbalkoxycarbenes (or carbenoids) show little discrimination between various substituted olefins.^{133,134} Conflicting results have been reported regarding the ability of carbalkoxycarbenes (or carbenoids) to discriminate in their reactions with carbon-carbon double vs. triple bonds in alkynes or with mixtures of alkenes and alkynes.¹³⁶ Recent results suggest that carbethoxycarbenoid adds to the double and triple bonds of 2-hexen-4-yne to give the corresponding cyclopropanecarboxylates and cyclopropenecarboxylates in the ratio 1:1.6.¹³⁷ Previous reports indicated that catalytic reactions of ethyl diazoacetate with alkynes occurred preferentially with the *double* bond.¹³⁸⁻¹⁴⁰ Recent studies indicate that carbethoxycarbene shows discrimination in its reaction with chloroprene, the preferred position of attack being the more highly substituted double bond; with *cis*-1-chlorobuta-1,3-diene, attack at the terminal, unsubstituted double bond was preferred.¹⁴¹ With *cis*- and *trans*-1,3-pentadiene (**19a** and **19b**, respectively), ethyl diazoacetate affords all possible isomers of ethoxycarbonylvinylcyclopropane in the thermal (100°), uncatalyzed reaction and in the copper sulfate catalyzed thermal (40°) reaction.³⁹ The relative reactivity of the double bonds in **19a** and **19b** was found to be *cis*-1,2 > *trans*-1,2 > *trans*-3,4 > *cis*-3,4.³⁹ In view of the foregoing, the best that can be said at present is that carbalkoxycarbene and carbenoid additions to olefins and alkynes are subject to both steric and electronic influences in the substrate, the outcome of the addition reactions being determined by a subtle blend of both effects (*vide infra*).

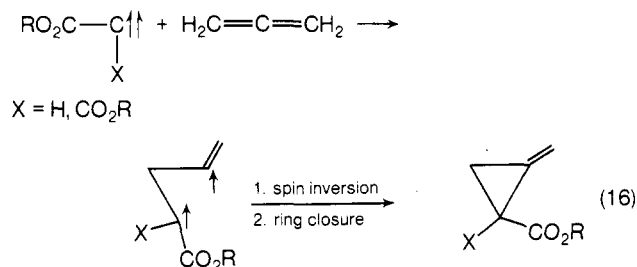
(5) With *cis* additions of carbalkoxycarbenes to substituted olefins, the question of stereoselectivity arises in the formation of *cis*- vs. *trans*-substituted cyclopropanecarboxylates (eq 14). With cyclic olefins, *exo* and *endo* products are possible (eq 15). In general, *trans* products predominate in the former and *exo* products in the latter case, the preference in each case being more pronounced for the catalytic reaction (involving carbalkoxycarbenoid) than for the photochemical reaction with diazoacetic esters.^{16,26,27} This demonstrates the importance of (unfavorable) nonbonded interactions in the transition state between the substrate and the carbene (or carbenoid) in





determining the cis/trans or exo/endo stereoselectivity of the addition reaction.

(6) The photolytic reactions of methyl diazoacetate and of dimethyl diazomalonate with allene has recently been investigated.²³ In both cases, sensitized and direct (unsensitized) photolyses afforded methylenecyclopropanes *via* simple addition of the carbene to one of the two allenic double bonds. The sensitized additions in both cases were found to proceed more rapidly than the corresponding unsensitized reactions. There was no evidence for the intermediacy of a trimethylenemethane intermediate in the benzophenone sensitized reactions. The favored mechanism for the reaction of carbalkoxycarbenes with allene is shown in eq 16.²³



The question of the nature of the reacting species in the "copper-catalyzed" additions of carbalkoxycarbenoids to olefins has been the subject of much debate.^{16,17} A precise knowledge of the structure of the catalyst-carbene "complex" is vital to understanding the detailed mechanism of these reactions. Perhaps a more basic question to ponder regards the identity of the active catalytic species itself! The answer to this latter question has been successfully pursued recently for copper catalyzed reactions of dimethyl and di-*tert*-butyl diazomalonates with olefins;^{142,143} the results of these investigations have important bearing on the corresponding monocarbalkoxycarbenoid reactions. It has been found that soluble catalysts afford nearly doubled yields of cyclopropane-containing products as are obtained when heterogeneous catalysts are employed in reactions of diazomalonates with cyclic olefins.^{144,145} Furthermore, the presence of peroxides has been found to convert soluble (trimethyl phosphite) copper(I) halides into a new catalyst which is superior in catalytic activity to the original material.^{144,145} Rather than acting in such reactions as an initiator of a free radical chain reaction, the peroxide instead appears to be serving simply as an oxidizing agent, producing undefined Cu(II) salts in solution. It is these Cu(II) salts which are now believed to be the actual catalytic species, and not Cu(I) or Cu(0) as was previously supposed.¹⁴⁶⁻¹⁴⁸ Indeed, copper(II) fluoroborate has proved to be the best catalyst thus far examined for promoting diazomalonate ester decompositions.¹⁴⁷

In another study, the (trialkyl phosphite)copper(I) halide catalysts system employed by Moser^{26,27} has been recently reexamined.¹³⁵ Two important effects were ob-

served for the reaction of dimethyl diazomalonate with 1-methylcyclohexene in the presence of this catalyst system: (1) the addition of common (halide) anions depressed the yields of all identifiable products, and (2) dramatic changes in the distribution of products occurred with change in the nature of the counterion, Z⁻, in catalyst systems (MeO)₃P-CuZ.¹³⁵ The first result was taken as evidence that displacement of the counterion in the catalyst by the diazo compound occurs in either the rate-determining step of the reaction or in a preequilibrium step. The second result was considered to have provided evidence that the nature of the leaving group, Z, influences the effective spin multiplicity of the carbenoid, *i.e.*, that there exists a competition between apparent singlet and triplet processes, the outcome of which is determined, at least in part, by the nature of the counterion in the catalyst. This interpretation, however, is suspect as the recognition of the intervention of "triplet" processes was based solely on the observation of allylic carbon-hydrogen insertion by the carbene (in contrast to Moser,^{26,27} who reported no carbon-hydrogen insertion in (trialkyl phosphite)copper(I) halide catalyzed reactions of diazoacetic esters with olefins). The possibility that this apparent "insertion" process might in reality result from a radical chain process was apparently not considered.¹³⁵

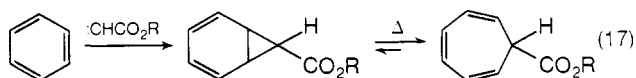
Perhaps the most interesting feature of this recent work was the authors' contention that "none of these results requires invoking a complex involving olefin, catalyst, and diazo compound or carbene as suggested by Moser."¹³⁵ These authors instead prefer a model in which the initial (and rate-controlling) interaction involves only the diazo ester and the soluble catalyst, with displacement of the counterion associated with the catalyst. The electrophilic attack on the olefin by the carbenoid is viewed as occurring *subsequent* to the rate-determining step. The role of the olefin in stabilizing the transition state (which occurs prior to its suffering attack by the carbene) is seen essentially as a solvation effect.¹³⁵

In summary, it can be safely stated that the naive models for the transition states of addition reactions of carbalkoxycarbenes (or carbenoids) to olefins which organic chemists have found convenient to employ over the years are indeed grossly insufficient representations. The enormous complexity of these processes (and especially those involving transition metal catalysts) has only recently come to be fully appreciated.¹⁴⁹ The need for a higher level of theoretical approach and for systematic experimental investigation is apparent.

2. Additions to Benzenoid Aromatic Systems

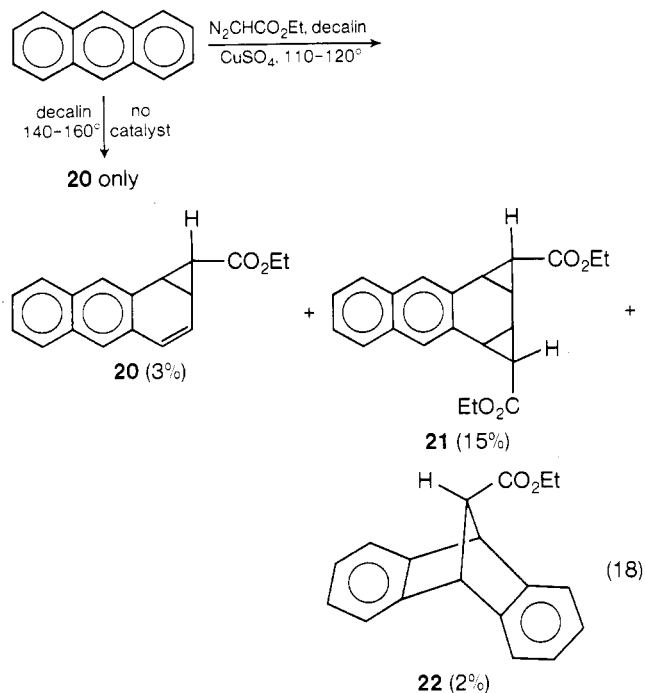
This subject has recently been reviewed as an adjunct to the more general subject of additions of carbenes to unsaturated systems.^{150,151} Some general observations which emerge from these reviews are as follows.

(1) The addition of monocarbalkoxycarbenes to benzene and benzene derivatives produces noncaradienes which rapidly undergo valence tautomerism to the corresponding tropilidene¹⁵² (eq 17). With substituted ben-



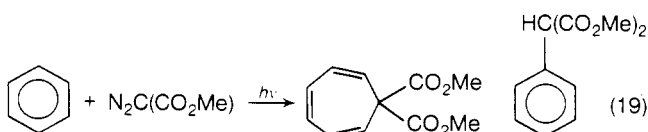
zenes, this reaction affords mixtures of substituted cycloheptatrienecarboxylates which often give rearranged products under the conditions of their formation. In such cases, the isomer ratios observed will not correspond to the kinetically controlled ratios.¹⁵³

(2) Additions of monocarbalkoxycarbenes or carbenoids to polynuclear aromatics such as naphthalene,¹⁵⁴ anthracene,¹⁵⁵⁻¹⁵⁸ and phenanthrene¹⁵⁸ afford stable cyclopropanecarboxylates. The copper sulfate catalyzed reaction of ethyl diazoacetate with anthracene is especially interesting, as a monoadduct (**20**) and a diadduct (**21**) are formed along with a third product (**22**) which is formed *via* an unusual 1,4-addition of the carbenoid to the 9,10 positions of anthracene,^{155-157,159} as shown in eq 18. However, it is unlikely that **22** is a primary product of this reaction.



(3) Very few mechanistic studies have been performed in connection with monocarbalkoxycarbene addition reactions with benzenoid hydrocarbons. The reaction of $:\text{CHCO}_2\text{Et}$ (Δ) with monosubstituted benzenes is a rare example of such a study. A linear free energy correlation of the relative rates of consumption of the aromatic substrates with Hammett σ_p values for the substituents in the monosubstituted benzene substrates revealed the existence of a linear relationship between these quantities with $\rho = -0.38$, indicative of the electrophilic character and highly indiscriminate nature of the thermally generated carbene.⁶⁶ Addition reactions of carbalkoxycarbenes with benzenoid aromatics have proved to be useful synthetic methods for the preparation of azulenes and tropolones.^{150,151}

(4) Despite a report to the contrary,¹⁶⁰ biscarbomethoxycarbene has recently been found to be capable of reacting with benzene.²³ This occurs in the manner shown in eq 19.²³ The sensitized photolysis probably proceeds *via* a diradical which can either close to afford the norcaradiene (which then valence tautomerizes to the

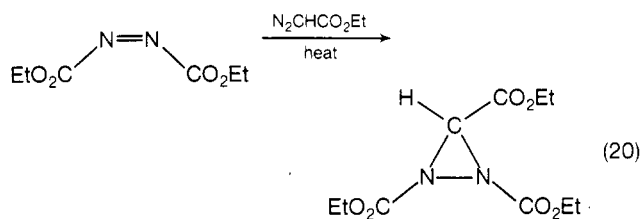


product ratio, direct photolysis: 2.7 : 1
 product ratio, $\text{Ph}_2\text{C}=\text{O}$ sensitized photolysis: 1.6 : 1

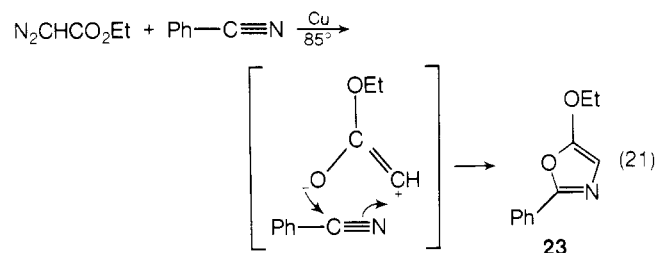
tropylidene), or it can suffer hydrogen shift to give the phenylmalonate.²³

3. Additions to Other π Systems

Besides undergoing addition reactions with unsaturated hydrocarbon systems, carbalkoxycarbenes undergo a number of interesting and often synthetically useful addition reactions with systems containing unsaturated linkages of the type $-\text{X}=\text{Y}-$ or $-\text{X}\equiv\text{Y}$, where one or both of the atoms X, Y may be heteroatoms. One of the earliest examples of reactions of this type is the thermal reaction of ethyl diazoacetate with diethyl azodicarboxylate¹⁶¹ (eq 20).

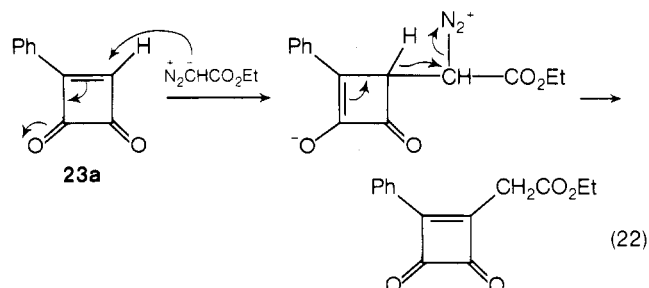


The addition of carbethoxycarbene to acrylonitrile proceeds in the usual fashion to afford *cis*- (49%) and *trans*- (40%) 1-cyano-2-ethoxycarbonylcyclopropane.¹⁶² However, the corresponding reaction with benzonitrile has been reported to produce an oxazole (**23**) *via* 1,3-dipolar cycloaddition of carbethoxycarbene to the CN group¹⁶³ (eq 21). Addition of carbalkoxycarbenes to the $-\text{C}=\text{N}-$



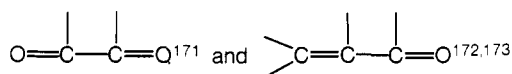
linkage in imines affords the corresponding aziridine.^{164,165}

Diazoacetic esters undergo a number of interesting reactions with ketones and thioketones; these have been reviewed recently.^{166,167} Most of these reactions involve copper (or copper sulfate) catalysis, and it is interesting to note that oxiranes or thiranes (which might be obtained by simple addition of carbalkoxycarbenoid to the C=O or C=S double bond, respectively) are *not* observed. Ethyl diazoacetate reacts with simple ketones to afford enol ethers.^{168,169} An addition-elimination mechanism has recently been proposed for the reaction of ethyl diazoacetate with 1-phenyl-1-cyclobutene-3,4-dione (**23a**, an α,β -unsaturated ketone) as indicated in eq 22.¹⁷⁰ Note,



however, that it is the undissociated diazoester and *not* the carbene which is considered to be the attacking (in this case, nucleophilic) reagent. Unusual examples of

1,4-addition of carbalkoxycarbenes to systems containing the linkages

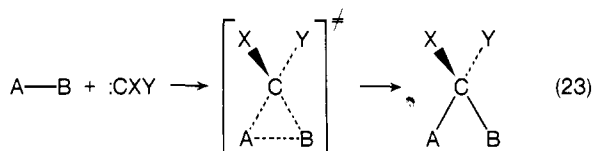


have been reported.

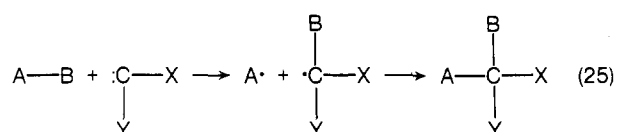
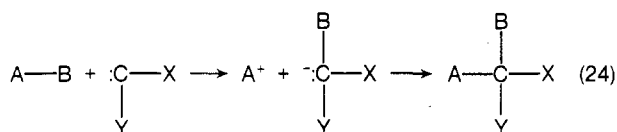
IV. The Insertion Reaction

A. General Mechanistic Considerations

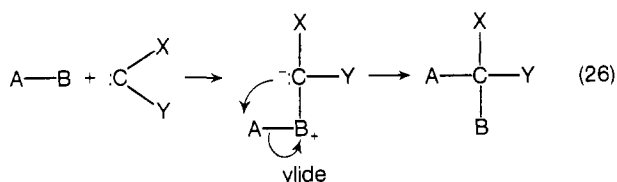
In the most general sense a carbene insertion reaction is one in which the carbene :CXY attacks a bond A-B, cleaving the A-B σ bond and forming new σ bonds between the carbene and each of the functionalities A and B (eq 23). The term "insertion" is reserved for those



reactions where cleavage of the A-B bond and formation of the A-C and B-C bonds occur in concert. The alternative stepwise process, abstraction followed by recombination, may afford "insertion-type" products via a dipolar or diradical process (eq 24 and eq 25, respectively).



When B is a heteroatom containing one or more unshared pairs of electrons, a third mechanistic possibility may be operative involving intermediate ylide formation as shown in eq 26. This process bears a formal resemblance to the familiar Stevens rearrangement, and it might analogously be expected to proceed with retention of configuration at atom A (see sections IV.C and IV.D).



As envisioned above, insertion of a *singlet* carbene into A*-B (where the asterisk denotes that atom A is asymmetric) via a three-center transition state should result in a product, A*CXYB, in which the configuration of the asymmetric atom is *retained*. A triplet carbene may "insert" via abstraction-recombination, the stepwise process affording the opportunity for the intermediate (ion or radical) A* to racemize. The situation is confounded, however, by a third possibility: singlet carbenes have been demonstrated in some instances to be capable of giving "insertion" products via a radical abstraction-recombination mechanism. However, this process is probably restricted to reactions which involve initial attack of the carbalkoxycarbene on heteroatomic substrates; it should not be important in carbon-hydrogen insertion reactions (see section IV.C.3).

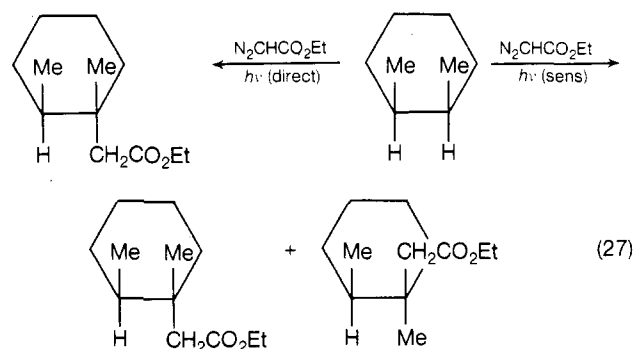
Relatively little is known concerning the detailed mechanism of carbalkoxycarbene insertion reactions. These are not pleasant reactions to work with; although more selective in their insertion reactions with, e.g., alkanes than is methylene, carbalkoxycarbene insertion reactions nevertheless afford gross mixtures of isomeric esters whose separation is generally tedious. These insertion reactions of carbalkoxycarbenes are therefore seldom attractive from a synthetic viewpoint. These same features which detract from their synthetic utility also render mechanistic studies difficult. Nevertheless, efforts in this direction have met with some success. A general accounting of what is known about these reactions is presented in the following sections.

B. Insertion into Carbon-Hydrogen Bonds: Stereochemistry and Selectivity

The general subject of carbene insertions into carbon-hydrogen bonds has been recently reviewed.¹¹¹ The following points summarize some significant observations which have been reported regarding the stereochemistry and mechanism of carbon-hydrogen bond insertion by carbalkoxycarbenes.

(1) A critical experiment establishing the stereochemistry of carbon-hydrogen bond insertion by :CHCO₂Me (*hv*) has been performed utilizing methyl (-)-(S)-2-methoxypropionate as substrate.¹⁷⁴ The product of carbon-hydrogen insertion, dimethyl (+)-(R)-2-methoxy-2-methylsuccinate (isolated in low yield), was formed with $99 \pm 4\%$ retention of configuration at the asymmetric carbon atom in the substrate. No corresponding study has been performed with :CHCO₂R (Δ). Carbalkoxycarbenoids produced by copper-catalyzed decompositions of diazoacetic esters with rare exceptions^{135,175-177} do not insert into carbon-hydrogen bonds. Reactions of these species in solution with alkanes afford carbene dimers (maleate and fumarate esters),^{26,27,178,179} trimers,¹⁸⁰ and tetramers.^{181,182}

(2) The photolytic reaction of ethyl diazoacetate with *cis*-1,2-dimethylcyclohexane has been studied; insertion into a tertiary carbon-hydrogen bond occurs with complete retention of the *cis* stereochemistry.⁶⁵ Interestingly, the corresponding photosensitized process was observed to be nonstereospecific⁶⁵ (eq 27). Similarly, direct pho-



tolysis of dimethyl diazomalonnate in the presence of 2,3-dimethylbutane gave qualitatively different results than were obtained for the corresponding benzophenone sensitized reaction,^{23,24} as indicated in eq 28 and 29. The utility of such observations as a diagnostic tool for assigning spin state of the reacting carbalkoxycarbene remains yet to be fully exploited, although the above results are strongly suggestive in this regard.

In these photolytic decompositions of diazomalonic ester, it has been generally observed that the sensitized decomposition affords a slightly more selective interme-

of carbalkoxycarbenoids with alkynes is *not* to be construed as evidence for the ability of these carbenoids to insert into carbon-hydrogen bonds.

C. "Insertion" into Carbon-Halogen Bonds

In this section and the one which follows, consideration will be given to "insertion" reactions involving attack by carbalkoxycarbenes at C-X bonds where X is a lone-pair substituent. We must therefore consider mechanisms involving intermediate ylide formation as a prelude to abstraction-recombination in addition to the concerted insertion and simple abstraction-recombination mechanisms which were considered in connection with carbon-hydrogen insertion reactions of carbalkoxycarbenes.

1. Reactions with Polyhalomethanes

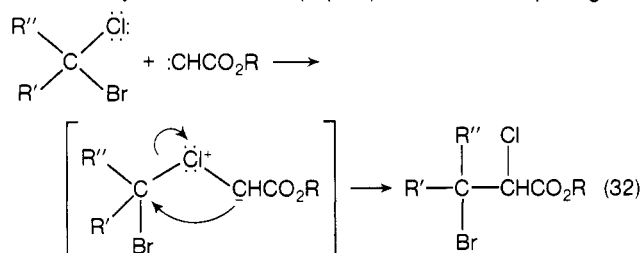
There has been some suggestion that reactions of alkyl diazoacetates with polyhalomethanes may proceed via free radical chain mechanisms.¹⁹³ In an early study, Urry and Wilt^{194,195} found that photolytic reactions of methyl diazoacetate with chloroform, carbon tetrachloride, and bromotrichloromethane produced the corresponding methyl polyhalopropionates which, under the conditions of the reaction, suffered dehydrohalogenation to give methyl polyhaloacrylates. A free radical chain mechanism was postulated on the basis of the identity of the products and by analogy to earlier studies^{196,197} which involved the corresponding reactions of diazomethane with polyhalomethanes (Scheme 1). However, it should be borne in mind that recent CIDNP studies do not provide support for a radical chain mechanism in such reactions¹³⁰ (*cf.* discussion in section II.3). This question certainly merits detailed reinvestigation, but it will become apparent from the ensuing discussion that other mechanistic alternatives (especially those involving the formation of an intermediate halonium ylide) have gained much support in recent years.

The photolytic reaction of methyl diazoacetate with bromotrichloromethane has also been reexamined recently.¹³⁰ Whereas Wilt reported having identified $\text{Cl}_2\text{BrCCHClCO}_2\text{Me}$, Cl_3CCl_3 , $\text{Br}_2\text{CHCO}_2\text{Me}$, $\text{Cl}_2\text{C}=\text{CClCO}_2\text{Me}$, and HBr as products of this reaction,¹⁹⁵ reinvestigation has led also to the isolation of two additional methyl tetrahalopropionates and methyl dichloroacetate.¹³⁰

In addition to the studies of the photolytic reactions of methyl diazoacetate with carbon tetrachloride, chloro-

form, and bromotrichloromethane (discussed above), Urry and Wilt also studied the corresponding reactions with CH_2Br_2 , CBr_2Cl_2 , CHBr_3 , CH_2Cl_2 , CHClBr_2 , CHCl_2Br , CH_2ClBr , and $\text{Cl}_2\text{CHCO}_2\text{Me}$.¹⁹⁵ In these reactions, C-X "insertion" products were generally observed in yields ranging from *ca.* 8 to 30%. Some significant general observations of possible mechanistic significance are as follows: (1) products of "insertion" into both carbon-chlorine and carbon-bromine bonds (affording methyl α -chloropropionates and methyl α -bromopropionates, respectively) were observed, but in substrates containing both chlorine and bromine, the major products were methyl α -chloro- (rather than α -bromo-) propionates; (2) the reactions displayed pseudo-zero-order kinetics; (3) products clearly resulting from free radical (or free radical chain) mechanisms (such as hexahaloethanes) were occasionally found; and (4) added diphenylamine, a commonly employed free radical scavenger, had only a slight inhibitory effect on the overall reaction rate.¹⁹⁵

The preferred mechanism to account for the formation of the methyl propionates involved carbene attack at halogen with consequent ylide formation, followed by subsequent $\text{S}_{\text{N}}1$ -type displacement of the halogen involved in ylide formation (eq 32). Various competing free



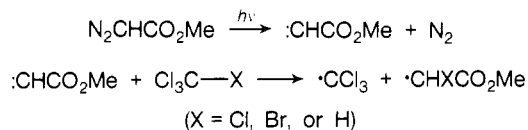
radical sequences were proposed to account for a number of minor reaction products. The observed preference for attack at chlorine over attack at bromine by the carbene in compounds of the type R_2CBrCl was accounted for in terms of the greater electronegativity of chlorine relative to bromine, with the consequent preference being shown by the electrophilic carbalkoxycarbene¹⁹⁵ (however, see further discussion regarding this point in sections IV.C.2 and IV.C.3).

Recently, arguments against the operation of a radical chain mechanism in the photolytic reaction of diazoacetic esters with carbon tetrachloride, chloroform, and methylene chloride have appeared.¹⁹⁸ When ethyl diazoacetate was decomposed in each of these substrates in turn in the presence of known radical chain initiators (such as benzoyl peroxide and perbenzoic acid), different products were obtained from those reported earlier by Urry and Wilt^{194,195} (who had performed the corresponding photolytic reactions in the absence of added initiators). These results suggest that the photochemical decomposition of ethyl diazoacetate in the absence of added initiator affords a species which reacts quite differently with polyhalomethanes than does the corresponding species produced when this diazo ester is decomposed in radical fashion.

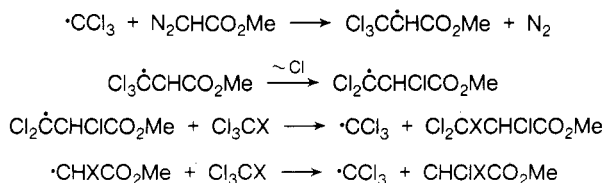
The question of the stereochemistry of these carbon-halogen "insertion" reactions by carbalkoxycarbenes is of considerable mechanistic interest. In a widely quoted but as yet unpublished study, Doering has found that the corresponding reaction of :CH_2 ($h\nu$) with the carbon-chlorine bond of optically active 2-chlorobutane proceeds with a high degree of racemization.¹⁹⁹ No corresponding information is available for carbalkoxycarbenes; however, there has been one report of the reaction of $\text{:CHCO}_2\text{Me}$ ($h\nu$) with optically active methyl chlorobromoacetate (31) which afforded optically active methyl α -chloro- α' -bro-

SCHEME 1

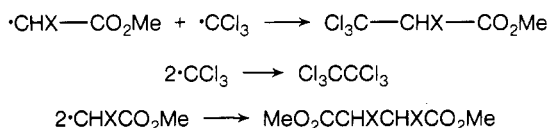
Initiation



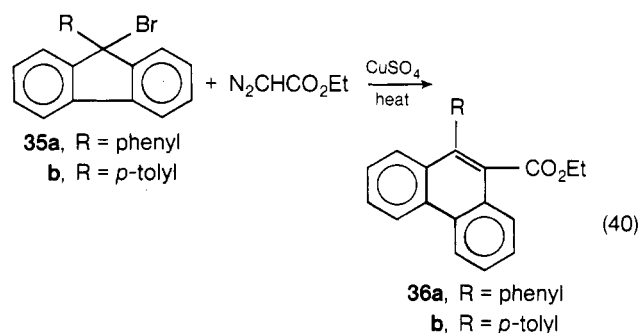
Propagation



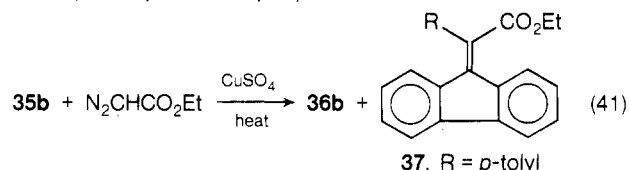
Termination



Similar reactions of ethyl diazoacetate with triphenylmethyl chloride, diphenylmethyl dichloride, 9-bromofluorene, and 9,9-dibromofluorene, all in the presence of copper catalysts, have also been studied.¹⁹³ In all cases, free radical *chain* mechanisms were postulated to account for the observed reaction products. Whereas 9-bromofluorene reacted with ethyl diazoacetate in the presence of copper sulfate to afford only 9,9'-bifluorenylidene and ethyl bromoacetate,¹⁹³ the corresponding reaction with 9-phenyl-9-bromofluorene (**35a**) afforded a condensation product in which a novel ring expansion had occurred to give ethyl 10-phenyl-9-phenanthrenecarboxylate (**36a**)²⁰⁶ (eq 40). With 9-*p*-tolyl-9-bromofluorene (**35b**),



two condensation products were observed:²⁰⁶ the ring-expanded product (**36b**) and a second product, **37**, analogous to the ethyl triphenylacrylate (**33**) which had earlier been found in the corresponding copper catalyzed reaction of triphenylmethyl bromide with ethyl diazoacetate²⁰⁵ (see eq 40 and eq 41).



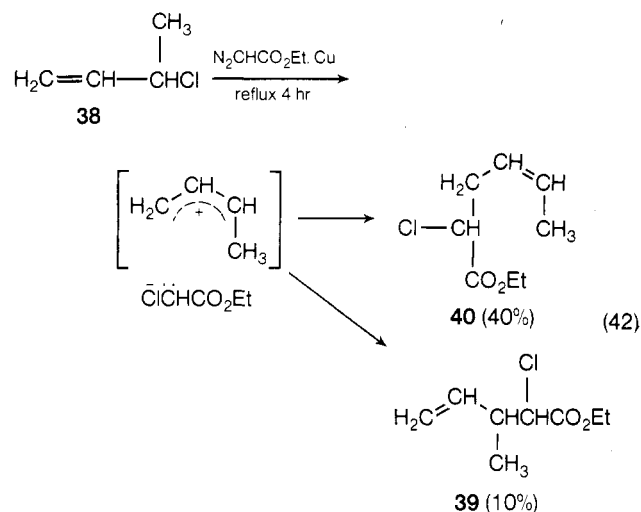
A free radical chain mechanism was suggested for the formation of **36** and **37** in these reactions as indicated in Scheme II. Interestingly, further investigation of the mother liquor obtained in the copper sulfate catalyzed reaction of ethyl diazoacetate with **35a** was reported to afford 9-phenyl-9-ethoxyfluorene as an additional reaction product.²⁰⁶ It is difficult to account for the formation of this material in terms of the above free radical chain mechanism.

It is worth noting that there is no compelling evidence which demands that a radical chain mechanism be operative in the reactions discussed above. In fact, there is an increasing body of evidence which suggests that the major reaction path followed by diazo ester reactions with allylic and benzylic halides involves halonium ylide intermediates, and that these reactions do not proceed primarily *via* radical chain mechanisms.^{206a} Some years ago, the reaction of benzal chloride with ethyl diazoacetate at 160–175° was reported to afford only ethyl α -chlorocinnamate (24%); a mechanism postulating the intermediacy of a chloronium ylide was suggested for this reaction.⁶⁹ More recently, it has been shown that under appropriate circumstances stable halonium ylides can be *isolated* from reactions of carbenes with substrates containing carbon-halogen bonds.^{207,208}

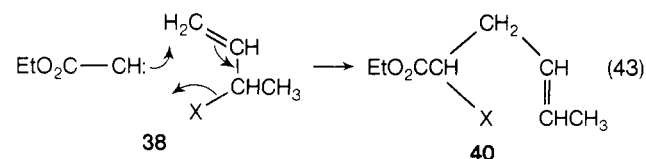
Reactions of allylic halides with diazoacetic ester have been more thoroughly and systematically studied than have the corresponding reactions with aralkyl halides. The results obtained for reactions involving the former system have probable bearing on mechanistic interpretations for those involving the latter.

Early studies of the reaction of carbethoxycarbenoid with allyl chloride indicated a slight preference for carbon-chlorine bond "insertion" relative to addition to the carbon-carbon double bond of the substrate.²⁰⁹ The corresponding reactions with allyl bromide²¹⁰ and allyl iodide²⁰⁴ resulted in the formation of ethyl 2-bromo-4-pentenoate (up to 70%) and ethyl 2-iodo-4-pentenoate (70%), respectively; in neither case were any cyclopropane-containing products observed. With 2,3-dichloropropene, carbethoxycarbenoid was observed to give mainly addition products along with some ethyl 2,4-dichloro-4-pentenoate (the product of "insertion" into the allylic carbon-chlorine bond).²¹¹ The corresponding reaction with 2,3-dibromopropene afforded exclusively ethyl 2,4-dibromo-4-pentenoate, whereas only tars and diethyl fumarate could be obtained with 1,3-dibromopropene as substrate.²¹²

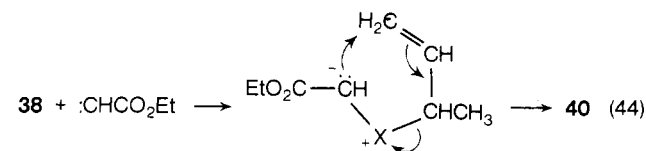
In agreement with the earlier findings of the Russian workers, Phillips²¹³ observed exclusive formation of ethyl 2-halo-4-pentenoate in the reactions of carbethoxycarbenoid with allyl bromide and allyl iodide. The reaction of carbethoxycarbenoid with α -methylallyl chloride (**38**) afforded 10% of the unrearranged carbon-chlorine "insertion" products (**39**) and 40% of a *rearranged* isomeric product (**40**) under reaction conditions where both the reactants and products were demonstrated to be stable. The intermediacy of an ion pair capable of affording an S_N2' rearrangement was suggested²¹³ (eq 42).



A concerted mechanism for the formation of **40** kinetically indistinguishable from the above S_N2' mechanism was also considered (eq 43).



A third possibility for the formation of **40** in the above reaction might involve (reversible or irreversible) prior halonium ylide formation as indicated in eq 44. As envi-



sioned above, the rearrangement of the halonium ylide to form **40** is essentially a symmetry allowed, thermal [2,3] sigmatropic rearrangement.⁸⁴ The rearranged carbon-

SCHEME II

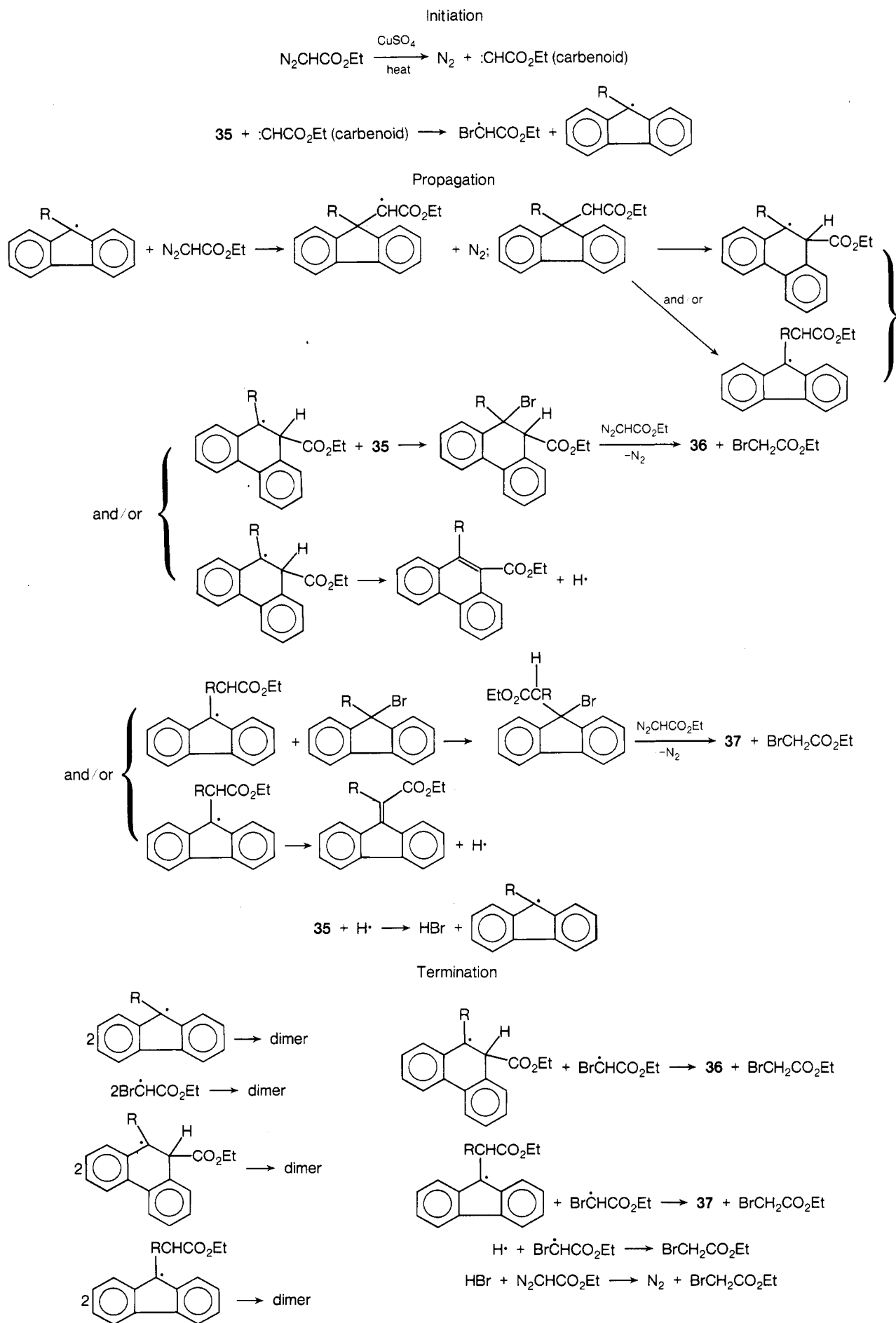


TABLE II. Product Distributions for the Reactions of Diazo Esters with Allyl Chloride (41), β -Methylallyl Chloride (42), γ -Methylallyl Chloride (43), and Allyl Bromide (44)

Diazo ester	Substrate	Method of decompn of diazo ester	% yield of C-X "insertion" product	% yield of C=C addition product	Ref
$N_2C(CO_2Me)_2$	41	$h\nu$ (direct)	53	23	220
$N_2C(CO_2Me)_2$	41	$h\nu$ ($Ph_2C=O$ sens)	5	88	220
$N_2C(CO_2Me)_2$	41	110° , CuCl	32	3	221
N_2CHCO_2Et	41	$h\nu$ (direct)	21	18	220
N_2CHCO_2Et	41	$h\nu$ ($Ph_2C=O$ sens)	7	69	220
$N_2C(CO_2Me)_2$	42	$h\nu$ (direct)	25	22	220
$N_2C(CO_2Me)_2$	42	$h\nu$ ($Ph_2C=O$ sens)	Trace	86	220
$N_2C(CO_2Me)_2$	42	110° , CuCl	35	4	221
N_2CHCO_2Et	42	$h\nu$ (direct)	57	30	219
N_2CHCO_2Et	42	$h\nu$ ($Ph_2C=O$ sens)	6	85	220
$N_2C(CO_2Me)_2$	43	$h\nu$ (direct)	38	15	220
$N_2C(CO_2Me)_2$	43	$h\nu$ ($Ph_2C=O$ sens)	Trace	49	220
$N_2C(CO_2Me)_2$	43	110° , CuCl	35	Trace	221
N_2CHCO_2Et	43	$h\nu$ (direct)	16	8	219
N_2CHCO_2Et	43	80° , 60 min, CuCl	9	2	219
N_2CHCO_2Et	43	80° , 9 min, CuCl	12	3	219
N_2CHCO_2Et	43	80° , 60 min, Cu	6	3	219
$N_2C(CO_2Me)_2$	44	$h\nu$ (direct)	38	6	220
$N_2C(CO_2Me)_2$	44	$h\nu$ ($Ph_2C=O$ sens)	4	30	220

chlorine "insertion" product (39) can be visualized as a Stevens-type rearrangement of the halonium ylide intermediate. Recent CIDNP studies suggest that these reactions in, e.g., sulfonium ylides, take place *via* homolytic fragmentations.^{104,214-218}

The suggestion of a chloronium ylide intermediate has recently been forwarded to account for the observed course of the reactions of carbethoxycarbenoid,²¹⁹ $:CHCO_2Et$ ($h\nu$),²²⁰ biscarbomethoxycarbenoid,²²¹ and $:C(CO_2Me)_2$ ($h\nu$)²²² with allylic halides. Interestingly, the relative yields of C-X "insertion" products and carbon-carbon double bond addition products were found to be strongly dependent on the mode of decomposition of the diazo ester; the results for allyl chloride (41), β -methylallyl chloride (42), γ -methylallyl chloride (43), and allyl bromide (44) are summarized in Table II. A dramatic change in the "insertion"/addition ratio was observed in each case upon changing from direct photolysis to sensitized photolytic conditions, reflecting the difference in reactivity (and, consequently, selectivity) between the singlet and triplet carbalkoxycarbenes. From these results, it was concluded that singlet carbalkoxycarbenes produced *via* direct photolysis of diazo esters preferentially attack the halogen atom in allylic halides to afford predominantly C-X "insertion" products, whereas the corresponding triplet carbenes generated by benzophenone sensitized photolyses preferentially add to the carbon-carbon double bonds in these substrates.²²¹

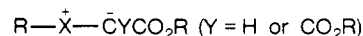
Support for this interpretation of the differing behavior of carbalkoxycarbenes produced *via* direct photolyses vs. sensitized photolyses of diazo esters was obtained *via* the observation of a solvent effect on the "insertion"/addition ratio in the photolytic reaction of dimethyl diazomalonnate with allyl chloride.²²² Dilution with methylene halides was found to decrease the value of this ratio, the observed value approaching that obtained in the benzophenone sensitized reaction. Not only does this implicate the triplet carbene in the photolytic reaction when carried out in the presence of heavy-atom-containing "inert" solvents^{61,208,223} and in the benzophenone sensitized reaction, but it also suggests that the carbene produced by direct photolysis of dimethyl diazomalonnate (*i.e.*, singlet $:C(CO_2Me)_2$) is in a higher energy electronic configuration than is that produced *via* photosensitized decomposi-

tion of the diazo ester.²²⁴ (It should be noted that a factor which limits the reliability of the methylene halide dilution studies is that these solvents are *not* inert to $:C(CO_2Me)_2$ ($h\nu$), and the observed results could reflect preferential entrapment of the singlet carbene with consequent lowering of the "insertion"/addition ratio in the products.)²²²

Perhaps the strongest single piece of evidence to support the postulated intermediacy of ylides in "insertion" reactions of carbalkoxycarbenes into C-X bonds (X being a lone-pair atom) is the observation that $:C(CO_2Me)_2$ ($h\nu$) reacts with aliphatic sulfides to form *stable* sulfonium ylides.^{104,225,226} Subsequent reactions of these *stable* ylides have also been studied, and they have been found to afford the same types of products as are obtained in reactions of $:C(CO_2Me)_2$ ($h\nu$) with the parent sulfides.^{104,225,226} These subjects and related observations will be discussed in more detail in sections IV.D and V.

D. Miscellaneous "Insertion" Reactions

In the previous section, we considered "insertion" reactions into carbon-halogen bonds resulting from initial attack by carbalkoxycarbenes at halogen atoms (in the halonium ylide mechanism). Corresponding "insertion" reactions have been observed for C-X bonds, where X is a lone-pair atom other than halogen. Mechanisms involving the formation of ylide intermediates of the type



have been postulated for a number of these reactions. Taken together with the results of Ando and coworkers regarding carbalkoxycarbene reactions with allylic halides,²¹⁹⁻²²² these studies offer a significant body of evidence to support the suggestion of intermediate ylide formation in the "insertion" reactions of carbalkoxycarbenes into C-X bonds, where atom X possesses an unshared electron pair.

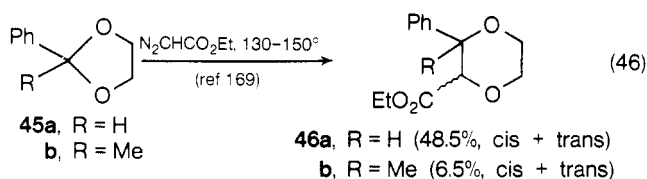
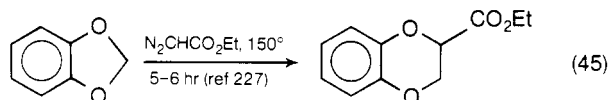
1. Reactions with C-O, C-S, and C-N Bonds

Cyclic acetals,^{169,227} thioacetals,^{228,229} orthoesters,²²⁸ and trithioorthoesters²²⁸ have been observed to undergo

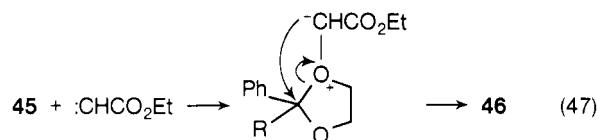
TABLE III. Product Distributions for the Reactions of Ethyl Diazoacetate with Alkyl Allyl Sulfides²¹⁹

Substrate	N ₂ CHCO ₂ Et, mmol	Mode of decompn of diazo ester	% yield of C=C addition product (cis, trans)	% yield of C-S insertion product
MeCH=CHCH ₂ SEt	1.8	<i>hν</i> (direct)	6	23
MeCH=CHCH ₂ SEt	1.9	<i>hν</i> (direct)	7	19
MeCH=CHCH ₂ SEt	1.8	90°, 2 min, CuCl	...	95
MeCH=CHCH ₂ SEt	1.8	90°, 2 hr, Cu	...	85
MeCH=CHCH ₂ SEt	2.0	80°, 24 hr, no catalyst	5	25
H ₂ C=CHCH ₂ S- <i>n</i> -Bu	4.4	<i>hν</i> (direct)	10	15
H ₂ C=CHCH ₂ S- <i>t</i> -Bu	4.4	<i>hν</i> (direct)	13	16
H ₂ C=C(Me)CH ₂ S- <i>n</i> -Bu	4.4	<i>hν</i> (direct)	15	23

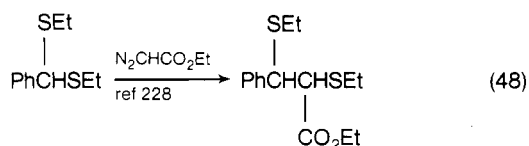
ring expansions when allowed to react with ethyl diazoacetate at elevated temperatures (eq 45 and 46). An



oxonium ylide mechanism was suggested for the formation of **46** from **45**¹⁶⁹ (eq 47). The corresponding open-



chain compounds also undergo carbon-oxygen or carbon-sulfur "insertion" as indicated in eq 48.



Similarly, carbon-oxygen "insertion" by carbalkoxycarbenes in their reactions with aliphatic ethers^{57,230-232} (and the corresponding carbon-sulfur "insertions" with thioethers¹⁰⁴) has been observed rarely. Generally, aliphatic ethers react with carbalkoxycarbenes to afford mixtures of carbon-hydrogen insertion products along with products arising *via* carbon-oxygen bond cleavage²³³ (*cf.* section V). However, "insertions" by carbalkoxycarbenes and carbenoids into the *allylic* carbon-oxygen bond of allyl methyl ethers has recently been observed.^{219,234,235}

Insertion into carbon-sulfur bonds has been observed for thermal (both copper catalyzed and uncatalyzed) and photochemical reactions of ethyl diazoacetate with alkyl allyl sulfides;²¹⁹ the results of these studies are summarized in Table III. In general, the C-X "insertion"/addition ratio was observed to be higher for corresponding reactions of alkyl allyl sulfides than for either alkyl allyl ethers or allyl chlorides, indicating the increased facility of attack of the carbene (or carbenoid) on carbon-sulfur bonds relative to carbon-oxygen or carbon-chlorine bonds. This may reflect the relative stabilities of the intermediate sulfonium, oxonium, and chloronium ylides, respectively, or it may instead be a kinetic effect. In con-

trast to :C(CO₂Me)₂ which was found to react with alkyl sulfides to afford stable sulfonium ylides,^{225,226} :CHCO₂Et instead gave products considered to arise *via* subsequent reaction of the (somewhat less stable) intermediate ylides.²¹⁹

In general, :C(CO₂Et)₂ reacts with allylic sulfides and ethers to afford rearranged C-X "insertion" products. It is interesting to note that :CHCO₂Et reacts with allylic ethers and chlorides to afford some nonrearranged C-X "insertion" products as well, although the corresponding reactions with allylic sulfides afford only rearranged "insertion" products.²¹⁹ Mechanistic details remain to be established which might qualitatively (or quantitatively) account for the observed differences between reactions of :C(CO₂R)₂ and :CHCO₂R with carbon-sulfur, carbon-oxygen, and carbon-halogen bonds in allylic systems.

Diazomalonate ester decompositions in the presence of allyl sulfides and ethers under a variety of environmental conditions have recently been reported.^{219,221,237} The results are in good general agreement with those of previous studies of this type. The relative ratio of C-X "insertion"/addition was dramatically decreased in the sensitized photolysis of the diazo ester as compared with that obtained from direct photolytic experiments. Generally, the corresponding carbenoid afforded exclusively C-X "insertion" products with H₂C=CHCH₂XR, (X = O or S; R = alkyl, except for the case of R = *t*-Bu, which effectively sterically hindered attack by the bulky carbenoid on the carbon-oxygen or carbon-sulfur bond, respectively, in the substrate). Interestingly, CuCl catalyzed thermal reactions of ethyl diazoacetate with allylic sulfides and ethers afforded *no* carbene dimers (diethyl maleate or fumarate), whereas these dimers were found to be the major products in the corresponding reaction with allyl chloride.²¹⁹

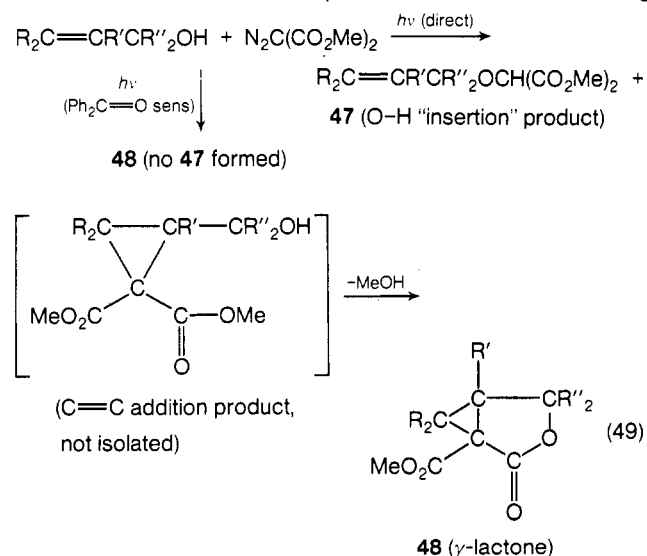
Stable sulfonium ylides have been produced from the decomposition of dimethyl diazomalonate in the presence of alkyl and aryl sulfides and of dialkyl disulfides.^{104,225,226,238} This behavior was expected for the case of reactions involving the carbene produced *via* direct photolysis of the diazo ester;^{225,226} however, somewhat surprisingly,²³⁷ the triplet carbene generated *via* benzophenone sensitized photolysis of the diazo ester and the corresponding carbenoid *both* likewise afforded stable sulfonium ylides.¹⁰⁴ These observations somewhat complicate attempts to explain why the courses of reactions of diazo esters with allyl chlorides, sulfides, and ethers are so greatly altered by changes in the mode of decomposition of the diazo esters (Tables II and III).

Reports of C-N bond "insertions" by carbalkoxycarbenes are relatively rare. One such example has been published for the photolytic and thermal reactions of ethyl diazoacetate with benzyldimethylamine.²³⁹ For this reaction, the carbon-nitrogen "insertion" product, ethyl 2-dimethylamino-3-phenylpropionate, was observed along

with ethyl 3-dimethylamino-3-phenylpropionate (the product of insertion of carbethoxycarbene into the benzylic carbon-hydrogen bond). Other examples have been reported recently for the reactions of allylamines with $:C(CO_2Me)_2$ ($h\nu$).²²¹ Along with addition products, these reactions afford rearranged carbon-nitrogen "insertion" products, although these reactions proceed to afford lower yields than were found previously for the corresponding C-X "insertion" reactions of $:C(CO_2Me)_2$ with allyl ethers and allyl sulfides.²²¹

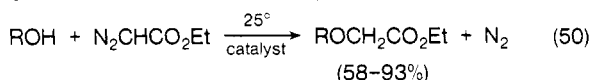
2. Reactions with O-H, S-H, and N-H Bonds

Carbalkoxycarbenes produced photolytically from diazo esters react with alcohols to give carbon-hydrogen and oxygen-hydrogen "insertion" products; these processes compete with Wolff rearrangement of the carbene (see section VI.B).^{180,240,241} An early study of the reaction of allyl alcohol with carbethoxycarbenoid reported good yields of ethyl allyloxyacetate ($H_2C=CHCH_2OCH_2CO_2Et$, the oxygen-hydrogen "insertion" product, 52.5% yield) accompanied by a small amount of the corresponding addition product.²⁴² A more recent investigation of the reactions of dimethyl diazomalonate and ethyl diazoacetate with allylic alcohols has reported that the relative ratio of oxygen-hydrogen "insertion"/addition is sensitive to the mode of decomposition of the diazo ester.^{234,243} Interestingly, the products of addition of $:C(CO_2Me)_2$ to the various allylic alcohols studied were not stable to the reaction conditions, but instead they spontaneously lactonized with elimination of methanol.²⁴³ Another interesting feature of this reaction is that the corresponding benzophenone sensitized photolysis of dimethyl diazomalonate produced a (presumably triplet) carbene which added to the double bond of allylic alcohols but did not "insert" into their oxygen-hydrogen bonds.²⁴³ These results are summarized in eq 49. A mechanism involving



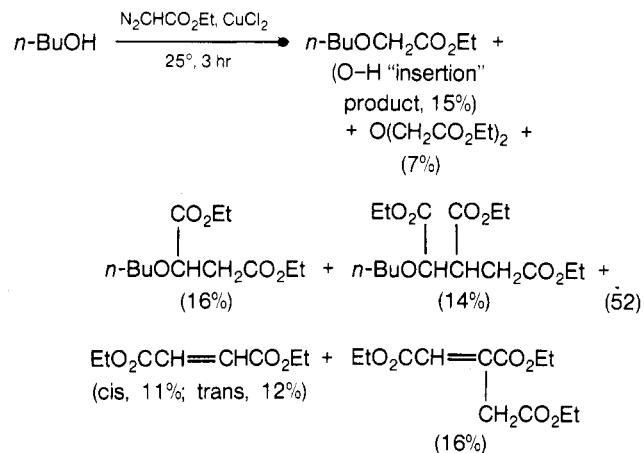
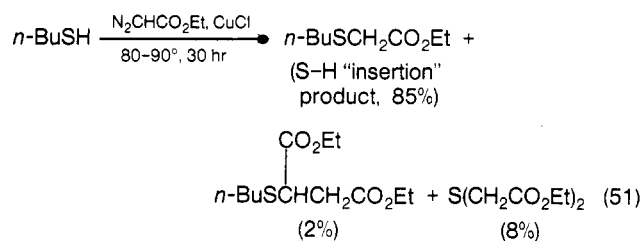
intermediate oxonium ylide formation was proposed for the oxygen-hydrogen "insertion" reaction.

The results of a very recent study suggest that thermal decomposition (25°) of ethyl diazoacetate in the presence of catalytic amounts of rhodium salts (such as $Rh_2(OAc)_4$, $RhCl_3 \cdot 3H_2O$, and $(Ph_3P)_3RhCl$) in homogeneous solution produces a species capable of "inserting" into the oxygen-hydrogen bond of alcohols, water, and weak acids^{243a} (eq 50). No products arising *via* carbon-hydrogen insertion by carbethoxycarbene (or carbenoid)

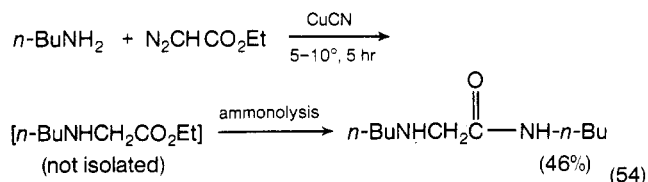
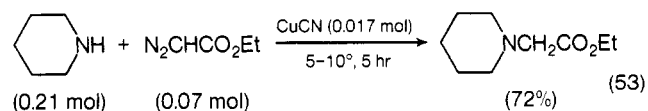


were obtained in this reaction. A first-order dependence of the rate of the oxygen-hydrogen "insertion" reaction upon the catalyst concentration was noted, suggesting that complex formation (involving interaction between the metal catalyst and either the carbene or its diazo precursor) is kinetically important.

The copper catalyzed reactions of ethyl diazoacetate with alcohols and thiols have recently been studied.¹⁸⁰ In an earlier study, $:CHCO_2Et$ (Δ , 80°) was reported to have reacted with benzenethiol to afford ethyl phenylthioacetate, but aliphatic thiols gave no reaction under comparable conditions.²⁴⁴ This same reaction with aliphatic thiols performed in the presence of cuprous chloride affords the sulfur-hydrogen "insertion" products in high yield and with a high degree of selectivity.¹⁸⁰ In contrast, alcohols afforded oxygen-hydrogen "insertion" products with ethyl diazoacetate in the presence of cupric chloride in a much less selective reaction. Some examples which illustrate this point are shown in eq 51 and eq 52.¹⁸⁰



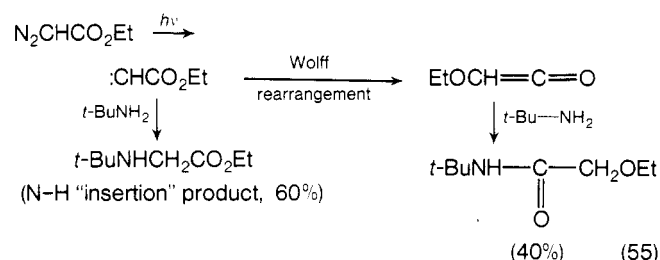
The study of reactions of carbalkoxycarbenes with nitrogen-hydrogen bonds is practically virgin territory. In one such study, Saegusa and coworkers have reported nitrogen-hydrogen "insertion" by carbethoxycarbenoid in its reactions with primary and secondary amines²⁴⁵ (eq 54 and 53, respectively). The conditions employed in



these reactions are extremely mild (even for Cu(I) catalyzed thermal decompositions of ethyl diazoacetate), and one might question whether these reactions do indeed proceed *via* attack of carbethoxycarbenoid on the amines. Nevertheless, the observations of relatively good

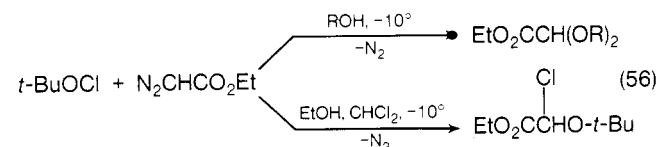
yields of products obtained under very mild conditions should serve to stimulate further inquiry into the generality of reactions of this type for synthetic purposes.

The reaction of $:\text{CHCO}_2\text{Et}$ ($h\nu$) with *tert*-butylamine has been reported to afford the nitrogen-hydrogen "insertion" product along with a product resulting from Wolff rearrangement (section VI.B) of the carbene¹⁶⁴ (eq 55).



3. Reactions with O-Cl, S-Cl, and S-S Bonds

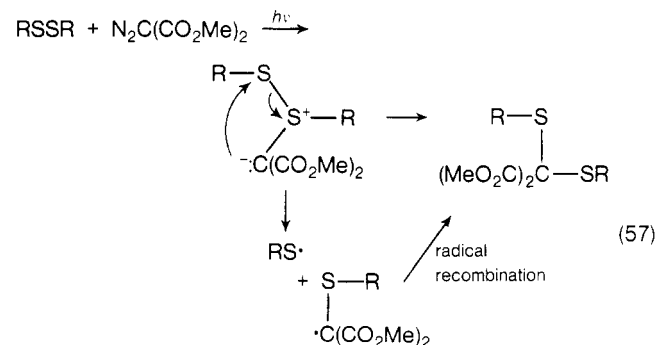
An example of oxygen-chlorine "insertion" has been reported for the reaction of ethyl diazoacetate with NO_2Cl .^{246,247} Additionally, an interesting reaction of ethyl diazoacetate with *tert*-butyl hypochlorite has been reported recently²⁴⁸⁻²⁵⁰ (eq 56). However, it is believed



that these are ionic reactions initiated by attack of electrophilic chlorine in *t*-BuOCl on the diazo carbon atom. It is unlikely that carbenes are involved, considering the low temperatures at which these reactions are run (e.g., -10°).

Ethyl diazoacetate has been found to react at -60° with EtSCl to afford $\text{EtSCHClCO}_2\text{Et}$, the sulfur-chlorine "insertion" product, in 71% yield.²⁵¹ Again, the very mild conditions employed in this reaction mitigate against the intermediacy of a carbene.

"Insertion" by $:\text{C}(\text{CO}_2\text{Me})_2$ into the sulfur-sulfur bond of dialkyl disulfides has been reported.¹⁰⁴ This reaction has been suggested to proceed either *via* Stevens-type rearrangement of an intermediate sulfonium ylide or *via* homolytic cleavage of the sulfur-sulfur bond in this ylide followed by radical recombination.¹⁰⁴ These alternative processes are illustrated in eq 57.

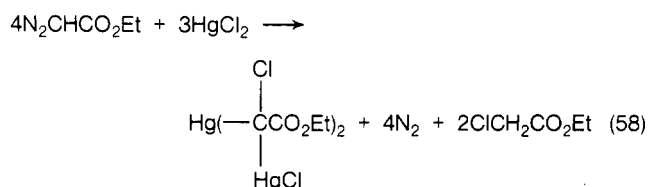


4. Reactions with Organometallic and Organometalloid Compounds

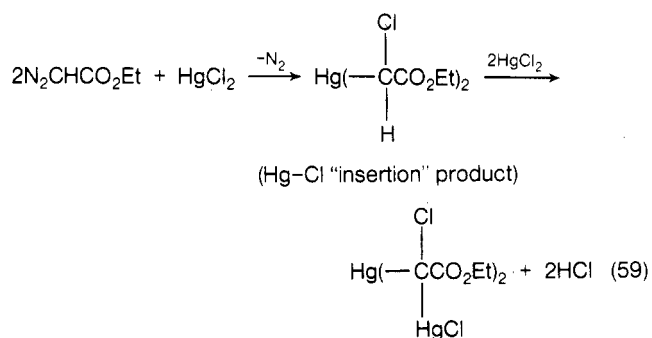
Review articles dealing in part with "insertions" of carbalkoxycarbenes into M-H and M-X bonds (where M = metal or metalloid) have appeared.²⁵²⁻²⁵⁴ Insertion reactions of carbethoxycarbene into M-H bonds of group IV

hydrides (*i.e.*, those of Si,²⁵⁵ Sn,^{256,257} and Ge^{257,258}) have been studied. Often, reported yields in these reactions fell into a synthetically useful range ($>50\%$).

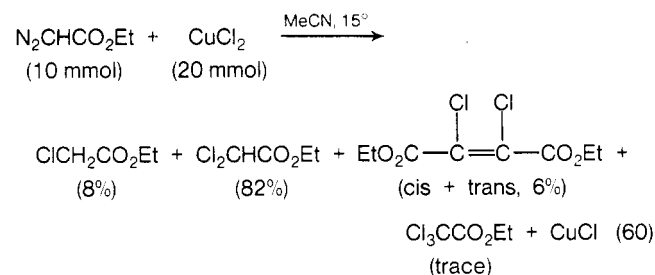
Reactions of carbalkoxycarbenes with metal halides which very likely proceed with "insertion" of the carbene into the metal-halogen bond have been the subject of a number of investigations. An early report of the reaction of ethyl diazoacetate with HgCl_2 suggested that product formation *via* Hg-Cl bond "insertion" may have occurred²⁵⁹ (eq 58). The ethyl chloroacetate formed in this



reaction was thought to result from secondary reaction of ethyl diazoacetate with HCl liberated in the initial stages of the reaction²⁵⁹ (eq 59):



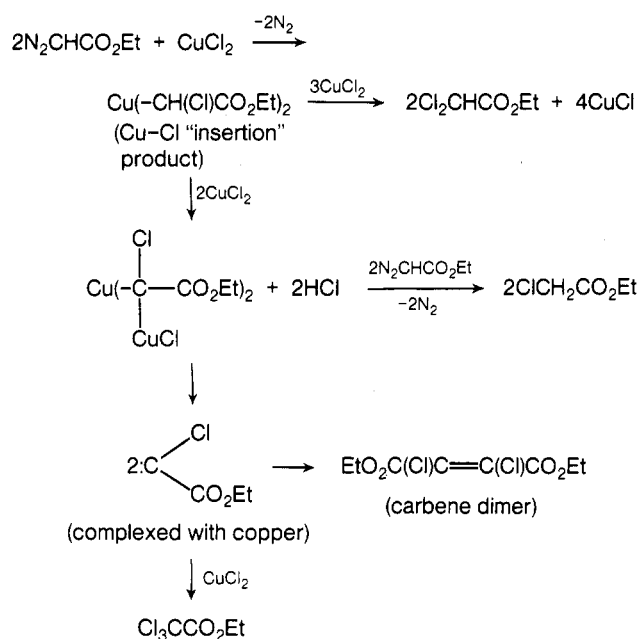
The corresponding reaction with cupric chloride has recently been studied.²⁶⁰ In contrast to the reactions of ethyl diazoacetate with cuprous chloride and with other cupric salts (CuX_2 , where X = CN, SO_4 , and NO_3) which afforded only diethyl maleate and fumarate, the reaction with CuCl_2 was found to be considerably more complex (eq 60). No diethyl maleate or fumarate was formed in



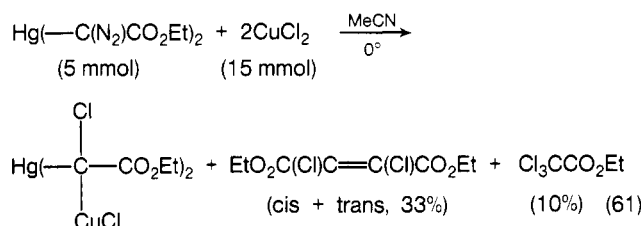
this reaction. However, when a 1:1 molar ratio mixture of $\text{N}_2\text{CHCO}_2\text{Et}$ and CuCl_2 was allowed to react, some CuCl (formed by reduction of CuCl_2 which occurs during the reaction) catalyzed the decomposition of ethyl diazoacetate, affording diethyl maleate and fumarate in 30% yield (along with $\text{ClCH}_2\text{CO}_2\text{Et}$ (16%), $\text{Cl}_2\text{CHCO}_2\text{Et}$ (40%), and $\text{EtO}_2\text{C}(\text{Cl})\text{C}=\text{C}(\text{Cl})\text{CO}_2\text{Et}$ (cis + trans, 3%)). The authors concluded that the reaction of ethyl diazoacetate with cupric chloride was much faster than the (competing) reaction with cuprous chloride, a result which complements the results of Wulfman's studies,^{146,147} discussed earlier (section III.A.1). The detailed scheme which was suggested to account for the observed course of the reaction of ethyl diazoacetate with cupric chloride is shown in Scheme III.²⁶⁰

Reactions of HgCl_2 and of CoCl_2 with ethyl diazoacetate at 80° were found to likewise afford mono-, di-, and trichloroacetate esters (the latter two only in trace quan-

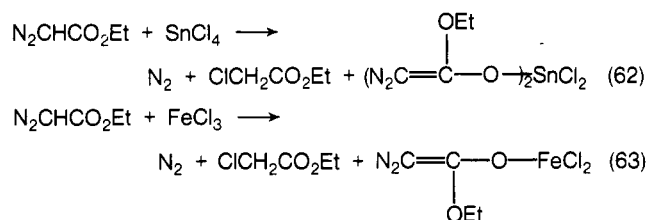
SCHEME III



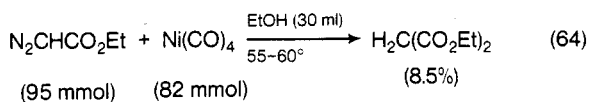
ties) along with solid organometallic complexes.²⁶⁰ Interestingly, bis(diazoethoxycarbonylmethyl)mercury, $\text{Hg}(\text{---C}(\text{N}_2)\text{CO}_2\text{Et})_2$, a popular precursor for "carbethoxycarbyne,"²⁶¹⁻²⁶⁴ also reacts in a similar manner with cupric chloride²⁶⁰ (eq 61).



In contrast to the foregoing, ferric chloride and tin(IV) chloride have been found to react with ethyl diazoacetate to afford ethyl chloroacetate plus metallic derivatives of the enol form of the diazo ester²⁶⁵ (eq 62 and 63, respectively).

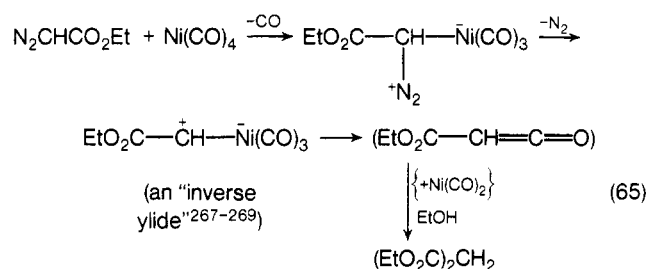


An example of the reaction of ethyl diazoacetate with a transition metal carbonyl has been reported. Diethyl malonate is formed in low yield upon treatment of the diazo ester with $\text{Ni}(\text{CO})_4$ at 55-60° in ethanol²⁶⁶ (eq 64). A



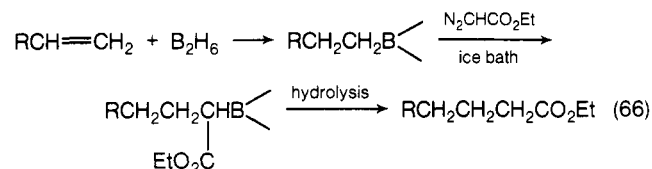
mechanism was proposed which does not involve the intermediacy of a carbene (eq 65).

There is a recent report of the reaction of ethyl diazoacetate with (π -allyl)nickel bromide, which is considered to proceed via formation of an intermediate carbethoxycarbene-nickel complex.^{269a} Collapse of this complex with "insertion" of the carbene into a carbon-nickel bond

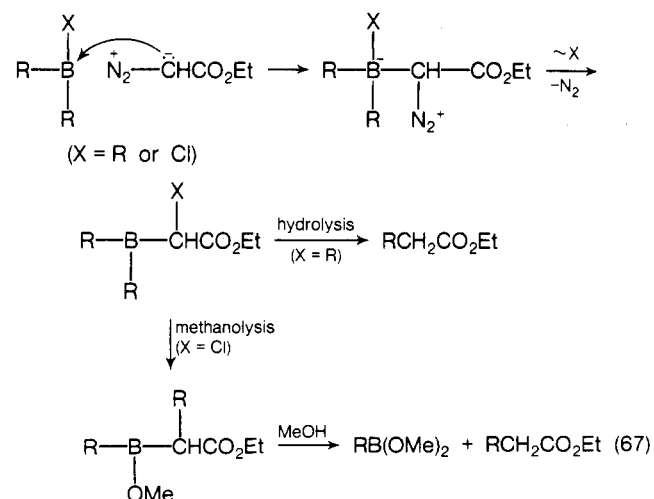


was then proposed to account for the formation of the observed reaction products, ethyl *cis*- and *trans*- β -vinylacrylates.^{269a}

Finally, we note in passing the reactions of ethyl diazoacetate with trialkylboranes which afford products which formally appear as products of :CHCO₂Et "insertion" into boron-hydrogen and boron-carbon bonds. These comprise an important and synthetically useful class of reactions. Hydroboration of an olefin followed by reaction of the resulting trialkylborane affords (after hydrolysis) the homologated ester in ca. 40-80% yield²⁷⁰⁻²⁷² (eq 66). The corresponding reactions with di-



alkylchloroboranes have been found to occur at temperatures as low as -78°.²⁷³ This approach offers the further advantage of accommodating olefins containing bulky alkyl substituents (which drastically reduce yields in the corresponding ethyl diazoacetate-trialkylborane reaction).²⁷⁰⁻²⁷² In both cases, it is unlikely that carbenes are involved. Instead, a mechanism has been proposed²⁷³ which involves attack on the borane by undissociated ethyl diazoacetate (eq 67).



V. Carbalkoxycarbene-Promoted HX Eliminations

Of all the known reactions of carbalkoxycarbenes, only their ability to promote β -eliminations in systems such as aliphatic tertiary amines, ethers, sulfides, and halides (among others) to afford olefins in yields as high as 50-70% has gone all but unheralded in recent carbene chemistry reviews. Often, these elimination reactions have been discovered accidentally when investigators were attempting to observe C-X "insertion" reactions.

TABLE IV. Carbalkoxycarbene-Promoted HX Eliminations

Diazo ester ^a	Method of decomn of diazo ester	Substrate	XCH ₂ CO ₂ R or XCH(CO ₂ R) ₂ produced ^b	Other products ^b	Ref
Reactions of Diazo Esters with					
(a) Alcohols					
A	Δ, CuCl ₂	<i>n</i> -BuOH	<i>n</i> -BuOCH ₂ CO ₂ Et (15%) + O(CH ₂ CO ₂ Et) ₂ (7%)	Products resulting from further reaction of <i>n</i> -BuOCH ₂ CO ₂ Et with :CHCO ₂ Et (30%) + diethyl maleate and fumarate (23%) + EtO ₂ CCH=CCH ₂ CO ₂ Et (16%) <div style="text-align: center;"> \downarrow CO₂Et </div>	180
A	Δ, CuCl ₂	PhCH ₂ OH	PhCH ₂ OCH ₂ CO ₂ Et (49%) + O(CH ₂ CO ₂ Et) ₂ (5%)	Products resulting from further reaction of PhCH ₂ OCH ₂ CO ₂ Et with :CHCO ₂ Et (13%) + diethyl maleate and fumarate (15%) + EtO ₂ CCH=CCH ₂ CO ₂ Et (11%) <div style="text-align: center;"> \downarrow CO₂Et </div>	180
A	Δ, CuCl ₂	H ₂ C=CHCH ₂ OH	H ₂ C=CHCH ₂ OCH ₂ CO ₂ Et (17%) + O(CH ₂ CO ₂ Et) ₂ (9%)	<div style="display: flex; align-items: center;"> <div style="margin-right: 10px;"> $\begin{array}{c} \text{H}_2\text{C} \\ \diagdown \quad \diagup \\ \text{C} \\ \diagup \quad \diagdown \\ \text{H} \quad \text{CO}_2\text{Et} \end{array}$ </div> <div style="margin-right: 10px;">CHCH₂OH (8%) +</div> <div style="margin-right: 10px;">180</div> </div> <div style="display: flex; align-items: center; margin-top: 10px;"> <div style="margin-right: 10px;"> $\begin{array}{c} \text{H}_2\text{C} \\ \diagdown \quad \diagup \\ \text{C} \\ \diagup \quad \diagdown \\ \text{H} \quad \text{CO}_2\text{Et} \end{array}$ </div> <div style="margin-right: 10px;">CHCH₂OCH₂CH=CH₂</div> </div> <div style="margin-top: 10px;"> $\begin{array}{c} \text{H}_2\text{C} \\ \diagdown \quad \diagup \\ \text{C} \\ \diagup \quad \diagdown \\ \text{H} \quad \text{CO}_2\text{Et} \end{array}$ </div> <div style="margin-top: 10px;"> (5%) + diethyl maleate and fumarate (31%) + EtO₂CCH=CCH₂CO₂Et (24%) <div style="text-align: center;"> \downarrow CO₂Et </div> </div>	
(b) Thiols					
A	Δ, CuCl	<i>n</i> -BuSH	<i>n</i> -BuSCH ₂ CO ₂ Et (85%) + S(CH ₂ CO ₂ Et) ₂ (8%)	<i>n</i> -BuSCHCO ₂ Et <div style="text-align: center;"> \downarrow CH₂CO₂Et (8%) </div>	180
A	Δ, CuCl	PhCH ₂ SH	PhCH ₂ SCH ₂ CO ₂ Et (86%) + S(CH ₂ CO ₂ Et) ₂ (7%)	PhCH ₂ SCHCO ₂ Et (2%) + <div style="text-align: center;"> \downarrow CH₂CO₂Et PhCH=CHCO₂Et (1%) </div>	180
A	Δ, CuCl	H ₂ C=CHCH ₂ SH	H ₂ C=CHCH ₂ SCH ₂ CO ₂ Et (63%) + S(CH ₂ CO ₂ Et) ₂ (7%)	H ₂ C=CHCH ₂ SCHCO ₂ Et (20%) <div style="text-align: center;"> \downarrow CH₂CO₂Et </div>	180
(c) Aliphatic Ethers					
A	<i>hν</i>	Et ₂ O	EtOCH ₂ CO ₂ Et	H ₂ C=CH ₂ (presumed)	c
B	<i>hν</i>	Et ₂ O	EtOCH ₂ CO ₂ Me	EtOCH(CH ₃)CH ₂ CO ₂ Me + EtO(CH ₂) ₂ CO ₂ Me	279
C	<i>hν</i>	Equimolar Et ₂ O + Me ₂ S	Not reported	Me ₂ S ⁺ -C(CO ₂ Me) ₂ (73%) + {H ₂ C=CH ₂ + C-H insertion products} (12%)	225
B	<i>hν</i>	<i>n</i> -Bu ₂ O	<i>n</i> -BuOCH ₂ CO ₂ Me (52%)	MeO ₂ CCH ₂ (C ₄ H ₉)O- <i>n</i> -Bu (mixture of isomers)	279
A	Δ	<i>n</i> -Bu ₂ O	<i>n</i> -BuOCH ₂ CO ₂ Et (9%)	EtO ₂ CCH ₂ (C ₄ H ₉)O- <i>n</i> -Bu (mixture of isomers, 24%) + MeCH ₂ C=CH ₂ (6%)	276, 280
A	Δ	<i>n</i> -C ₇ H ₁₅ OMe	MeOCH ₂ CO ₂ Et (16%) + <i>n</i> -C ₇ H ₁₅ OCH ₂ CO ₂ Et (27%)	Not reported	276
A	<i>hν</i>	<i>i</i> -BuOMe	<i>i</i> -BuOCH ₂ CO ₂ Et (9-10%) + MeOCH ₂ CO ₂ Et (0.1-0.9%)	Mixture of C-H insertion products (9-18%)	274
A	<i>hν</i>	<i>sec</i> -BuOMe	<i>sec</i> -BuOCH ₂ CO ₂ Et (1.8-5.0%) + MeOCH ₂ CO ₂ Et (6.1-9.0%)	Mixture of C-H insertion products (8.5-20%) + mixture of butenes (8%; product ratio 1- <i>trans</i> -2- <i>cis</i> -2-butene = 52:33:15)	274

TABLE IV (continued)

Diazo ester ^a	Method of decomn of diazo ester	Substrate	XCH ₂ CO ₂ R or XCH(CO ₂ R) ₂ produced ^b	Other products ^b	Ref
A	<i>hν</i>		Not reported		274
A	<i>hν</i>		Not reported		274
(d) Alkyl Aryl Ethers					
A	Δ	PhOMe	PhOCH ₂ CO ₂ Et (2.5%)		276
A	Δ	PhOEt	PhOCH ₂ CO ₂ Et		227
A	Δ	PhO- <i>n</i> -Pr	PhOCH ₂ CO ₂ Et		276
A	Δ				276
A	Δ				276
A	Δ			Not reported	276
A	Δ			(C ₁₃ H ₁₂ O ₃) (unidentified, obtained after saponification of reaction product)	276
(e) Dialkyl Sulfides					
A	<i>hν</i>	Et ₂ S	EtSCH ₂ CO ₂ Et (7%)	Olefin not detected	219
A	<i>hν</i>	<i>sec</i> -BuSMe	<i>sec</i> -BuSCH ₂ CO ₂ Et (5.7-8.7%) + MeSCH ₂ CO ₂ Et (27.4-48%)	Mixture of C-H insertion products (0-1.8%) + mixture of butenes (49%; product ratio 1-: <i>trans</i> -2-: <i>cis</i> -2-butene = 52:31:17)	274
A	<i>hν</i>	<i>i</i> -BuSMe	<i>i</i> -BuSCH ₂ CO ₂ Et (5.3-33%) + MeSCH ₂ CO ₂ Et (3.2-11.0%)	Mixture of C-H insertion products (4.0%)	274

TABLE IV (continued)

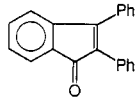
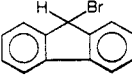
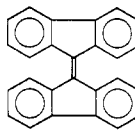
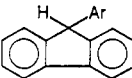
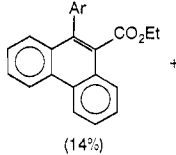
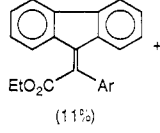
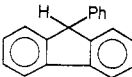
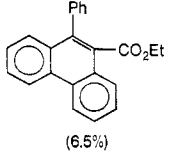
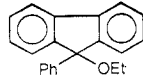
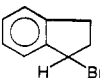
Diazo ester ^a	Method of decomn of diazo ester	Substrate	XCH ₂ CO ₂ R or XCH(CO ₂ R) ₂ produced ^b	Other products ^b	Ref
A	<i>hν</i>	<i>t</i> -Bu ₂ S	<i>t</i> -BuSCH ₂ CO ₂ Et (23%)	Olefin not detected	219
C	<i>hν</i>	<i>t</i> -Bu ₂ S	<i>t</i> -BuSCH(CO ₂ Me) ₂	H ₂ C(CO ₂ Me) ₂	<i>f</i>
(f) Dialkyl Disulfides					
C	<i>hν</i>	MeSSMe	MeSCH(CO ₂ Me) ₂ (44%)	(MeS) ₂ C(CO ₂ Me) ₂ (2.2%)	104
C	<i>hν</i> (Ph ₂ C=O sens)	MeSSMe	MeSCH(CO ₂ Me) ₂ (32%)	(MeS) ₂ C(CO ₂ Me) ₂ (14%)	104
C	<i>hν</i>	EtSSEt	EtSCH(CO ₂ Me) ₂ (41%)	(EtS) ₂ C(CO ₂ Me) ₂ (trace)	104
C	<i>hν</i> (Ph ₂ C=O sens)	EtSSEt	EtSCH(CO ₂ Me) ₂ (61%)	(EtS) ₂ C(CO ₂ Me) ₂ (trace)	104
C	<i>hν</i>	<i>t</i> -BuSS- <i>t</i> -Bu	<i>t</i> -BuSCH(CO ₂ Me) ₂ (6.2%)	(<i>t</i> -BuS) ₂ C(CO ₂ Me) ₂ (13.2%) + <i>t</i> -BuSSCH(CO ₂ Me) ₂ (3.3%)	104
C	<i>hν</i> (Ph ₂ C=O sens)	<i>t</i> -BuSS- <i>t</i> -Bu	<i>t</i> -BuSCH(CO ₂ Me) ₂ (5%)	(<i>t</i> -BuS) ₂ C(CO ₂ Me) ₂	104
(g) Polyhalomethanes and Haloarylmethanes					
B	<i>hν</i>	CHCl ₃	ClCH ₂ CO ₂ Me (42.5%)	Cl ₂ CHCHClCO ₂ Me (10%)	194
B	<i>hν</i>	CBrCl ₃ , CHBr ₃ , CBr ₂ Cl ₂ , CH ₂ Cl ₂ , CH ₂ ClBr, CHCl ₂ Br, CHClBr ₂	X ₂ CHCO ₂ Me	Products of the types X ₃ C-CX ₃ , X ₂ C=C(CO ₂ Me), HBr, and various C-H insertion prod- ucts	130, 194- 196
A	Δ, CuSO ₄	Ph ₂ CHBr	BrCH ₂ CO ₂ Et (17.5%)	PhCH(Br)CH(Ph)CO ₂ Et (32%)	205
A	Δ, CuSO ₄ (100-110°)	Ph ₃ CBr	BrCH ₂ CO ₂ Et (30.5%)	Ph ₂ C=C(Ph)CO ₂ Et (31%)	205
A	Δ, CuSO ₄ (160-165°)	Ph ₃ CBr	BrCH ₂ CO ₂ Et (40.5%)	Ph ₂ C=C(Et)Ph (39%) + CO ₂ +  (trace)	205
A	Δ, CuSO ₄		BrCH ₂ CO ₂ Et		206
A	Δ, CuSO ₄	 (Ar = <i>p</i> -tolyl)	BrCH ₂ CO ₂ Et	 (14%) +  (11%)	206
A	Δ, CuSO ₄		BrCH ₂ CO ₂ Et (9.5%)	 (6.5%) + 	206
A	<i>hν</i>		BrCH ₂ CO ₂ Et	Unidentified	67

TABLE IV (continued)

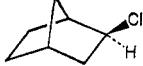
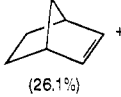
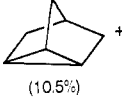
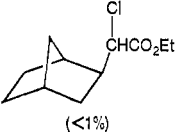
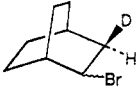
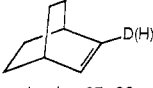
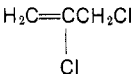
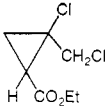
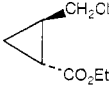
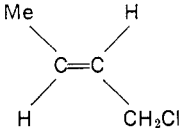
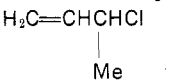
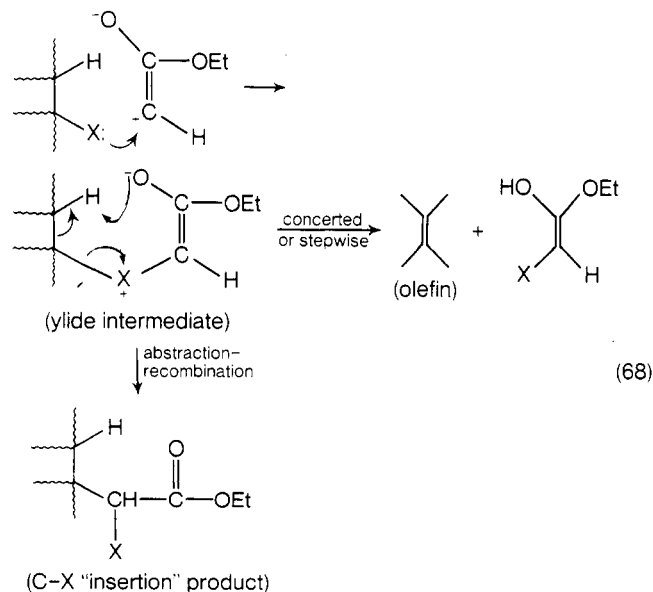
Diazo ester ^a	Method of decompn of diazo ester	Substrate	XCH ₂ CO ₂ R or XCH(CO ₂ R) ₂ produced ^b	Other products ^b	Ref
(h) Alkyl Halides					
A	<i>hν</i>	EtCl	ClCH ₂ CO ₂ Et (30.8%)	C-H insertion products	67
A	<i>hν</i>	EtBr	BrCH ₂ CO ₂ Et (93%)	H ₂ C=CH ₂ + EtCHBrCO ₂ Et	203
A	<i>hν</i>	EtBr	BrCHCO ₂ Et (41.2%)	H ₂ C=CH ₂ + EtCHBrCO ₂ Et + MeCHBrCH ₂ CO ₂ Et (~5%) + BrCH ₂ CH ₂ CH ₂ CO ₂ Et	67
A	<i>hν</i>	D ₃ CCH ₂ Br	BrCDHCO ₂ Et (41.2%) (no BrCH ₂ CO ₂ Et)	D ₂ C=CH ₂ (no HDC=CD ₂) + C-H insertion products	67, 201
A	<i>hν</i>	EtI	ICH ₂ CO ₂ Et (32.5%)	C-H insertion products	67
A	<i>hν</i>	<i>i</i> -PrCl	ClCH ₂ CO ₂ Et (36.7%)	C-H insertion products	67
A	<i>hν</i>	<i>i</i> -PrBr	BrCH ₂ CO ₂ Et (38.3%)	C-H insertion products	67
A	<i>hν</i>	<i>i</i> -Pri	ICH ₂ CO ₂ Et (40.6%)	C-H insertion products	67
A	<i>hν</i>	<i>sec</i> -BuCl	ClCH ₂ CO ₂ Et (32.6%)	C-H insertion products	67
A	<i>hν</i>	<i>sec</i> -BuCl	Not reported	Mixture of butenes (31%; product ratio 1-: <i>trans</i> -2-: <i>cis</i> -2-butene = 57:26:17)	274
A	<i>hν</i>	<i>sec</i> -BuBr	BrCH ₂ CO ₂ Et (37.1%)	C-H insertion products	67
A	<i>hν</i>	<i>sec</i> -BuBr	Not reported	Mixture of butenes (11%; product ratio 1-: <i>trans</i> -2-: <i>cis</i> -2-butene = 54:30:16)	274
A	<i>hν</i>	<i>sec</i> -BuI	ICH ₂ CO ₂ Et (37.3%)	C-H insertion products	67
A	<i>hν</i>	<i>sec</i> -BuI	Not reported	Mixture of butenes (45%; product ratio 1-: <i>trans</i> -2-: <i>cis</i> -2-butene = 57:28:15)	274
A	<i>hν</i>	<i>t</i> -BuCl	ClCH ₂ CO ₂ Et (30.6%)	C-H insertion products	67
A	<i>hν</i>	<i>t</i> -BuCl	ClCH ₂ CO ₂ Et (30%)	<i>t</i> -BuCH(Cl)CO ₂ Et (7.5%)	203
A	<i>hν</i>	<i>t</i> -BuBr	BrCH ₂ CO ₂ Et (38.6%)	C-H insertion products	67
A	<i>hν</i>	<i>t</i> -BuBr	BrCH ₂ CO ₂ Et (35.5%)	Me ₂ C=CH ₂	203
A	Δ, CuSO ₄	<i>t</i> -BuBr	BrCH ₂ CO ₂ Et (7.5%)	Diethyl fumarate (20%)	204
A	<i>hν</i>	<i>t</i> -BuI	ICH ₂ CO ₂ Et (33.9%)	C-H insertion products	67
A	<i>hν</i>		ClCH ₂ CO ₂ Et (37.8%)	 +  +  + diethyl maleate and fumarate (4%)	<i>d</i>
A	<i>hν</i>	 (Clis : trans = ~80 : 20)	D(H) BrCHCO ₂ Et (<i>d</i> ₀ : <i>d</i> ₁ = 33 : 67)	 <i>d</i> ₀ : <i>d</i> ₁ = 67 : 33, (indicative of ~80% stereo-selective cis elimination)	67, 201
(i) Allylic Halides					
A	Δ, CuSO ₄		ClCH ₂ CO ₂ Et (2%)	 + (cis 17.5%, trans 8.5%) + H ₂ C=CClCH ₂ CHClCO ₂ Et + diethyl fumarate (8%)	211
A	Δ, CuCl ₂	H ₂ C=CHCH ₂ Cl	ClCH ₂ CO ₂ Et (8%)	 (4.4%) + H ₂ C=CHCH ₂ CHClCO ₂ Et (6%) + diethyl fumarate (35%)	209

TABLE IV (continued)

Diazo ester ^a	Method of decompn of diazo ester	Substrate	XCH ₂ (COR) or XCH(CO ₂ R) ₂ produced ^b	Other products ^b	Ref
A	Δ, Cu		ClCH ₂ CO ₂ Et (19%)	MeCH=CHCH ₂ CH(Cl)CO ₂ Et (36%) + H ₂ C=CHCH(Me)-CH(Cl)CO ₂ Et (25%)	213
A	Δ, Cu		ClCH ₂ CO ₂ Et (15%)	MeCH=CHCH ₂ CH(Cl)CO ₂ Et (40%) + H ₂ C=CHCH(Me)-CH(Cl)CO ₂ Et (10%)	213
A	Δ, Cu	H ₂ C=CHCH ₂ Br	BrCH ₂ CO ₂ Et	H ₂ C=CHCH ₂ CH(Br)CO ₂ Et (83% crude, 68% pure)	209, 210
A	Δ, Cu	H ₂ C=CHCH ₂ I	I CH ₂ CO ₂ Et (7.5%)	H ₂ C=CHCH ₂ CH(I)CO ₂ Et (70% crude, 47% pure)	204
C	<i>hν</i>	Equimolar Et ₃ N + Me ₂ S	(j) Tertiary Aliphatic Amines Et ₃ NCH(CO ₂ Me) ₂ (trace)	Me ₂ S-C(CO ₂ Me) ₂ (25%) + H ₂ C(CO ₂ Me) ₂	225
A	<i>hν</i>	Et ₃ N	Et ₃ NCH ₂ CO ₂ Et	Et ₃ NCH(Me)CH ₂ CO ₂ Et (45%) + Et ₃ N(CH ₂) ₃ CO ₂ Et	239
A	<i>hν</i>	<i>sec</i> -BuOAc	(k) Miscellaneous Not reported	Mixture of butenes (39%; product ratio 1-:trans-2-:cis-2-butene = 53:34:13)	274
A	<i>hν</i>	RCH ₂ CH(Br)CO ₂ Et (R = Me or Ph)	BrCH ₂ CO ₂ Et	RCH=CHCO ₂ Et	e

^a A = N₂CHCO₂Et; B = N₂CHCO₂Me; C = N₂C(CO₂Me)₂. ^b In cases where yields could be quoted, they are based on the amount of diazo ester consumed in the reaction. ^c J. Warkentin E. Singleton, and J. F. Edgar, *Can. J. Chem.*, **43**, 3456 (1965). ^d A. P. Marchand and W. R. Weimar, Jr., unpublished results. ^e A. P. Marchand and T. Y.-C. Lin, unpublished results. ^f See footnote 4 in ref 226.

The unravelling of the details of the mechanisms of these elimination reactions is especially tantalizing as these reactions generally appear to share one feature in common with C-X "insertion" reactions; *i.e.*, in all cases, initial attack of a carbalkoxycarbene at a lone-pair atom (*e.g.*, nitrogen, oxygen, sulfur, or halogen) can occur, offering a choice between abstraction-recombination (to form the "insertion" product) or some other pathway (resulting in the formation of α -substituted acetic esters and, occasionally, olefinic products; see eq 68). To de-



fine the factors which control the disposition of ylide intermediates in these reactions thus becomes our present subject for consideration.

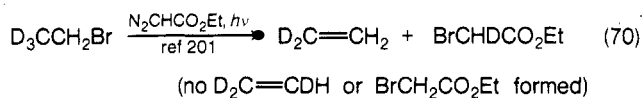
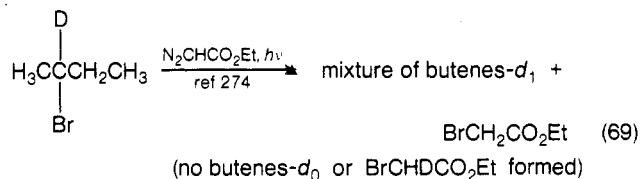
The scope of the carbalkoxycarbene-promoted HX elimination reaction is defined in Table IV, which repre-

sents the results of an exhaustive search of the literature for examples of this reaction type. In cases where the yields of the various reaction products were reported, recalculation of the literature values has been performed (whenever necessary) to ensure that the yields reported in Table IV are uniformly based on the number of moles of diazo ester consumed in the reaction. For the purpose of constructing this table, we have considered as an "HX elimination reaction" one in which the carbalkoxycarbene reacts with the substrate to cleave a C-X bond to produce observable amounts of XCH₂CO₂R (or XCH(CO₂R)₂). This definition permits us to consider substrates such as methyl aryl ethers and polyhalomethanes which do react with carbalkoxycarbenes to afford products of the type XCH₂CO₂R or XCH(CO₂R)₂ but are so constructed that they cannot afford olefins via β -elimination.

Let us consider first those reactions which can afford olefins via β -elimination. Photolytic decomposition of ethyl diazoacetate (200-W medium-pressure mercury lamp, Pyrex shield, $8.5 \pm 0.5^\circ$) in the presence of alkyl halides has been found to afford olefins accompanied by α -halo esters in yields varying from ca. 30 to 40%⁶⁷ (see Table IV). In all of the reactions studied, olefin formation occurred to the extent of almost complete exclusion of products resulting from carbon-halogen "insertion" by the carbene. No clear trend emerges from inspection of the data in Table IV to correlate product yields with the type of alkyl halide employed (RX, where R = Cl, Br, or I) or its structure (primary, secondary, or tertiary alkyl halide). Indeed, the narrow range of yields of α -halo esters observed over the wide variation in structures of the alkyl halide substrates would seem to suggest that the critical factor in obtaining olefinic products is probably the availability of the carbene for reaction with the substrate (in competition with other processes, most notably self-condensation reactions involving the carbene and/or

its diazo precursor). Nevertheless, when competition reactions are run among alkyl halides of the same structural type (*i.e.*, RX, where R is constant and X is varied among Cl, Br, and I), a clear order of reactivity emerges; the reactivity ratio $X = \text{Cl}:\text{Br}:\text{I} = 1.0:1.5:2.2$ has been observed for $R = t\text{-Bu}$.^{67,201} Significantly, this order of reactivity ($\text{I} > \text{Br} > \text{Cl}$) is just the *opposite* of what Wilt has reported for reactions of $:\text{CHCO}_2\text{Et}$ ($h\nu$) with polyhalomethanes containing different halogen atoms in the same molecule.¹⁹⁵

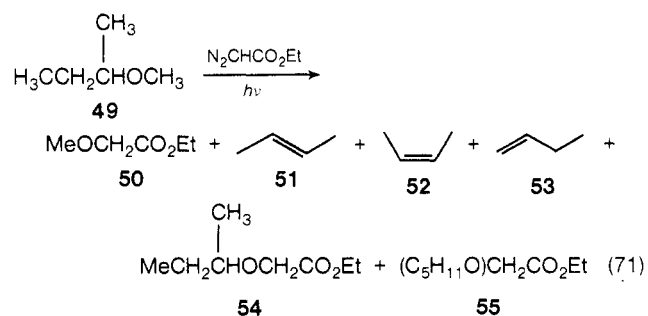
It has been demonstrated that open-chain alkyl halides possessing β -hydrogens preferentially afford olefins rather than carbon-halogen "insertion" products.^{201,274} That these reactions are indeed β -eliminations has been demonstrated essentially simultaneously by two different groups through the use of appropriate specifically deuterated substrate systems (eq 69 and 70).



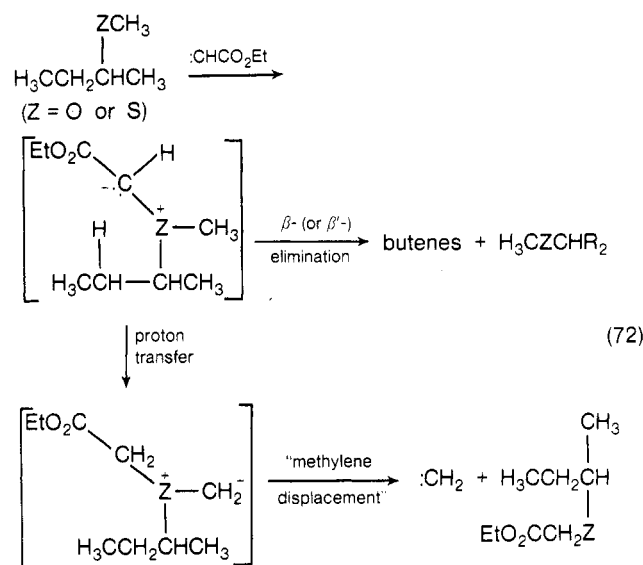
The results of mechanistic studies involving investigation of the carbethoxycarbene-promoted HX elimination reaction with alkyl halides and its stereochemistry appear to be consistent with expectations based on the postulated intermediacy of a halonium ylide.²⁰¹ This conclusion is also supported by the observation that reactions of $:\text{CHCO}_2\text{Et}$ ($h\nu$) with a variety of *sec*-butyl halides, with *sec*-butyl methyl ether and thioether, and with *sec*-butyl acetate afford mixtures of 1-butene, *trans*-2-butene, and *cis*-2-butene in a remarkably constant product ratio (Table IV). This observation argues strongly against an E2 elimination mechanism, the outcome of which is known to be sensitive to the steric requirements of the leaving group.²⁷⁵

It also appears that direct photolysis of ethyl diazoacetate affords a carbene which reacts in a singlet electronic configuration with alkyl halides. This is evidenced by the fact that the corresponding benzophenone photosensitized reaction of ethyl diazoacetate with ethyl bromide has been found to result in a severely depressed yield of ethyl bromoacetate (16.6%) compared with that obtained from direct photolytic reaction of ethyl diazoacetate with ethyl bromide (*ca.* 40%).⁶⁷

The reactions of carbethoxycarbene with ethers and thioethers have also been extensively investigated by Freeman.²⁷⁴ For example, the reaction of $:\text{CHCO}_2\text{Et}$ ($h\nu$) with *sec*-butyl methyl ether (**49**) affords elimination products (**50–53**), a "methylene displacement" product (**54**),



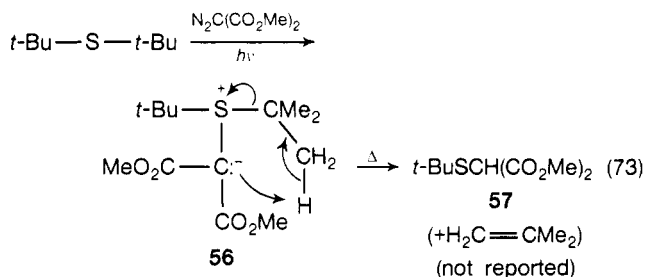
and carbon-hydrogen insertion products (**55**) (eq 71). The course of reactions of this type has been accounted for in terms of subsequent fragmentation of an intermediate ylide (eq 72). Frequently (but not always), methylene



displacement has been found to predominate over β -elimination in reactions of carbalkoxycarbenes with alkyl methyl ethers (in cases where the alkyl side chain possesses a β -hydrogen atom capable of undergoing elimination).^{274,276} The fate of the methylene ($:\text{CH}_2$) displaced in this reaction has been accounted for *via* identification of small amounts of ethylene among the gases evolved in reactions of carbethoxycarbene with alkyl methyl ethers and thioethers.²⁷⁴ This observation is particularly significant since *aryl* methyl ethers are known to react with carbethoxycarbene to afford ethyl aryloxyacetates,²⁷⁶ although an accounting for the fate of the ether methyl group has never before appeared.

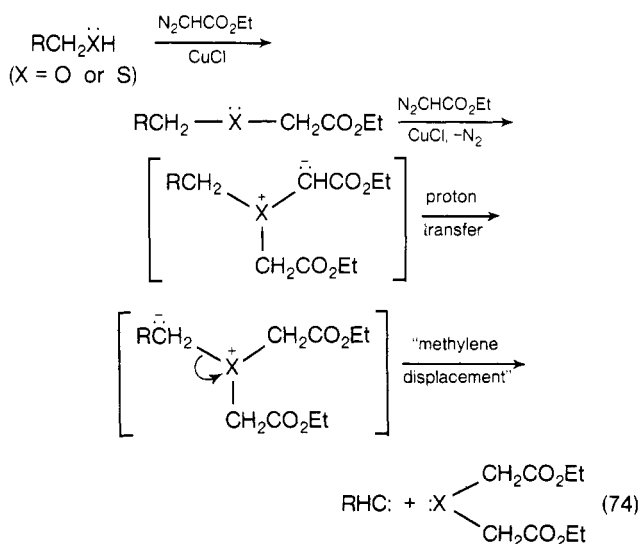
The stereochemistries of $:\text{CHCO}_2\text{Et}$ ($h\nu$)-promoted HX eliminations have been studied for reactions of the carbene with alkyl halides²⁰¹ and with alkyl methyl ethers²⁷⁴ (Table IV). The results in both cases indicate that the elimination is not stereospecific (although highly stereoselective) *cis*, and each reaction probably proceeds *via* stepwise breakdown of its corresponding ylide intermediate. Particularly significant is the observation that the carbene-promoted elimination of HBr (and DBr) from 1-deuterio-2-bromobicyclo[2.2.2]octane proceeds stereoselectively (*ca.* 80%) *cis*, whereas the corresponding E2 elimination in that system (using KO-*t*-Bu in dry *t*-BuOH as the catalyst-solvent system) is a stereoselective (*ca.* 76%) *trans* elimination.²⁰¹

Evidence has recently been brought to bear on the intermediacy of sulfonium ylides in carbalkoxycarbene-promoted HX elimination reactions with dialkyl sulfides.^{226,238} The isolation of stable dialkylsulfonium bis-carbomethoxymethylides and their subsequent rearrangement to carbon-sulfur "insertion" products have already been discussed (section IV.D.1). In the present connection, it is worthwhile to note that under vapor phase chromatographic conditions, di-*tert*-butylsulfonium bis-carbomethoxymethylide (**56**) has been reported to decompose to form several products, among which dimethyl malonate and *tert*-butylmercaptomalonate (**57**) have been found.^{226,238} Compounds **57** can result from a thermally induced β -elimination reaction on the ylide intermediate (**56**) as shown in eq 73. The formation of dimethyl malonate in this reaction was not rationalized mechanistically.^{226,238} Other examples of RSH eliminations upon



pyrolysis of stable sulfonium ylides of the type $\text{R}_2\text{CHCR}'_2\text{SMeC}(\text{CO}_2\text{Me})_2$ (which afford olefins and dimethyl alkylthiomalonates) have been reported recently.¹⁰⁴

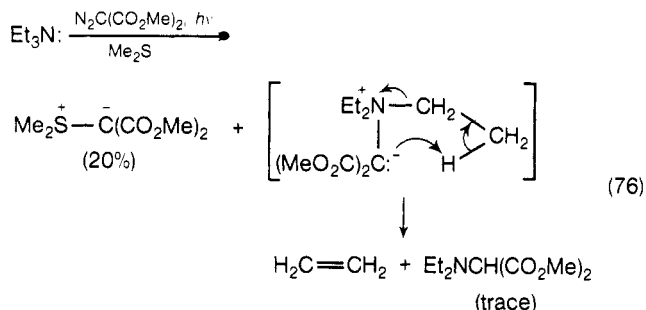
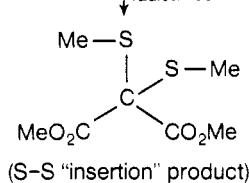
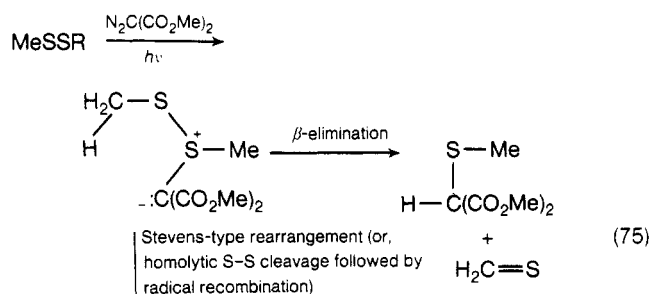
The "methylene displacement" reaction discussed earlier in connection with reactions of carbethoxycarbenes with ethers and thioethers²⁷⁴ has also been observed for the corresponding reactions of carbethoxycarbenoid with alcohols and mercaptans.¹⁸⁰ For example, when 1:1 molar ratios of alcohol or thiol and ethyl diazoacetate were heated at 80–90° in the presence of a catalytic amount of cuprous chloride, a number of products were observed, among which were compounds of the type $\text{X}(\text{CH}_2\text{CO}_2\text{Et})_2$ (where X = oxygen or sulfur, respectively; cf. discussion in section IV.D.2). The formation of these products can be envisioned as "methylene displacement" reactions which result from attack of a second mole of carbethoxycarbenoid on the initially formed X–H "insertion" product as indicated in eq 74. As envisioned in this



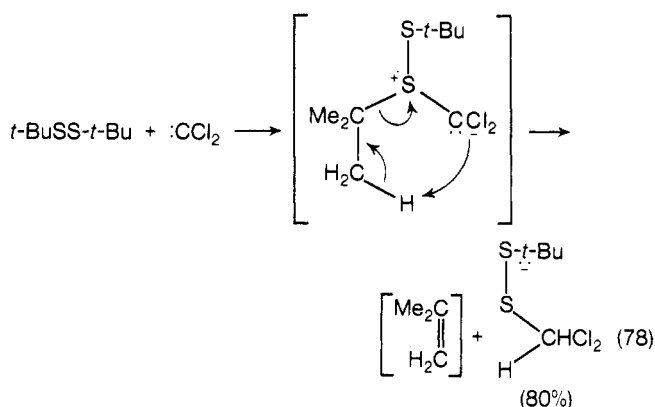
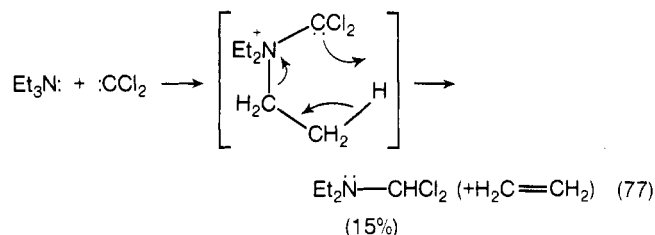
equation, such "methylene displacement" reactions are actually α -elimination reactions, (*i.e.*, loss of H and X occur from the *same* carbon atom). The displaced methylene (RHC:) is then trapped by another mole of ethyl diazoacetate, affording products of the type $\text{RCH}=\text{CHCO}_2\text{Et}$.

Elimination products have also been observed in photolytic reactions of dimethyl diazomalonate with dialkyl disulfides¹⁰⁴ and with trialkylamines.²²⁵ Thus, the reaction of $:\text{C}(\text{CO}_2\text{Me})$ ($h\nu$) with MeSSR afforded $\text{MeSCH}(\text{CO}_2\text{Me})_2$ and $(\text{MeS})_2\text{C}(\text{CO}_2\text{Me})_2$ via an ylide intermediate¹⁰⁴ (eq 75). A similar elimination reaction occurs between $:\text{C}(\text{CO}_2\text{Me})_2$ ($h\nu$) and triethylamine (eq 76).²²⁵

In concluding, it should be mentioned that a number of examples are known where carbenes other than carbalkoxycarbenes have been found to promote HX elimination reactions. For example, $:\text{CCl}_2$ (Δ) reacts with triethylamine to form diethyldichloromethylamine²⁷⁷ and with di-*tert*-butyl disulfide to afford *tert*-butyl dichloromethyl di-



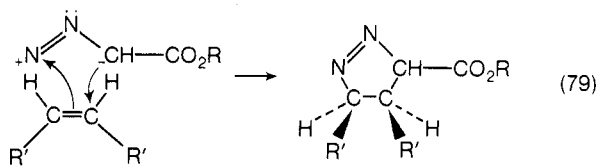
sulfide²⁷⁸ (eq 77 and eq 78, respectively). Also, photolytic decomposition of diazomethane in the presence of diethyl ether²⁷⁹ or di-*n*-butyl ether²⁸⁰ lead to olefin formation (ethylene and 1-butene, respectively). Again, a mechanism involving intermediate ylide formation seems likely for these reactions of methylene with dialkyl ethers.



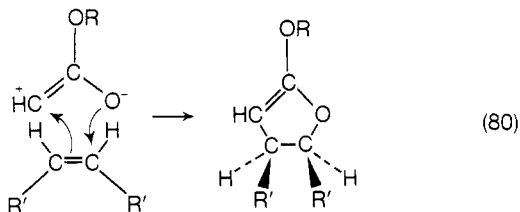
VI. Miscellaneous Reactions of Carbalkoxycarbenes

A. 1,3-Dipolar Cycloadditions

Diazo esters commonly afford products arising via 1,3-dipolar cycloaddition in their reactions with alkenes and alkynes (eq 79). This same kind of behavior is possible for the carbalkoxycarbenes derived from the diazo

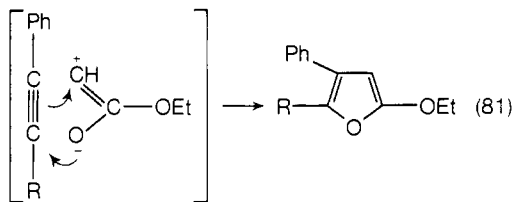
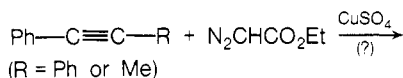


esters, although such behavior is much less frequently encountered than for the corresponding reactions of the diazo esters themselves (eq 80). In this section, we shall



be concerned exclusively with cycloaddition reactions of the carbene itself (and not those involving its diazo precursor).

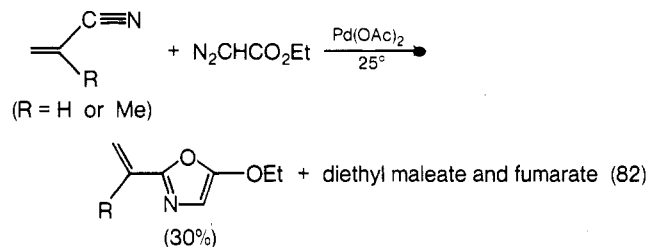
Early reports by a Russian group claimed to have observed 1,3-dipolar cycloaddition of carbethoxycarbenoid to toluene,²⁸¹ to 1-phenylpropyne,²⁸² and to other alkynes²⁸³ (eq 81). However, careful reinvestigation of



these reactions has revealed that the furan derivatives in each case were formed by subsequent copper sulfate catalyzed isomerization of the expected (and, indeed, initially formed) cyclopropene.²⁸⁴⁻²⁹² Thus, it appears that furan formation does *not* occur *via* 1,3-dipolar cycloaddition of carbalkoxycarbenoid to these alkynes.^{293,294}

There are very few bona fide examples of 1,3-dipolar cycloadditions of carbalkoxycarbenes (or carbenoids) to unsaturated systems. One of these, the reaction of carbethoxycarbenoid with benzonitrile,¹⁶³ has been discussed previously (section III.A.3). In addition, oxazole formation has been reported for the reaction of $:\text{CHCO}_2\text{Et}$ (Δ , 145–150°) with benzonitrile and with phenylacetonitrile, and for the reaction of carbethoxycarbenoid with acetonitrile.^{163,295} A related carbalkoxycarbene, $\text{F}_3\text{CCOC}(\text{CO}_2\text{Et})=$, has been found to display an unusual capacity for entering into 1,3-dipolar cycloaddition reactions with a variety of substrates.^{296,297} Some illustrative examples are shown in Scheme IV. Interestingly, the carbene derived from photolytic decomposition of ethyl trifluoroacetyldiazoacetate shows no tendency to undergo the Wolff rearrangement in contrast with simple carbalkoxycarbenes ($:\text{CHCO}_2\text{R}$ and $:\text{C}(\text{CO}_2\text{R})_2$; see section VI.B), which may account for its unusual ability to promote 1,3-dipolar cycloaddition reactions.

An unusual reaction, formally a 1,3-dipolar cycloaddition of carbethoxycarbene to a $\text{C}\equiv\text{N}$ group, has recently been realized for the $\text{Pd}(\text{OAc})_2$ catalyzed reaction of ethyl diazoacetate with acrylonitrile²⁹⁸ (eq 82). Simple cyclopropanation of the carbon-carbon double bond of acrylonitrile does not occur under the conditions shown in eq 82. Also, no pyrazoline is formed in this reaction, in



contrast with what has been found previously for the thermal (*uncatalyzed*) reaction of ethyl diazoacetate with acrylonitrile (which proceeds only *via* 1,3-dipolar cycloaddition of the diazo ester to the carbon-carbon double bond and does not involve attack at the nitrile functionality^{5,162,299-301}).

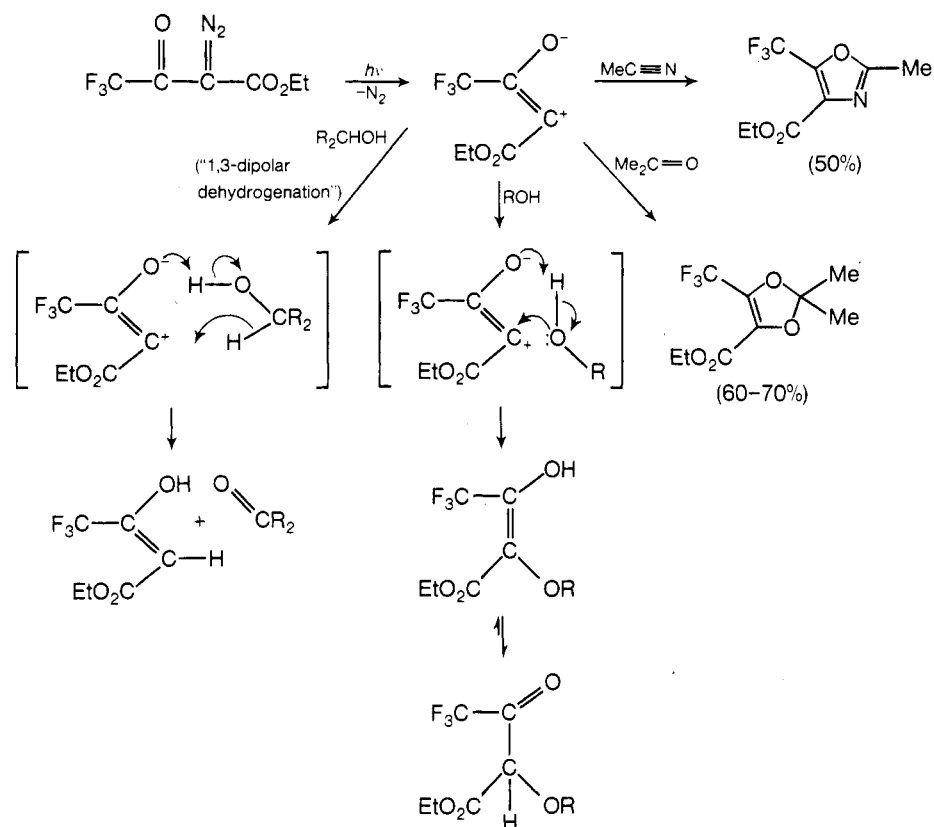
Furan formation has been noted for the benzophenone photosensitized reaction of dimethyl diazomalonate with alkynes.²⁵ However, singlet $:\text{C}(\text{CO}_2\text{Me})_2$ ($h\nu$) fails to give this product in its reaction with alkynes, and it appears likely that furan formation in the case of the triplet carbene involves an intermediate (triplet) diradical. The furan formation probably does not represent a true example of 1,3-dipolar cycloaddition of a carbalkoxycarbene to an acetylene in this case.

One might inquire as to why 1,3-dipolar cycloaddition reactions are so seldom encountered in reactions of carbalkoxycarbenes with unsaturated substrates whereas the parent diazo compounds often react with alkenes and alkynes in this manner. One explanation might lie in the high reactivity of these carbenes relative to their corresponding diazo precursors, and in the inability of the cycloaddition process to compete effectively with other reactions which these carbenes can undergo. In the case of photolytically generated carbalkoxycarbenes, simple addition of the carbene to an unsaturated substrate and Wolff rearrangement of the carbene dominate to the exclusion of the competing 1,3-dipolar cycloaddition process. With carbenoids (generated *via* metal-catalyzed, thermal decompositions of diazo esters), the major side reaction is simple carbene dimerization to afford relatively large quantities of dialkyl maleate and fumarate which accompany addition products in reactions with alkenes and alkynes. However, carbalkoxycarbenes generated thermally (and noncatalytically) from diazo esters generally afford neither products resulting from Wolff rearrangement of the carbene nor large quantities of carbene dimers. It is not clear why 1,3-dipolar cycloaddition of simple carbalkoxycarbenes to unsaturated systems cannot compete more effectively with addition to these systems in the case of thermally generated carbalkoxycarbenes, and this question certainly merits further investigation.

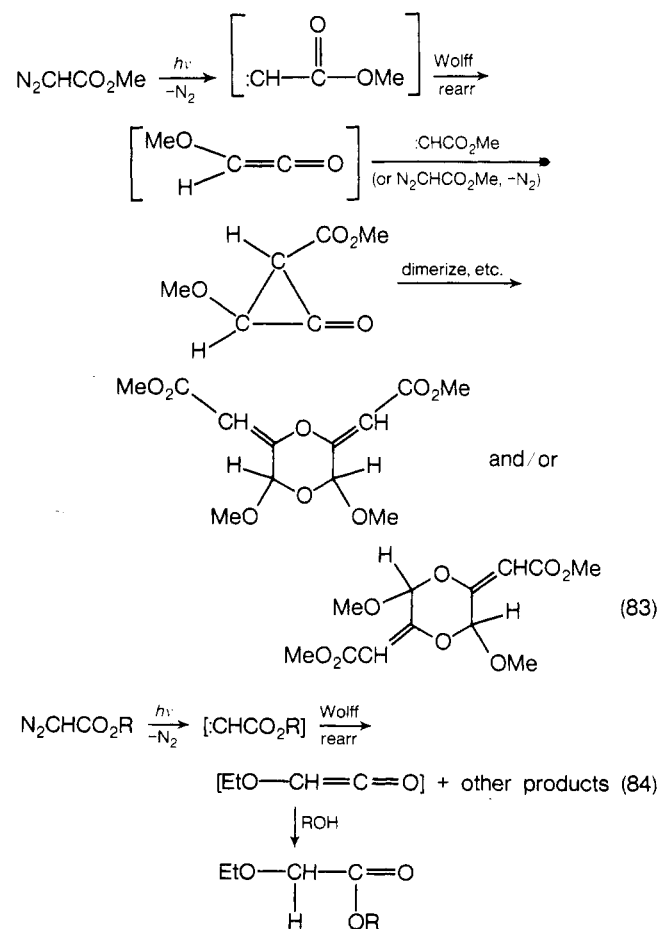
B. Wolff Rearrangement

Until relatively recently, carbalkoxycarbenes were thought to be incapable of undergoing the Wolff rearrangement.³⁰²⁻³⁰⁵ However, in 1966, a report appeared which suggested that carbalkoxycarbenes were capable of undergoing intramolecular rearrangements.³⁰⁶ In 1968, four isomeric carbomethoxycarbenes tetramers were isolated among the products resulting from photolytic decomposition of methyl diazoacetate in benzene. A mechanism for their formation was suggested, one step of which involved Wolff rearrangement of carbomethoxycarbene³⁰⁷ (eq 83). In that same year, independent observations of the photolytic decompositions of diazoacetic esters in alcohols revealed the existence of ethoxyacetic esters among the products. It was suggested that these esters were formed *via* Wolff rearrangement of the inter-

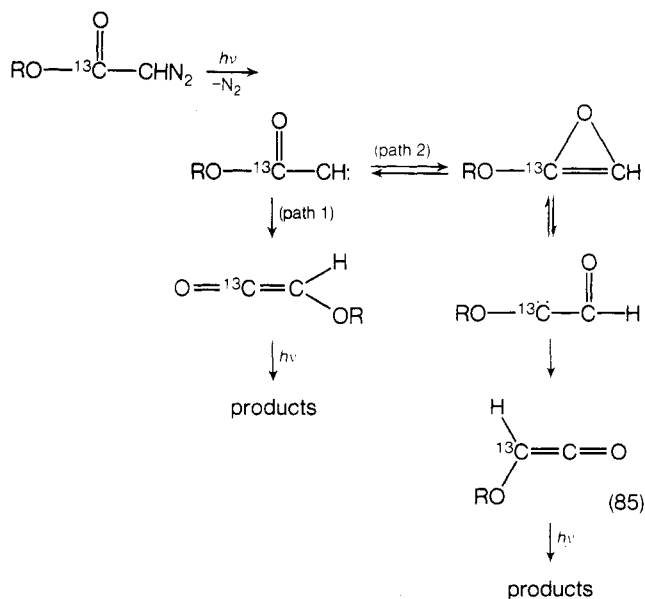
SCHEME IV



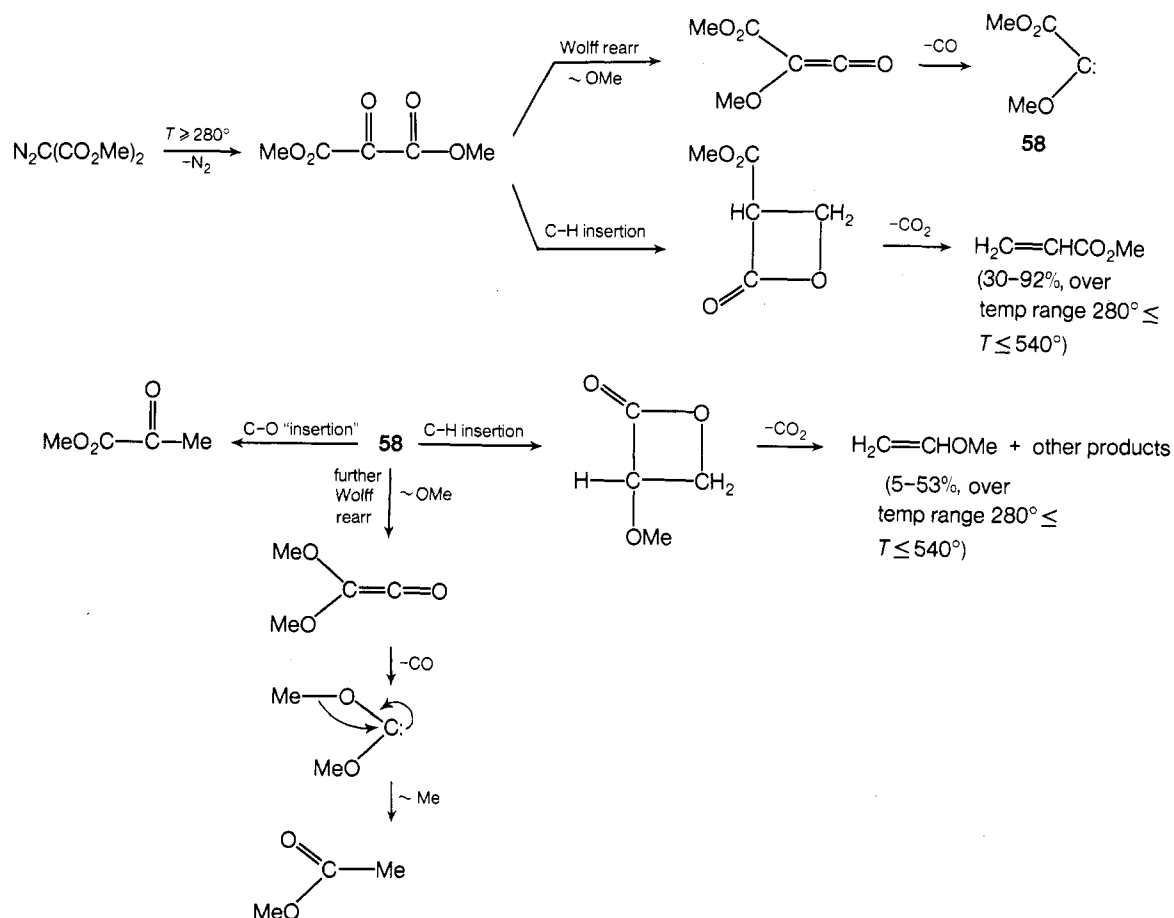
mediate carbalkoxycarbenes to ethoxyketene which was then trapped by reaction with the alcohol present in the reaction mixture^{240,241} (eq 84).



Since the time of these observations, Strausz and co-workers have systematically studied the detailed mechanism of the Wolff rearrangement of carbalkoxycarbenes and ketocarbenes. Their initial studies concentrated on vapor phase photolytic decompositions of ^{13}C -labeled methyl and ethyl diazoacetate. Isotopic analysis of the decomposition products by high resolution mass spectroscopy revealed the existence of two intramolecular rearrangement paths, one involving direct alkoxyketene formation (path 1) and the other involving intermediate oxirene formation, (path 2)³⁰⁸ (eq 85). The isotopic analysis of the products indicated $\geq 28\%$ oxirene participation (path 2) in the gas phase photolytic decomposition of methyl diazoacetate and $\geq 32\%$ oxirene participation for



SCHEME V

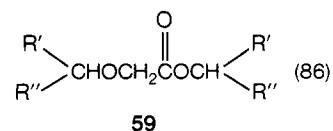
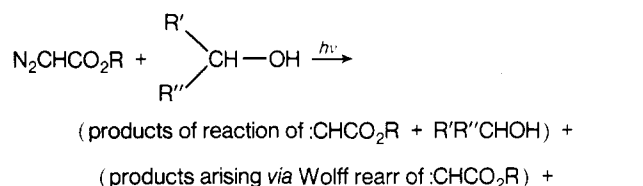


the corresponding decomposition of ethyl diazoacetate.³⁰⁸ Intermediate oxirene formation was similarly implicated in gas phase and condensed (solution) phase photodecompositions of α -diazo ketones.³⁰⁹⁻³¹² These results superseded an earlier report which claimed to have shown that oxirene participation does not occur in the solution phase Wolff rearrangement of diazo ketones.³¹³

Molecular orbital calculations (EHT) have suggested that oxirene formation (path 2) for the case of photolytically generated carbethoxycarbene is endothermic by ca. 100 kcal/mol whereas isomerization of even *ground-state* carbethoxycarbene to ethoxyketene is an exothermic process.^{308,314} An important conclusion to arise from these calculations is that oxirene formation should be accessible only to photolytically generated carbalkoxycarbenes (or α -ketocarbenes) and not to the corresponding thermally generated carbene (which lacks sufficient excess vibrational energy to reach the transition state for oxirene formation).^{308,314} In this connection, it is interesting to note that whereas the absence of a thermally induced Wolff rearrangement of monocarbalkoxycarbenes has been generally noted,^{105,308,315} an example has nevertheless been reported for the case of bis(methoxycarbonyl)carbene^{316,317} (Scheme V). Over the temperature range $280^\circ \leq T \leq 330^\circ$, the major product was observed to be methyl acrylate, whereas methyl vinyl ether was the predominant product over the range $360^\circ \leq T \leq 540^\circ$.³¹⁶ It was concluded that the thermal Wolff rearrangement of bis(methoxycarbonyl)carbene is an important reaction accompanying the gas-phase pyrolytic decomposition of methyl diazomalonate. Furthermore, the results suggested the following rough ordering of the relative ease of intramolecular reactions of the interesting carbalkoxycarbene in-

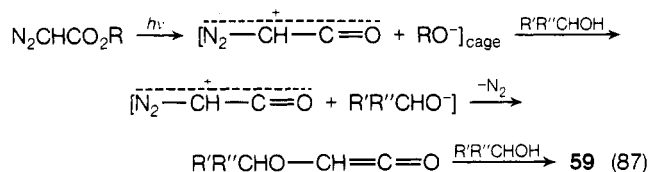
termediate, **58**: intramolecular insertion into the methoxyl carbon-hydrogen bond (ultimately leading to the formation of methyl vinyl ether) \gg Wolff rearrangement (leading to methyl acetate) $>$ intramolecular "insertion" into the methoxyl carbon-oxygen bond (leading to methyl pyruvate).³¹⁶

Incidental to the study of the Wolff rearrangement of carbalkoxycarbenes was the observation that rearrangement of carbomethoxycarbene and carbethoxycarbene in the presence of alcohols was accompanied by alkoxy exchange^{240,241,306,318} (eq 86). Control studies indicated



that the exchange is a photoinduced process; it does not involve carbenes nor does it occur in the starting materials (since no trace of exchanged insertion products could be detected).³¹⁸ Instead, a mechanism involving light-induced heterolysis of the diazoester was suggested,³¹⁸ (eq 87).

The question of the spin state of the reacting carbalkoxycarbene which is capable of undergoing the Wolff rearrangement has been given serious consideration by a number of research groups. The tendency of some keto-



carbenes (produced *via* photolytic decomposition of α -diazo ketones) to undergo the Wolff rearrangement has been found to be drastically reduced when benzophenone is employed as a photosensitizer during the photolytic reaction.³¹⁹⁻³²¹ Similar observations have been recorded for carbalkoxycarbenes produced *via* direct vs. benzophenone photolyses of diazo esters.^{105,234,243} These results suggest that the reacting carbalkoxycarbenes which undergo the Wolff rearrangement in direct photolytic decompositions of diazoesters are in a singlet electronic configuration. A similar conclusion has been reached with regard to the oxirene pathway for the Wolff rearrangement of ketocarbenes and carbalkoxycarbenes.^{308,322}

VII. Addendum

This section, added during revision of the manuscript, provides a brief account of material published since the submission of the original manuscript. Accordingly, coverage of the literature has been extended to August 1973.

Two research groups have recently directed attention to the question of the *ground-state* electronic configuration of carbalkoxycarbenes. In both cases, this problem has been approached through examination of "internal heavy atom" effects; *i.e.*, diazo compounds possessing heavy metal atoms adjacent to the developing carbene center were studied. It was anticipated that the effect of the metal atom would be to promote fast single-triplet equilibration, thereby promoting rapid relaxation of the nascent carbene to its ground state, where its reactions could then be observed.

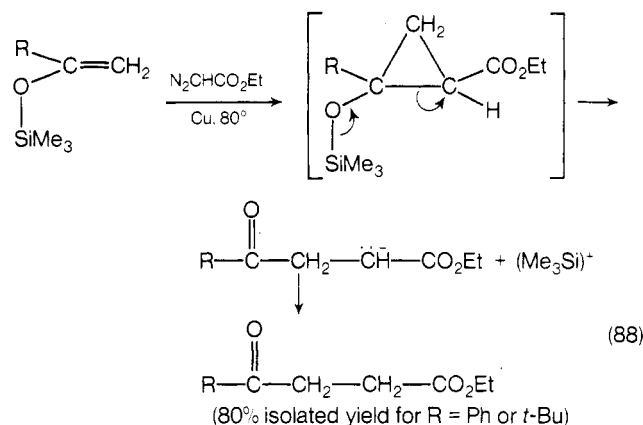
In the first of these studies, diazo esters of the general structure $(\text{H}_3\text{C})_3\text{MC}(\text{N}_2)\text{CO}_2\text{Et}$ were prepared, where $\text{M} = \text{Si}, \text{Ge}, \text{Sn},$ and Pb .³²³ The carbenes derived *via* photodecomposition of these diazo esters in all cases added stereospecifically *cis* (or nearly so) to the olefinic substrates studied. Additions to *cis*-2-butene afforded nearly equal amounts of the diastereoisomeric cyclopropane adducts. The authors concluded from this evidence that the ground state of each of these carbenes has the *singlet* electronic configuration.³²³

In a more recent study, Skell and coworkers^{324,325} have studied the photodecompositions of diazoacetone nitrile and α -methylmercuridiazacetone nitrile (**60**) in the presence of *cis*-2-butene. Whereas cyanocarbene gave 94% *cis*- and 6% *trans*-1-cyano-2,3-dimethylcyclopropanes, the carbene derived from **60** (*i.e.*, $\text{MeHgC}(\text{CN})=\text{C}=\text{O}$) afforded equal amounts of the diastereoisomeric adducts.³²⁴ It was concluded that the α -methylmercuri group greatly accelerates intersystem crossing to the triplet ground state of $\text{MeHgC}(\text{CN})=\text{C}=\text{O}$. When this same reaction was studied using methyl methylmercuridiazacetate as reactant, stereospecific addition to *cis*-2-butene was observed, suggesting a singlet electronic configuration for the ground state of $\text{MeHgC}(\text{CO}_2\text{Me})=\text{C}=\text{O}$.³²⁴ Interestingly, it was found that $\text{MeHgC}(\text{CO}_2\text{Me})=\text{C}=\text{O}$ did not undergo Wolff rearrangement to any significant extent. Furthermore, it was found to be incapable of affording carbon-hydrogen insertion products in its reactions with 2-methylpropene.³²⁵ Thus, the introduction of the α -mercury atom was found to greatly alter the chemistry of

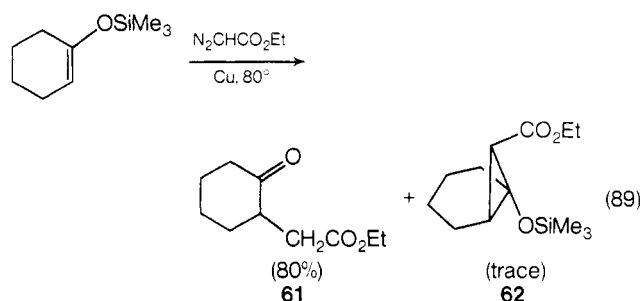
$\text{MeHgC}(\text{CO}_2\text{Me})=\text{C}=\text{O}$ relative to that of the mercury-free carbene.³²⁵ It was also suggested that internal heavy atom effects offer a useful kinetic probe for examining ground-state spin multiplicities of carbenes.³²⁴

The studies described above suffer from the uncertainty that the reactions may involve the diazo precursors themselves and not the free carbenes. Also, even if free carbenes are involved in these reactions, there is no necessary correlation between the electronic configurations of the ground states of carbenes of the types $=\text{CHCO}_2\text{R}$ and $(\text{H}_3\text{C})_3\text{MC}(\text{CO}_2\text{R})=\text{C}=\text{O}$ ($\text{M} = \text{heavy metal atom}$). Nevertheless, these studies are a welcome contribution to the carbalkoxycarbene literature, and they point the way for future studies of this kind.

Other addition reactions of carbalkoxycarbenes with unsaturated substrates, $\text{X} = \text{Y}$, have been reported. Copper-catalyzed, thermal decomposition of ethyl diazoacetate in the presence of trimethylsiloxyalkenes affords the cyclopropane, which further rearranges to afford γ -keto esters (eq 88).³²⁶ This same reaction when carried out

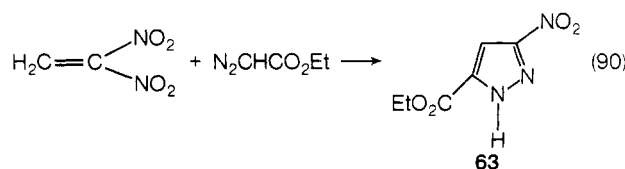


with 1-trimethylsilyloxycyclohexene affords the corresponding γ -keto ester (**61**) in 80% yield along with a small quantity of the carbene adduct, **62** (eq 89).³²⁶ This repre-

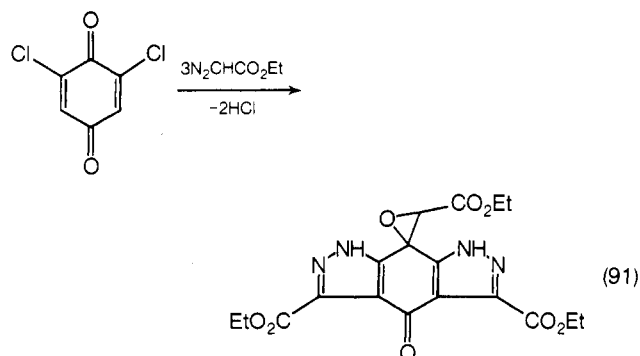


sents a useful (and perhaps general) synthetic method for the preparation of γ -keto esters from ketones containing at least one enolizable α -hydrogen atom.

In its reaction with 2,3-bis(trimethylsiloxy)but-2-ene (an electron-rich olefin), carbethoxycarbenoid affords the corresponding cyclopropanated adduct in ca. 60% yield.³²⁶ However, reaction of ethyl diazoacetate with 1,1-dinitroethylene (an electron-poor olefin) affords a pyrazole (**63**, eq 90).³²⁷ An interesting addition reaction of ethyl diazoacetate with 2,6-dichloro-*p*-benzoquinone has been reported.^{327a} Two moles of the diazo ester

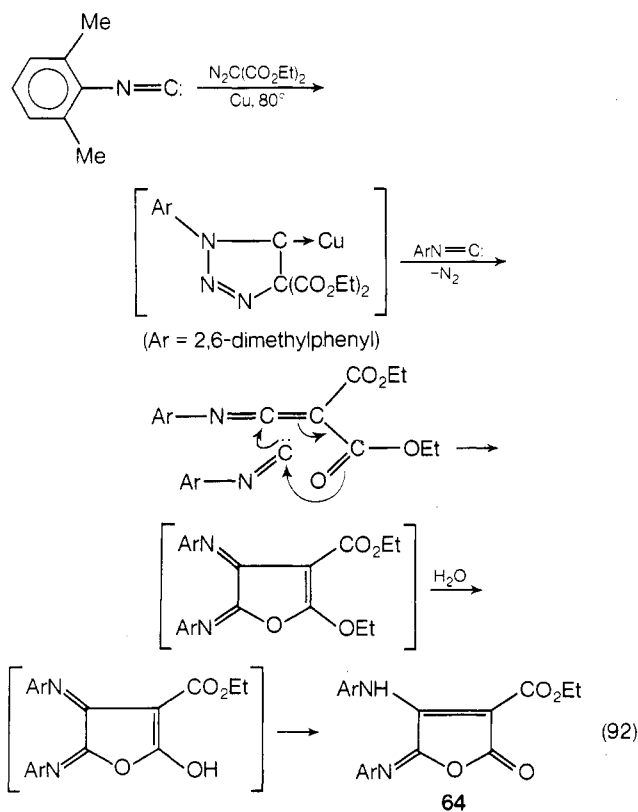


adds to the two benzoquinone carbon-carbon double bonds *via* 1,3-dipolar cycloaddition; a third mole of diazo ester affords the carbene which then adds across one of the benzoquinone *carbonyl* double bonds to afford an epoxide (eq 91).



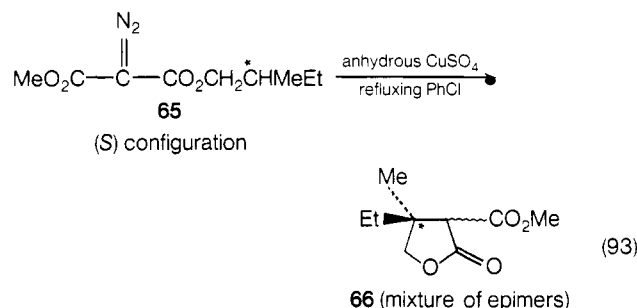
Diazomalonate esters, unlike diazoacetic esters, do not normally react with aromatic compounds. However, in the presence of (trimethyl phosphite)copper(I) iodide, dimethyl diazomalonate has been found to react with 1,2,3,4-tetramethylbenzene.^{67a} Although a total of eleven products were observed to result from this reaction, the major product (30%) was found to be dimethyl 2,3,4,5-tetramethylphenylmalonate. It was thought (on the basis of bond energy considerations) that this product arose *via* rearrangement of an intermediate norcaradiene, which was not isolated. In contrast, the corresponding reaction carried out in the presence of copper(II) fluoborate afforded at least 35 products. The major products included nearly all of the possible 1:1 and 2:1 (carbene: substrate) carbon-hydrogen bond insertion products.^{67a}

An interesting study of reactions of diazo esters with isonitriles has been reported recently. Copper-catalyzed, thermal (80°) reaction of ethyl diazoacetate with isonitriles has been found to afford triazoles; copper-isonitrile complexes were implicated as intermediates in these reactions.³²⁸ Interestingly, the copper catalyzed, thermal



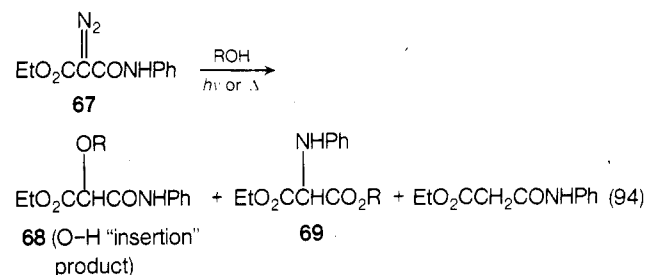
decomposition of diethyl diazomalonate in the presence of 2,6-dimethylphenylisonitrile afforded 2-(2,6-dimethylphenylimino)-4-ethoxycarbonyl-5-oxofuran (**64**, 15% yield); the suggested mechanism for this reaction is shown in eq 92.

Intramolecular carbon-hydrogen insertion by a carbalkoxycarbenoid in an optically active diazo ester (**65**) has recently been studied³²⁹ (eq 93). The product lactone

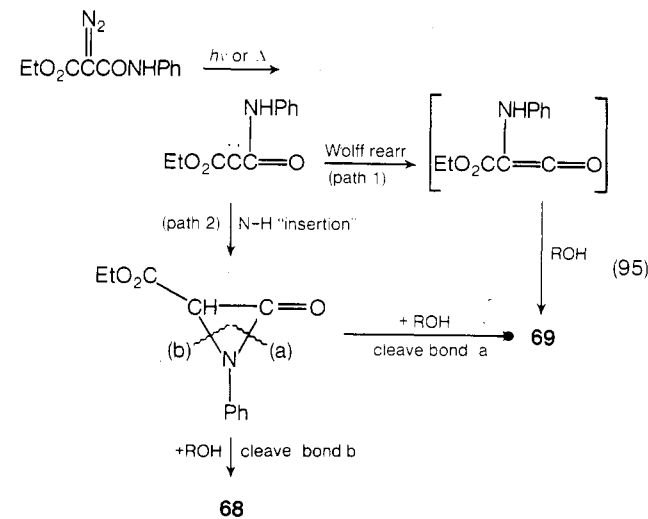


(**66**) was formed with net *retention* of configuration at the asymmetric (tertiary, asterisked) center. As similar intramolecular insertions into nontertiary positions were found to proceed only in low yield, it was concluded that intramolecular biscarbalkoxycarbenoid insertion reactions could be used to selectively functionalize nonactivated tertiary carbon-hydrogen bonds.³²⁹

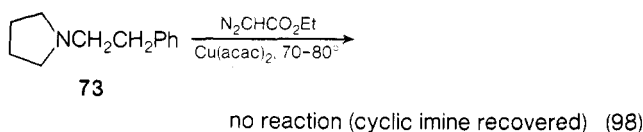
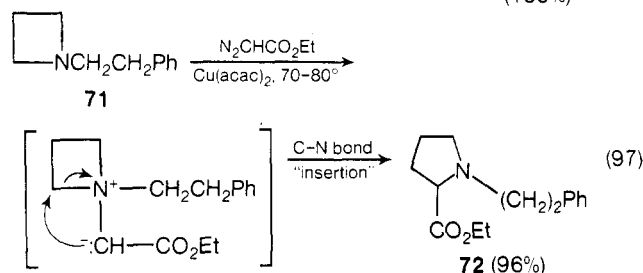
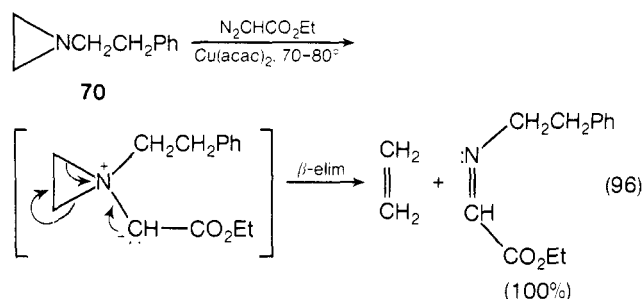
Thermal and photochemical decompositions of ethyl diazomalonanilate (**67**) in the presence of alcohols have recently been studied.³³⁰ The products of these reactions include the corresponding ethyl α -alkoxymalonanilates (**68**), ethyl α -*N*-phenylaminomalonates (**69**), and ethyl



malonanilate (eq 94). Compound **68** was considered to result from "insertion" by singlet $\text{EtO}_2\text{CC}(\text{CONHPh})=$ into the oxygen-hydrogen bond of the alcohol, whereas the mechanism of the formation of ethyl malonanilate was believed to involve the triplet carbene. Two alternative mechanisms were considered for the formation of **69**, (paths 1 and 2, eq 95).³³⁰

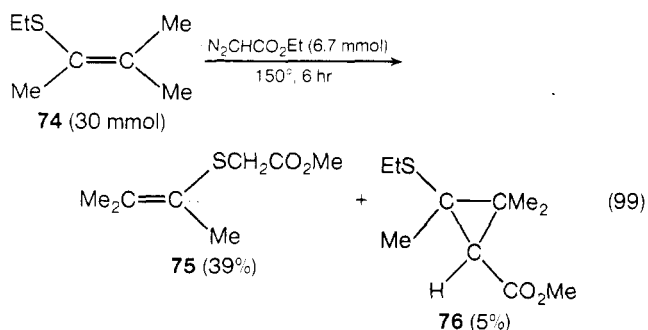


The effect of ring strain on the course of reactions of cyclic imines with carbethoxycarbenoid has recently been reported.³³¹ The reactions studied are illustrated in eq 96–98. The reaction with the aziridine (**70**) results in

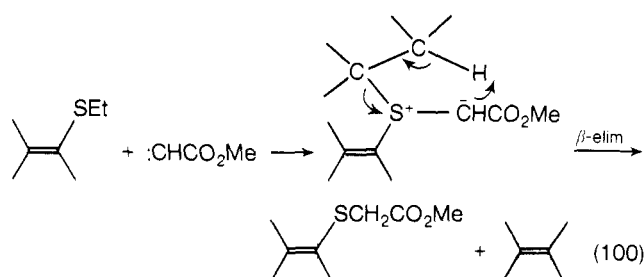


carbethoxycarbenoid-promoted β -elimination. Inspection of Table IV reveals that bona fide examples of *carbenoid*-promoted β -elimination reactions in which olefins have been identified among the products are relatively rare. The corresponding reaction with the azetidine (**71**) affords a pyrrolidine (**72**); this product results formally *via* carbethoxycarbenoid "insertion" into a carbon–nitrogen bond of **71**. No reaction was observed when 1-phenethylpyrrolidine (**73**) was treated with ethyl diazoacetate in the presence of $\text{Cu}(\text{acac})_2$; only unreacted starting material was recovered. However, intermediate ylide formation was indicated when the reaction was repeated in the presence of added benzophenone. The authors concluded that the amount of ring-strain energy released in the fragmentation of the cyclic imines studied is an important factor in determining the course of their reactions with carbethoxycarbenoid.³³¹

Carbomethoxycarbenes-promoted β -elimination has been reported for the reaction of $:\text{CHCO}_2\text{Me}$ (Δ) with vinyl sulfides³³² (eq 99). Reaction with **74** afforded **75** as the

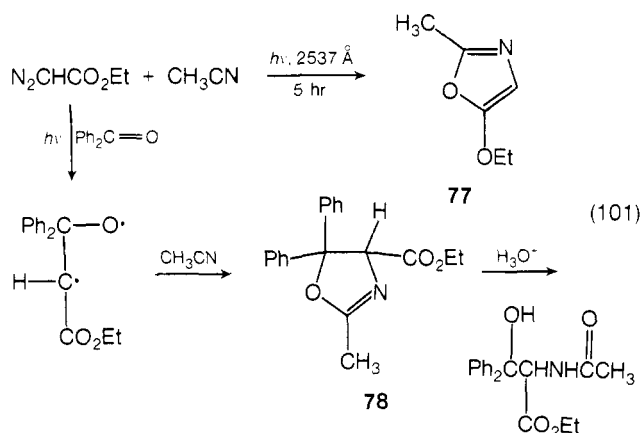


major product, accompanied by a small amount of **76**, the product of addition of the carbene to the carbon–carbon double bond of **74**. A mechanism for the formation of **75** was formulated by analogy to the reaction of carbalkoxycarbenes with alkyl sulfides^{219,238} (eq 100). Brief reviews by Japanese workers have appeared which doc-

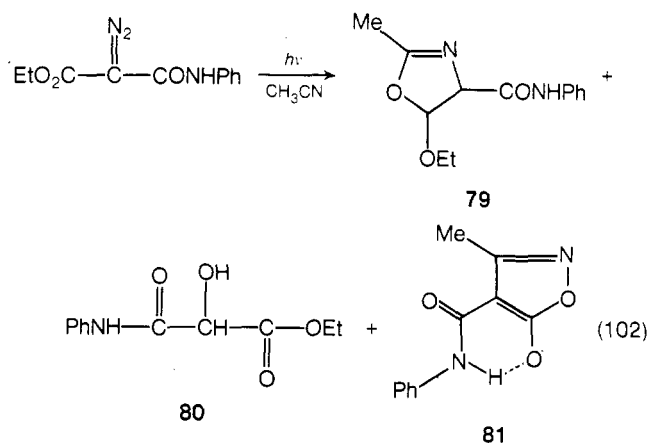


ument some of the evidence for the intermediacy of sulfonium ylides in the reactions of diazo esters with organosulfides and disulfides.^{333,334}

Buu and Edward³³⁵ have reinvestigated 1,3-dipolar cycloaddition reactions of carbethoxycarbenes with acetonitrile, (*cf.* discussion in sections III.A.3¹⁶³ and VI.A.2⁹⁵). Direct photolysis of ethyl diazoacetate in the presence of acetonitrile affords an oxazole (**77**). However, the corresponding photolysis carried out in the presence of benzophenone results in reaction of the (presumably triplet) carbene with benzophenone, and the resulting diradical further reacts with acetonitrile to form an oxazoline (**78**, eq 101). Direct photolysis of ethyl diazomalonanilate in

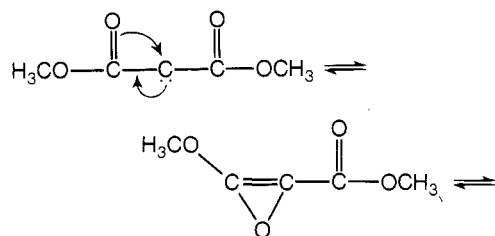
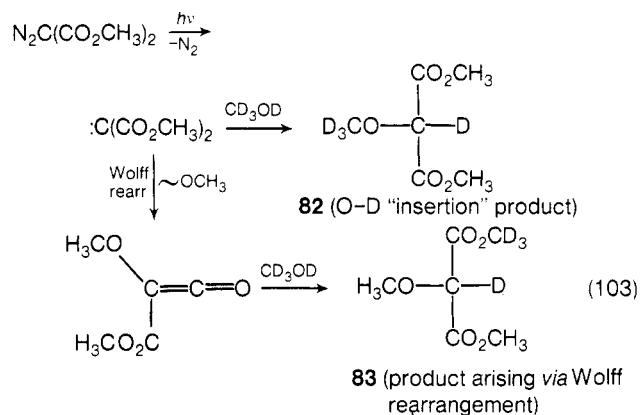


acetonitrile likewise affords an oxazole (**79**) *via* 1,3-dipolar cycloaddition, along with two other products (**80** and **81**, eq 102). The direct photolytic reaction affords **79** as

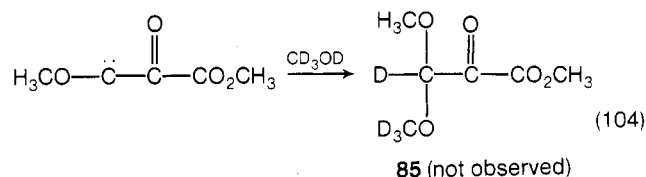


the major product; however, the corresponding benzophenone sensitized reaction affords **81** as the major product, with *no* **79** formed.³³⁵

The Wolff rearrangement of carbalkoxycarbenes continues to receive attention. Photolysis of dimethyl diazomalonate in the presence of methanol- d_4 affords two products, **82** and **83**, in the ratio 9:4 (eq 103).³³⁶ The absence of any product **85** suggests that an oxirene intermediate (**84**) is probably not involved (eq 104), and that

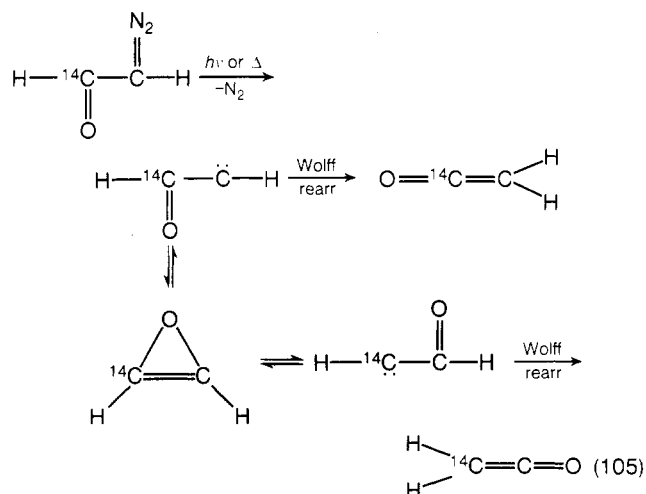


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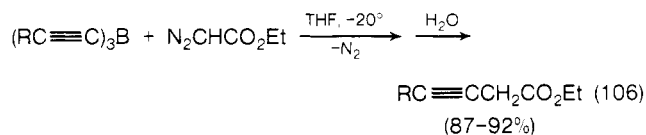
the Wolff rearrangement to **83** proceeds with methoxyl group migration, as indicated in eq 103.

A nonempirical molecular orbital calculation has recently been performed for oxirene and the isomeric formylcarbene which have been postulated as intermediates in the Wolff rearrangement of $\text{O}=\text{CHCH}=\text{N}_2$ ³³⁷ (eq 105). The calculations suggest that ketene is more stable

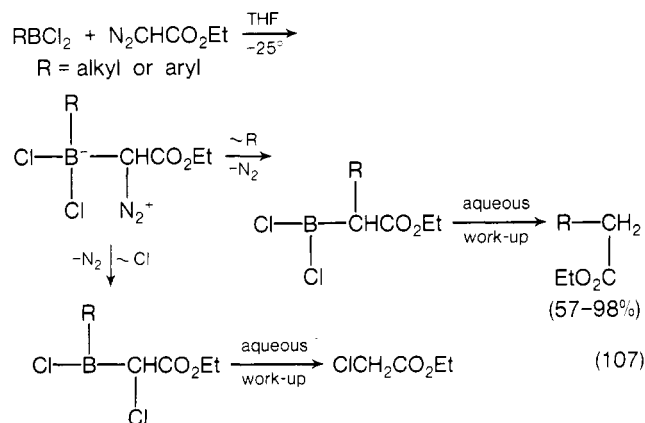


than either oxirene or formylcarbene by ca. 70 kcal/mol. Interestingly, oxirene and formylcarbene are predicted to have almost identical energies.³³⁷

A convenient, high-yield procedure for the preparation of propargylic esters has recently appeared³³⁸ (eq 106).



Also, alkyl- and aryl-dichloroboranes have been found to react with ethyl diazoacetate at low temperatures to afford substituted esters of the general structure $\text{RCH}_2\text{CO}_2\text{Et}$ ³³⁹ (eq 107). This reaction appears to offer a



useful method for converting alkenes or arenes to their corresponding two-carbon chain-lengthened ethyl esters.³³⁹ The mild conditions utilized in these reactions are not compatible with mechanisms involving carbene intermediates (see discussion in section IV.D.4).

The material which follows has been added in proof. Some highlights of pertinent literature published between August, 1973, and May, 1974, are presented. Although coverage is necessarily not exhaustive, the references which are cited in connection with the paragraphs which follow should serve to indicate the directions being taken by carbalkoxycarbenoid research current at the time of publication of this review.

Studies directed toward elucidating the nature of the reactive intermediates in metal-catalyzed diazo ester decompositions continue.³⁴⁰ Wulfman has recently shown that the Δ^1 -pyrazoline derived from the reaction of dicyclopentadiene with dimethyl diazomalonate decomposes smoothly in the presence of copper(II) fluoroborate to afford the corresponding cyclopropane in essentially quantitative yield.³⁴¹ This result is especially intriguing since the nitrogen-nitrogen double bond in 7,8-diazatetracyclo[3.3.0.0.2.4.0.3.6]oct-7-ene appears to form a *stable* complex with copper(II).³⁴² The results of Wulfman's study demonstrate that Δ^1 -pyrazolines, at least in some instances, can be catalytically decomposed by copper salts to afford cyclopropanes, suggesting that "carbalkoxycarbenoid additions" to double bonds may in such cases not involve divalent carbon species at all! In view of the complexity of these and related carbenoid reactions and the confused state of our present knowledge of their mechanisms,³⁴³ the need for additional work is all too apparent.

The ability of biscarbalkoxycarbenoids to insert into allylic carbon-hydrogen bonds has been known for some time.^{67a,344} Recently, insertion of carbethoxycarbenoid into *aliphatic* (nonallylic) carbon-hydrogen bonds has been effected utilizing high dilution techniques to minimize competing dimer formation (which results *via* attack of the carbenoid on excess diazo ester).³⁴⁵ Thermal decomposition of methyl isobutyl diazomalonate in the presence of copper (131°, chlorobenzene solvent) has been reported to afford 3,3-dimethyl-2-carbomethoxybutyrolactone (62% yield).³⁴⁶ This reaction is an example of an intramolecular carbon-hydrogen insertion by a biscarbalkoxycarbenoid.

A number of other interesting reactions of carbalkoxycarbenoids have been recently reported. The rhodium(II)-catalyzed reaction of ethyl diazoacetate with acetylac-

tone affords ethyl 3,5-dimethyl-2-furoate in 68% yield.³⁴⁷ Lactones of 1-hydroxymethylcycloheptatriene-1-carboxylic acids have been prepared by intramolecular addition reactions involving the biscarbalkoxycarbenoids derived from substituted methyl benzyl diazomalonates.³⁴⁸ In addition, a study of the stereoselectivity of carbethoxycarbenoid additions to 2,4-hexadienes has recently been reported.³⁴⁹

The reaction of 1-methyl-3-phenyl-4-oxo-3,4-dihydroquinazolinium bromide with ethyl diazoacetate in the presence of copper(II) sulfate (ethanol solvent) has been reported to afford 1-methyl-2-(1-ethoxy-1-ethoxycarbonylmethyl)-3-phenyl-4-oxo-1,2,3,4-tetrahydroquinazoline.³⁵⁰ This is the first example of a reaction which proceeds *via* addition of carbethoxycarbenoid to a *positively charged* (carbon-nitrogen) double bond.

The photolytic reaction of methyl diazoacetate with polyhalomethanes has recently been reinvestigated utilizing CIDNP techniques.^{351,352} Both ¹H and ¹³C CIDNP patterns obtained for the reaction of methyl diazoacetate with chloroform and with carbon tetrachloride are in accord with a mechanism involving cage recombination of radical pairs¹³⁰ but are inconsistent with the radical chain mechanism which had been suggested previously.¹²⁹

The benzaldehyde- and benzophenone-sensitized decompositions of methyl diazoacetate have recently been studied *via* CIDNP.³⁵³ Triplet carbomethoxycarbene is thereby produced; in contrast to the behavior of the corresponding singlet carbene, this species is capable of abstracting hydrogen atoms from substrates such as cyclohexane.

A study of asymmetric induction in the addition of optically active diazoacetic esters to olefins in the presence of catalytic amounts of cuprous chloride has recently been reported.^{354,355} The order of magnitude of induced asymmetry in the cyclopropanated products resulting from reaction of chiral diazoacetic esters, N₂CHCO₂R*, with styrene was found to be R* = menthyl > bornyl > amyl in the syn products and R* = bornyl ≥ menthyl > amyl in the anti products.³⁵⁵ Photolytic decomposition of (-)-menthyl diazoacetate in the presence of styrene afforded the syn-cyclopropanated product in significantly reduced optical yield as compared with that obtained from the same reaction when carried out in the presence of cuprous chloride.³⁵⁵ Various transition state models were discussed to account for these results.

A number of reactions of diazo esters with heteroatom-containing substrates have been reported. Photodecomposition of ethyl diazoacetate in the presence of alkylthiotrimethylsilanes and alkoxytrimethylsilanes afforded silicon-sulfur and silicon-oxygen "insertion" products, respectively.³⁵⁶ A likely mechanism for these reactions involves onium ylide formation followed by a 1,2-shift of the trialkylsilyl group from the onium site to the adjacent carbanionic center.

Reactions of carbalkoxycarbenes with alkylsilanes have been reported to afford both α and β carbon-hydrogen insertion products.³⁵⁷ In addition, products arising *via* "insertion" of carbethoxycarbene into a silicon-carbon bond of 1,1,3,3-tetramethyl-1,3-disilacyclobutane have been observed (accompanied by the products of insertion of carbethoxycarbene into the primary and secondary carbon-hydrogen bonds of this substrate).³⁵⁷

Reactions of organosilicon hydride with methyl diazoacetate in the presence of copper powder have recently been studied.³⁵⁸ Correlation of the relative rates of :CHCO₂Me "insertion" into the silicon-hydrogen bonds of meta- and para-substituted phenyldimethylsilanes with Hammett σ constants (for the various phenyl ring substituents studied) afforded $\rho = -0.26$. Interestingly, the magnitude of this ρ value could be accounted for in terms of a mechanism involving electrophilic attack of *free* (noncomplexed) carbomethoxycarbene on the silicon-hydrogen bond of the substrate. The "insertion" process was considered to occur in concerted fashion, proceeding *via* a "very slightly polar transition state."³⁵⁸ The authors suggested that the only function which the copper catalyst might serve in this reaction is to simply accelerate decomposition of the diazo ester (although no detailed mechanism for this catalytic decomposition process was specified).³⁵⁸

Arsonium ylides have been prepared *via* thermal decompositions of diazo esters in the presence of triphenylarsine.³⁵⁹ The formation of arsonium ylides in this reaction is greatly facilitated by the presence of copper, copper-bronze, or copper salts. The stable triphenylarsonium ylides thus formed are of general synthetic value as reagents in Wittig reactions.

A number of new substituted carbalkoxycarbenes have recently been prepared and studied. Diazoacetic esters, XC(N₂)CO₂R, have been decomposed thermally or photolytically to afford the corresponding carbalkoxycarbenes (=C(X)CO₂R), where X = NO₂,³⁶⁰ Cl,^{361,362} Br,^{361,362} I,^{361,362} HgMe,³⁶³ and Me₃Si.^{364,365} In addition, halocarbalkoxycarbenes have been prepared from phenyl(dihalocarbalkoxymethyl)mercury compounds. Fluorocarbomethoxycarbene has been generated *via* PhHgCFBrCO₂Et³⁶⁶ and *via* PhHgCFClCO₂Et.³⁶⁷ The related mercurials PhHgCCl₂CO₂Me and PhHgCCBr₂CO₂Me have been found to transfer =C(Cl)CO₂Me and =C(Br)CO₂Me, respectively, to olefins and to triethylsilane.³⁶⁸ Phenylcarbomethoxycarbene has been prepared *via* photocycloelimination from the corresponding *vic*-diphenyloxirane.³⁶⁹

Reactions of singlet and triplet carbomethoxycarbene with benzonitrile have been studied recently.³⁷⁰ Reaction of the singlet carbene with benzonitrile affords methyl 3-phenyl-2H-azirine-2-carboxylate. However, the corresponding triplet carbene species reacts with benzonitrile to form 5-methoxy-2-phenyloxazole.³⁷⁰

Recent MINDO/3 and NDDO calculations suggest that oxirenes may possess sufficient stability that they may occur as stable intermediates in reactions (such as the Wolff rearrangement).³⁷¹ This suggestion stands in disagreement with earlier conclusions which were based upon a theoretical study of the Wolff rearrangement of carbalkoxycarbenes utilizing the extended Hückel method.³¹⁴

Recent results obtained from studies of the photolysis of diazoacetaldehyde in argon and in ethylene matrices suggest that ketene formation is much faster than is the reaction of ethylene with any intermediate species or excited state which may be thereby generated from this diazo compound.³⁷² Accordingly, it is unlikely that diazoacetaldehyde will prove to be a synthetically useful precursor of :CHCHO.

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